



AGENDA

Regular Meeting – November 19, 2020
12:00 noon – Virtual Meeting

Call to Order 12:00
[Chair Parker Overton]

Ascertain Quorum with Roll Call
[Amy Wade]

Acceptance of the Agenda
[Chair Parker Overton]

Recognition of Chris Hill as the 2020 Al Deratt Award Winner
[Tony Cannon : Randy Emory]

Recognition of GUC Team in Operations Challenge
[Tony Cannon : Randy Emory]

Recognition of the Water Treatment Plant's Partnership for Safe Water Directors Award
[Tony Cannon : Randy Emory]

Recognition of the Water Treatment Plant's Area Wide Optimization Award
[Tony Cannon : Randy Emory]

Recognition of NC Department of Labor Safety Awards
[Tony Cannon : Gary Allen]

1. Approval of Minutes
[Chair Parker Overton]

Regular Meeting: October 15, 2020

2. Review of Monthly Financial Statement – October 31, 2020
[Jeff McCauley]

3. Presentation of Annual Audit Report Fiscal Year 2019-20
[Jeff McCauley]

4. Consideration of 2021 GUC Meeting Schedule
[Tony Cannon]

5. Consideration of Abandonment of a fifteen foot (15') wide Utility Easement across Tax Parcel No. 50113
[Phil Dixon]

6. Request for Authorization to Initiate Condemnation Proceedings for Property/Easement Acquisition – NC 33 Natural Gas Main Extension
[Phil Dixon]

Information Items

7. Finance/Audit Committee Update
[Tony Cannon : Committee Chair Tommy Stoughton]
8. Capital Project Updates
[Chris Padgett]
9. General Manager's Report
[Tony Cannon]
10. Board Chair's Remarks/Report
[Chair Parker Overton]
11. Board Members' Remarks
[Board]

Notice of Upcoming Meetings/Functions:

[Chair Parker Overton]

GUC Regular Meeting, Thursday, December 17, 2020, 12:00 noon

Closed Sessions

N.C.G.S. 143-318.11(a)(1) To prevent the disclosure of information that is privileged or confidential pursuant to the law of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes.

N.C.G.S. 143-318.11(a)(6) To consider the qualifications, competence, performance, condition of appointment of a public officer or employee or prospective public officer or employee.

Adjournment

[Chair Parker Overton]

1:00



Agenda Item # 1

Meeting Date: November 19, 2020

Item:	Approval of Minutes
Contact:	Amy Wade
Explanation:	Regular Minutes: October 15, 2020
Strategic Plan Elements:	<p>Strategic Themes:</p> <ul style="list-style-type: none">• Exceptional Customer Service• Shaping Our Future <p>Objectives:</p> <ul style="list-style-type: none">• Exceeding customer expectations• Embracing change to ensure organizational alignment and efficiency <p>Core Values:</p> <ul style="list-style-type: none">• Exceed customers' expectations• Support the community• Deliver reliable services
Previous Board Actions:	N/A
Fiscal Note:	N/A
Recommended Action(s):	Approval of minutes as presented or amended

GREENVILLE UTILITIES COMMISSION

GREENVILLE, NORTH CAROLINA

Thursday, October 15, 2020

The Board of Commissioners of the Greenville Utilities Commission held a Virtual Meeting at 12:00 noon with the following members and others present, and Chair Overton presiding.

Commission Board Members Present:

Parker Overton, Chair
Tommy Stoughton, Chair-Elect
Minnie J. Anderson, Secretary
Ann E. Wall

Peter Geiger
Lindsey Griffin
Kelly L. Darden, Jr.
Kristin S. Braswell

Commission Staff Present:

Tony Cannon, General Manager/CEO
Jeff McCauley
Chris Padgett
Phil Dixon
Keith Jones
George Reel
Randy Emory
Anthony Miller
Roger Jones
Andy Anderson
Richie Shreves
Steve Hawley
David Springer
Scott Mullis
John Worrell
Amy Wade

Kevin Keyzer
Lou Norris
Jonathan Britt
Kathy Howard
Molly Ortiz
Amanda Wall

Others Present:

Rick Smiley, City Council Liaison; and Roy Jones, ElectriCities.

Chair Overton called the meeting to order. Ms. Amy Wade, Executive Secretary, called the roll and ascertained that a quorum was present.

ACCEPTANCE OF THE AGENDA

A motion was made by Mr. Darden, seconded by Ms. Wall, to accept the agenda. The motion carried unanimously.

Recognition of Awards Presented to GUC by ElectriCities

Mr. Tony Cannon announced that ElectriCities recently awarded GUC with 5 awards of excellence. Mr. Roy Jones, CEO of ElectriCities, congratulated and recognized GUC for these awards.

- Value of Public Power
- Grid Modernization
- Continuous Improvement
- Workforce Development
- Wholesale Power Cost

APPROVAL OF MINUTES (Agenda Item 1)

A motion was made by Mr. Stoughton, seconded by Ms. Wall, to approve the September 17, 2020 Regular Meeting minutes as presented. The motion carried unanimously.

REVIEW OF MONTHLY FINANCIAL STATEMENT – SEPTEMBER 30, 2020
PRELIMINARY (Agenda Item 2)

September 30, 2020 Financial Statement Preliminary:

Key financial metrics for the combined funds for the period ending September 30, 2020 Preliminary:

Operating Cash:	\$85,196,254	Days of Cash on Hand:	137
Less Current Liabilities	(\$21,676,153)		
Appropriated Fun Balance	(\$5,229,179)		
Fund Balance:	\$58,290,922		

Fund Balance Available for Appropriation:	21.5%	Days of Cash on Hand After Liabilities:	94
Average Investment Yield:	.84%		

Fund Equity/Deficit Before Transfers

	Current Month			Year to Date		
	Actual	Budget	Last Year	Actual	Budget	Last Year
Electric	\$461,811	\$804,833	\$136,361	\$2,286,019	\$1,687,325	\$2,482,661
Water	(\$240,309)	\$635,897	\$731,248	\$1,007,404	\$1,284,388	\$1,939,777
Sewer	\$607,240	\$161,508	\$544,392	\$1,512,466	\$249,868	\$1,415,599
Gas	(\$122,500)	(\$313,321)	(\$303,918)	(\$825,449)	(\$1,353,317)	(\$1,130,483)
Combined	\$706,242	\$1,288,917	\$1,108,083	\$3,980,440	\$1,868,264	\$4,707,554

Fund Equity/Deficit After Transfers

	Current Month			Year to Date		
	Actual	Budget	Last Year	Actual	Budget	Last Year
Electric	\$140,990	\$1,004,846	\$53,031	\$1,644,365	\$2,287,340	\$2,315,998
Water	(\$240,309)	\$215,064	\$302,081	\$586,571	\$21,889	\$831,443
Sewer	\$257,240	(\$17,659)	\$369,392	\$804,132	(\$287,633)	\$1,015,599
Gas	(\$122,500)	(\$383,654)	(\$324,751)	(\$825,449)	(\$1,564,316)	(\$1,172,149)
Combined	\$35,421	\$818,597	\$399,753	\$2,209,619	\$457,280	\$2,990,891

Jeff McCauley, Chief Financial Officer, provided a presentation on the Preliminary Financial Statement for September 30, 2020.

The weather impact for the month of September indicated that the cooling degree days were less than September 2019 and this September there were heating degree days which were higher than the 6-year and 30-year averages. The September rainfall was 8.71 inches which was higher than last year. The portfolio earned .84% for the month of September.

Mr. McCauley stated that a one-time credit of \$2.1 million from North Carolina Eastern Municipal Power Agency (NCEMPA) was included as miscellaneous revenue and there was an expenditure included in debt service for the Water Treatment Plant expansion.

Overall year-to-date results through the end of September remain stable. The Electric Rate Stabilization Reserves are approximately \$24.4 million, and the Gas Rate Stabilization Reserves are \$2.2 million. The Operating Reserves are 137 Days Cash on Hand, and Renewals and Replacements Reserves are approximately \$2.6 million. The Capital Project Funds Reserved for Existing and Future Capital Projects are approximately \$38.8 million.

After discussion, a motion was made by Ms. Braswell, seconded by Mr. Griffin, to accept the Preliminary Financial Statement for September 30, 2020. The motion carried unanimously.

CONSIDERATION OF APPROVAL OF SERIES RESOLUTION (Agenda Item 3)

Mr. Jeff McCauley, Chief Financial Officer, announced that the Commission was awarded State Revolving Fund Loans by the Department of Environmental Quality (DEQ). The Local Government Commission (LGC) has reviewed and approved the loans. The loans provide 20-year financing with an interest rate of approximately 1.11% for the following water projects:

WCP-117 WIF 1984	Water Treatment Plant Upgrade Phase I	\$ 40,000,000
WCP-10032 WIF 1988	Water Main Rehabilitation Program Phase II	1,000,000
Total		\$41,000,000

A Series Resolution included in the agenda materials needs to be adopted by the Board. In adopting the resolution, the Board is:

- Accepting the Revolving Loan Program offers of \$41,000,000
- Approving the provisions of the Series Resolution
- Providing assurances to adhere to the “Conditions” and “Assurances” of DEQ’s funding offers
- Recommending to the City Council that the City Council adopt the Series Resolution in connection with the Promissory Notes
- Authorizing the General Manager/CEO of the Commission, Chief Financial Officer, or an Authorized Officer of the Commission to approve the Promissory Notes, furnish information requested by State Agency, execute other documents as required
- Authorizing and directing the officers, agents and employees of the Commission to do all acts and things required of them by the provisions of the Series Resolution

As a reminder, at the April 19, 2018 Board meeting, the Board of Commissioners authorized the General Manager\CEO to apply to State Revolving Fund Loan Program for \$40M Water Treatment Plant Upgrade Phase I and at the September 19, 2019 Board meeting, the Board of Commissioners authorized the General Manager\CEO to apply to State Revolving Fund Loan Program for \$1M Water Main Rehabilitation Program Phase II.

After discussion, a motion was made by Mr. Darden, seconded by Ms. Braswell, to adopt the Series Resolution and recommend that the City Council take similar action and adopt a Series Resolution. The motion carried unanimously.

GUC RESOLUTION:

RESOLUTION APPROVING AND RECOMMENDING TO THE CITY COUNCIL OF THE CITY OF GREENVILLE, NORTH CAROLINA THE ADOPTION OF A SERIES RESOLUTION AUTHORIZING THE INCURRENCE OF SUBORDINATE INDEBTEDNESS EVIDENCED BY STATE REVOLVING LOAN FUND PROGRAM NOTES IN AN AGGREGATE PRINCIPAL AMOUNT NOT TO EXCEED \$41,000,000 PURSUANT TO THE PROVISIONS OF SECTION 215 OF THE BOND ORDER ADOPTED BY SAID CITY COUNCIL ON AUGUST 11, 1994, AMENDED AND RESTATED AS OF APRIL 13, 2000.

WHEREAS, in accordance with Chapter 861 of the 1991 Session Laws of North Carolina, the Greenville Utilities Commission, of the City of Greenville, North Carolina, a body politic duly chartered by the State of North Carolina, in Pitt County, North Carolina, (the “Commission”) has been created for the proper management of the public utilities of the City of Greenville, North Carolina (the “City”), which shall include an electric system, a natural gas system, a sanitary sewer system, and a water system (collectively, the “Combined Enterprise System”) within and without

the corporate limits of the City, with responsibility for the entire supervision and control of the management, operation, maintenance, improvement and extension of the public utilities; and

WHEREAS, the City Council of the City (the “City Council”) adopted, on August 11, 1994, amended and restated as of April 13, 2000, a bond order authorizing and securing Greenville Utilities Commission Combined Enterprise System Revenue Bonds of the City (the “Order”); and

WHEREAS, the Federal Clean Water Act Amendments of 1987, the Federal Safe Drinking Water Act Amendments of 1996 and the North Carolina Water Infrastructure Act authorize the making of loans and grants to aid eligible units of government in financing the cost of construction of wastewater treatment works, wastewater collection systems, and water supply systems; and

WHEREAS, the City Council of the City (the “City Council”) adopted, on August 11, 1994, a bond order, which, among other things, authorizes and secures Greenville Utilities Commission Combined Enterprise System Revenue Bonds of the City, which order was amended and restated as of April 13, 2000 (the “Order”); and

WHEREAS, Section 215 of the Order authorizes the incurrence or assumption of Subordinate Indebtedness (as defined in the Order) for any lawful purpose of the City related to the ownership or operation of the Combined Enterprise System; and

WHEREAS, the Commission has previously determined that it is necessary to acquire, construct and pay for the cost of certain additional improvements to the Combined Enterprise System, which improvements are described in Appendix A to the Series Resolution (as defined herein) and constitute Additional Improvements (as defined in the Order);

WHEREAS, as more particularly described in Appendix A to this Resolution, the North Carolina Department of Environmental Quality (the “Department”) has offered the Commission two loans in the total amount of \$41,000,000;

WHEREAS, the Commission has determined to pay for or reimburse the Commission for a portion of the cost of paying for such Additional Improvements by incurring Subordinate Indebtedness (as defined in the Order) evidenced by two State Revolving Loan Fund Program Notes referred to herein as the “Promissory Notes” and as described in Appendix A to this Resolution;

WHEREAS, the Commission intends to construct the Additional Improvements in accordance with engineering plans and specifications that have been or will have been approved by the North Carolina Public Water Supply Section;

WHEREAS, the Commission has complied substantially or will comply substantially with all Federal, State and local laws, rules, regulations and ordinances applicable to the Additional Improvements, and to Federal and State grants and loans pertaining thereto;

WHEREAS, the Promissory Notes shall be secured by a pledge, charge and lien upon the Net Receipts (as defined in the Order) subordinate to the pledge, charge and lien upon the Net Receipts which secures Parity Indebtedness (as defined in the Order) and, accordingly, is payable from the Net Receipts subordinate and junior in right of payment to the payment of Parity Indebtedness from the Net Receipts as provided in the Order; and

WHEREAS, pursuant to Section 215 of the Order, the Promissory Notes are to have such terms and provisions as are determined by the General Manager of the Commission or any officer of the Commission authorized by the General Manager of the Commission (an “Authorized Officer of the Commission”), subject to the limitations set forth in a series resolution to be adopted by the City Council, and the City Council is considering the adoption of such resolution substantially in the form presented to the Commission, which resolution (the “Series Resolution”) is entitled:

**SERIES RESOLUTION AUTHORIZING THE INCURRENCE OF
SUBORDINATE INDEBTEDNESS EVIDENCED BY STATE
REVOLVING LOAN FUND PROGRAM NOTES IN AN
AGGREGATE PRINCIPAL AMOUNT NOT TO EXCEED
\$41,000,000 PURSUANT TO THE PROVISIONS OF SECTION 215
OF THE BOND ORDER ADOPTED BY THE CITY COUNCIL ON**

AUGUST 11, 1994, AMENDED AND RESTATED AS OF APRIL 13, 2000.

WHEREAS, the City Council has requested the Commission to advise the City Council as to whether the Commission approves of the provisions of the Series Resolution, whether the Commission agrees to undertake its responsibilities there under and whether the Commission recommends to the City Council that the City Council adopt the Series Resolution;

NOW, THEREFORE, THE GREENVILLE UTILITIES COMMISSION DOES HEREBY DETERMINE AND RESOLVE, as follows:

The Commission hereby accepts the Revolving Loan Program offers described in Appendix A in the aggregate amount of \$41,000,000 and approves the provisions of the Series Resolution in the form presented to it.

The Commission hereby agrees to undertake and be responsible for those matters with respect to which it is given responsibility or to be done by it pursuant to the provisions of the Series Resolution and such other documents as may be required in connection with the incurrence of Subordinate Indebtedness evidenced by the Promissory Notes and to take all actions necessary to ensure the timely disbursement of the proceeds of Promissory Notes. The Commission will adhere to all programmatic requirements of the Department relating to the Revolving Loan Program, including the provisions of the Department’s funding offer letters. The Commission does hereby give assurance to the Department that it will adhere to all applicable items specified in the standard “Conditions” and “Assurances” of the Department’s funding offers.

The Commission hereby recommends to the City Council that the City Council adopt the Series Resolution in connection with the authorization of the Promissory Notes.

The General Manager/CEO of the Commission, Chief Financial Officer, or an Authorized Officer of the Commission is hereby authorized to (a) approve the Promissory Notes, such execution of a certificate to such effect to be conclusive evidence of the approval thereof by the Commission, (b) furnish such information, as the appropriate State agency may request, in connection with the Additional Improvements and (c) execute such other application documents required by the Department.

The officers, agents and employees of the Commission are hereby authorized and directed to do all acts and things required of them by the provisions of Order and the Series Resolution for the full, punctual and complete performance of the terms, covenants, provisions and agreements therein.

This resolution shall take effect immediately upon its adoption.

Adopted this the 15th day of October, 2020.

/s/ Parker Overton
Chair

ATTEST:

/s/ Minnie Anderson
Secretary

APPROVED AS TO FORM:

/s/ Phillip R. Dixon
General Counsel

APPENDIX A

THE ADDITIONAL IMPROVEMENTS

The Additional Improvements referenced in the resolution to which this is Appendix A include but are not limited to preliminary engineering design, easements, site acquisition, engineering analyses, surveys, environmental assessment and permitting, geotechnical investigations, wetlands delineations and construction of the following:

WCP-117 WIF 1984	Water Treatment Plant Upgrade Phase I	\$ 40,000,000
WCP-10032 WIF 1988	Water Main Rehabilitation Program Phase II	1,000,000
<u>TOTAL</u>		<u>\$41,000,000</u>

CITY RESOLUTION:

A virtual meeting of the City Council of the City of Greenville, North Carolina was held on November 9, 2020 at 6:00 p.m.

Present: Mayor P.J. Connelly, presiding, and Councilmembers

Absent: _____

* * * * *

Mayor Connelly introduced the following resolution, a copy of which had been provided to each Councilmember and which was read by its title:

RESOLUTION NO. __ - 21

SERIES RESOLUTION AUTHORIZING THE INCURRENCE OF SUBORDINATE INDEBTEDNESS EVIDENCED BY STATE REVOLVING LOAN FUND PROGRAM NOTES IN AN AGGREGATE PRINCIPAL AMOUNT NOT TO EXCEED \$41,000,000 PURSUANT TO THE PROVISIONS OF SECTION 215 OF THE BOND ORDER ADOPTED BY THE CITY COUNCIL ON AUGUST 11, 1994, AMENDED AND RESTATED AS OF APRIL 13, 2000.

WHEREAS, the City of Greenville, North Carolina (the “City”), a municipal corporation in Pitt County, North Carolina, owns certain public utility or public service enterprise facilities comprising an electric system, a natural gas system, a sanitary sewer system and a water system, within and without the corporate limits of the City (collectively, the “Combined Enterprise System”), and

WHEREAS, in accordance with Chapter 861 of the 1991 Session Laws of North Carolina, the Greenville Utilities Commission (the “Commission”) has been created for the proper management of the public utilities of the City, within and without the corporate limits of the City, with responsibility for the entire supervision and control of the management, operation,

maintenance, improvement and extension of the public utilities of the City, including the Combined Enterprise System; and

WHEREAS, the Federal Clean Water Act Amendments of 1987, the Federal Safe Drinking Water Act Amendments of 1996 and the North Carolina Water Infrastructure Act authorize the making of loans and grants to aid eligible units of government in financing the cost of construction of wastewater treatment works, wastewater collection systems, and water supply systems; and

WHEREAS, the City Council of the City (the “City Council”) adopted, on August 11, 1994, a bond order, which, among other things, authorizes and secures Greenville Utilities Commission Combined Enterprise System Revenue Bonds of the City, which order was amended and restated as of April 13, 2000 (the “Order”); and

WHEREAS, Section 215 of the Order authorizes the incurrence or assumption of Subordinate Indebtedness (as defined in the Order) for any lawful purpose of the City related to the ownership or operation of the Combined Enterprise System (as defined in the Order); and

WHEREAS, the Commission and the City Council have determined that it is necessary to acquire, construct and pay for a portion of the cost of certain additional improvements to the Combined Enterprise System, which improvements are described in Appendix A attached hereto and constitute Additional Improvements; and

WHEREAS, as more particularly described in Appendix A to this Resolution, the North Carolina Department of Environmental Quality (the “Department”) has offered two loans in the total amount of \$41,000,000 to finance the Additional Improvements;

WHEREAS, the Commission and the City Council have determined to finance a portion of the cost of paying for such Additional Improvements by incurring Subordinate Indebtedness evidenced by two State Revolving Loan Fund Program Notes referred to herein as the “State Revolving Fund Promissory Notes”; and

WHEREAS, the Commission and the City intend to construct the Additional Improvements in accordance with engineering plans and specifications that have been or will have been approved by the North Carolina Public Water Supply Section;

WHEREAS, the Commission and the City have complied substantially or will comply substantially with all Federal, State and local laws, rules, regulations and ordinances applicable to the Additional Improvements, and to Federal and State grants and loans pertaining thereto;

WHEREAS, the Promissory Notes shall be secured by a pledge, charge and lien upon the Net Receipts (as defined in the Order) subordinate to the pledge, charge and lien upon the Net Receipts which secures Parity Indebtedness (as defined in the Order) and, accordingly, is payable from the Net Receipts subordinate and junior in right of payment to the payment of Parity Indebtedness from the Net Receipts as provided in the Order; and

WHEREAS, the City Council has received information to the effect that the City will be able to satisfy the requirements of Section 215 of the Order with respect to the State Revolving Fund Promissory Notes; and

WHEREAS, pursuant to Section 215 of the Order, the State Revolving Fund Promissory Notes are to have such terms and provisions as may be provided by a series resolution to be adopted by the City Council prior to the incurrence of said Subordinate Indebtedness; and

WHEREAS, the Commission has adopted a resolution to the effect that it approves the provisions of this resolution and recommends to the City Council that the City Council adopt this series resolution authorizing and setting forth the terms and provisions of the State Revolving Fund Promissory Notes;

NOW, THEREFORE, THE CITY COUNCIL OF THE CITY OF GREENVILLE, NORTH CAROLINA DOES HEREBY DETERMINE AND RESOLVE, as follows:

Section 1. Definitions. Capitalized words and terms used in this series resolution (this “Resolution”) and not otherwise defined herein shall have the meanings given to them in the Order.

Section 2. Authorization of the State Revolving Fund Promissory Notes.

(A) The State Revolving Fund Promissory Notes. Pursuant to the Enabling Act and Section 215 of the Order, the City Council hereby authorizes the incurrence of Subordinate Indebtedness evidenced by a State Revolving Fund Program Notes (as defined in the Order) designated “Greenville Utilities Commission Combined Enterprise System State Revolving Loan Fund Program Notes” (the “Promissory Notes”) in an aggregate principal amount of up to \$41,000,000 for the purpose of providing funds, together with any other available funds, for (1) paying, or reimbursing the Commission for paying, a portion of the Cost of the Additional Improvements described in Appendix A hereto and (2) paying expenses incidental and necessary or convenient thereto.

(B) Note Provisions. The Promissory Notes shall be executed on such date, be effective as of such date, shall bear interest at the rate, shall be repaid, subject to prepayment, in the amounts and on the dates, all as hereinafter provided.

(C) Interest Payment Dates. Interest on the Promissory Notes shall begin to accrue on the unpaid principal balance thereof from the original estimated completion date for said Additional Improvements as established by the General Manager of the Commission or any officer of the Commission authorized by the General Manager of the Commission (an “Authorized Officer of the Commission”) and shall be payable semi-annually until the principal balance of the Promissory Notes are paid or prepaid in accordance with its terms. The first interest payment shall be due not earlier than six (6) months nor later than twelve (12) months after the date of completion of Additional Improvements relating to the applicable Promissory Note as certified by the Department of Environmental Quality (DEQ).

(D) Principal Payment Dates. Principal on the Promissory Notes shall be payable annually, as set forth in the Promissory Notes. The first principal payment shall be due not earlier than six (6) months after the date of completion of said Additional Improvements to the applicable Promissory Note as certified by the DENR.

(E) Prepayment of the Promissory Notes. The Promissory Notes shall be pre-payable in accordance with its terms.

Section 3. Delegation and Standards. The City Council hereby delegates to any Authorized Officer of the Commission, subject to the limitations contained herein, the power to determine and carry out the following with respect to the Promissory Notes:

(A) Principal Amount. To determine the aggregate principal amount of the Promissory Notes, the aggregate principal amount of all Promissory Notes, not to exceed \$41,000,000, to be sufficient for the purposes described in Section 2(A) of this Resolution;

(B) Interest Rates. To determine the interest rates on the Promissory Notes, which interest rate shall not exceed four percent (4%) per annum;

(C) Repayment of Series Promissory Notes. To determine a schedule (including the applicable principal and interest payment dates) for the payment of the interest and principal amount of the Promissory Notes, such principal payment schedule not to extend more than twenty (20) years after the first principal payment date as established in Section 2(D) of this Resolution;

(D) Execution Date and Effective Date. To determine the date of execution of the Promissory Notes and the effective date of the Promissory Notes;

(E) Other Provisions. To determine any other provisions deemed advisable and not in conflict with the provisions of this Resolution or the Order.

Section 4. Ratification of Previous Actions. City Council hereby ratifies and approves any previous actions taken by the General Manager/CEO of the Commission or any other Authorized Officer of the Commission relating to any Promissory Note and related documents including the execution of such Promissory Notes and related documents so long as such actions were not inconsistent with this Resolution.

Section 5. Series Certificate. The General Manager/CEO of the Commission or an Authorized Officer of the Commission shall execute a certificate or certificates evidencing determinations or other actions taken pursuant to the authority granted in this Resolution, and any such certificate or certificates shall be conclusive evidence of the action taken.

Section 6. Form of the Promissory Notes. Each Promissory Note as described in Appendix B shall be substantially in the form attached hereto in Appendix B, with such variations, omissions and insertions as are required or permitted by this Resolution or the Order.

Section 7. LGC Approval of the Promissory Notes; Execution of the Promissory Notes. The City Council recognizes that the North Carolina Local Government Commission (the "LGC") has approved the incurrence of Subordinate Indebtedness evidenced by the Promissory Notes in accordance with the terms and provisions of this Resolution. Based upon the LGC approval of the incurrence of such Subordinate Indebtedness evidenced by the Promissory Notes as hereinabove requested, the form of the Promissory Notes presented to the City Council for its consideration is hereby approved in all respects, and the General Manager of the Commission or an Authorized Officer of the Commission are hereby authorized to signify such approval by the execution of the Promissory Notes in substantially the form presented, taking into account among other items any changes made pursuant to the delegation set forth in Section 3 of this Resolution, such execution to be conclusive evidence of the approval thereof by the City. Previous execution by the General Manager of the Commission or an Authorized Officer of the Commission of any Promissory Notes listed on Appendix B are hereby ratified so long as such Promissory Notes are consistent with the provisions of this Resolution.

Section 8. Authorization to City and Commission Officials. The officers, agents and employees of the City and the Commission are hereby authorized and directed to do all acts and things required of them by the Department State Revolving Fund Loan Program and the provisions of the Promissory Notes, the Order and this Resolution for the full, punctual and complete performance of the terms, covenants, provisions and agreements therein.

Section 9. Effective Date. This Resolution shall take effect immediately upon its adoption.

Adopted this the 9th day of November, 2020

/s/ P. J. Connelly, Mayor

ATTEST:

/s/ Valerie Shiuwegar, City Clerk

[SEAL]

Passed and adopted this the 9th day of November, 2020.

After consideration of the foregoing resolution, Council member _____ moved for the passage thereof, which motion was duly seconded by Council member _____, and the foregoing resolution was passed by the following vote:

Ayes: _____

_____.

Noes: _____

_____.

* * * * *

I, Valerie Shiuwegar, Clerk of the City of Greenville, North Carolina DO HEREBY CERTIFY that the foregoing accurately reflects the proceedings as recorded in the minutes of the City Council of said City at a meeting held on November 9, 2020 and contains the verbatim text of Resolution No. __-21 which was duly adopted by said City Council at said meeting.

WITNESS my hand and the official seal of said City, this 9th day of November, 2020.

City Clerk

[SEAL]

APPENDIX A

THE ADDITIONAL IMPROVEMENTS

The Additional Improvements referenced in the resolution to which this is Appendix A include but are not limited to preliminary engineering design, easements, site acquisition, engineering analyses, surveys, environmental assessment and permitting, geotechnical investigations, wetlands delineations and construction of the following:

WCP-117 WIF 1984	Water Treatment Plant Upgrade Phase I	\$ 40,000,000
WCP-10032 WIF 1988	Water Main Rehabilitation Program Phase II	1,000,000
<u>TOTAL</u>		<u>\$41,000,000</u>

APPENDIX B

Listing of Promissory Notes

1. WCP-117 WIF 1984	Water Treatment Plant Upgrade Phase I		\$ 40,000,000
2WCP-10032 WIF 1988	Water Main Rehabilitation Program Phase II		1,000,000
<u>TOTAL</u>			<u>\$41,000,000</u>

APPENDIX B

North Carolina Infrastructure Finance Section Revolving Fund

Project No. _____

PROMISSORY NOTE

For value received, the Greenville Utilities Commission herein referred to as the "Unit," hereby promises to pay the State of North Carolina the principal sum of XXX Dollars (\$X,XXX) with interest on the unpaid principal sum, from the scheduled date of completion for a loan made to the Unit by the Department of Environment and Natural Resources for a XXX Project, herein referred to as the "Project," until said principal sum shall be paid.

Interest will accrue at the rate of XX percent per annum on the unpaid principal sum from the Water Pollution Control Revolving Fund. The first payment is due not earlier than six months nor later than twelve months after the scheduled date of completion of the Project by The Department of Environment and Natural Resources - Division of Water Infrastructure. All payments will be made semi-annually, payable on or before May 1 and November 1. (see attached maturity schedule).

The principal sum shall be repaid in not more than 20 annual installments on May 1, the first principal payment is due not earlier than six months after the scheduled date of completion of the Project. The scheduled date of completion of the project is XX.XX.XXXX.

The Unit may be required by the North Carolina Department of Environment and Natural Resources to prepay this loan in whole and any further commitment of funds may be withdrawn if the Unit fails to: (i) adopt on or before completion of Project, place into effect and agree to maintain until the principal sum is paid, a schedule of fees, charges, and other available funds, that will adequately provide for proper operation, maintenance, and administration of the project and for repayment of all principal of and interest on loans; (ii) arrange for necessary financing of the Project within one year of the date of acceptance of a revolving loan; (iii) award a contract for construction of the Project within one year of the date of acceptance of a revolving loan.

The principal sum will be used entirely within the intent of Water Pollution Control Revolving Fund for the purpose of acquiring, constructing and equipping the Project.

The Unit shall keep the Project continuously insured against such risks as are customarily insured against. In case of material damage to the Project, prompt notice shall be given to Department of Environment and Natural Resources. Proceeds from any insurance settlement shall either be used to reduce the unpaid principal amount or replace, repair, rebuild or restore the Project, in the discretion of the unit.

The Project will be made accessible for inspection by any duly authorized representative of the State.

This note is not secured by a pledge of the faith and credit of the State of North Carolina or of the Unit, but is payable solely from the revenues of the Project or benefited systems, or other available funds.

Payments of principal and interest on this Note shall be made directly to Department of Environment and Natural Resources. All obligations of the Unit hereunder shall terminate when all sums due and to become due pursuant to this Note have been paid. This Note shall be governed by, and construed in accordance with, the laws of the State of North Carolina.

The Unit agrees that any other monies due to the unit of local government from the State may be withheld by the State and applied to the payment of this obligation whenever the unit fails to pay any payment of principal or interest on this note when due.

The obligation of the Unit to make payments on this Note and observe all conditions herein stated shall be absolute and unconditional. The Unit shall not suspend or discontinue any such payment on this Note for any cause including, without limitation, failure to complete the Project, failure of title to all or any part of the Project, destruction or condemnation of all or any part of the Project.

In Witness, Whereof, the Greenville Utilities Commission caused this Note to be executed as of this date.

By:

Authorized Representative

ACKNOWLEDGMENT OF EXECUTION

State of

County of _____

This _____ day of _____, 20 __, personally came before me who, being by me duly sworn, says that he is the authorized representative of Greenville Utilities Commission and that the said writing was signed by him, in behalf of said governmental unit by its authority duly given. And the said authorized representative acknowledged the said writing to be the act and deed of the said governmental unit.

My Commission Expires:

Notary Public

(NOTARIAL SEAL)

CAPITAL PROJECT UPDATES (Agenda Item 4)

Mr. David Springer, Assistant Director of Water Resources, provided an update on the Water Treatment Plant Upgrade project.

As a reminder the Water Treatment Plant upgrade will increase the water capacity from 22.5 million gallons per day (MGD) to 32 MGD. This project was approved as part of the 2014-2015 budget with a total project budget of \$55 million with \$40 million in State Revolving Fund loan at 1.11% interest. The project includes two separate construction contracts. One for the 3MG Ground Storage Tank and one for the Water Treatment Plant upgrade. The Board of Commissioners approved a \$3.5 million construction contract with Dellinger, Inc. in November 2019 to construct the Ground Storage Tank. The construction is complete, and the tank is now in service.

T.A. Loving is the Construction Manager at Risk for the Water Treatment Plant upgrade. Crowder Construction Company is a first-tier subcontractor for the construction and Pitt Electric

is the electrical contractor. The Notice to Proceed was received on October 5, 2020 and the project is estimated to be completed in 30 months.

GENERAL MANAGER’S/CEO REPORT (Agenda Item 5)

1. Informational Reading

Bids, Statistical Data Report, Sewer Spill Tracking Report, Load Management Report, and PGA Report were provided.

The following Bids awarded by the General Manager/CEO during the past month were reported for information:

GREENVILLE UTILITIES COMMISSION
TABULATION OF BIDS RECEIVED

SANITARY SEWER OUTFALL AND WATER DISTRIBUTION/TRANSMISSION LINE
RIGHT-OF-WAY CLEARING
SEPTEMBER 3, 2020 @ 4:00 PM

VENDORS	TOTAL COST PER HOUR
Xylem, Inc.	\$112.35*
NaturChem, Inc.	245.00
Hardcore Land Development	295.00
NGE Services, Inc.	325.00
JMC Clearing & Mulching, LLC	355.00

* Indicates recommended award based on the lowest responsible, responsive bid.

ONE PRECAST CONCRETE RELAY CONTROL HOUSE FOR THE SUGG PARKWAY
SUBSTATION
SEPTEMBER 9, 2020 @ 3:00 PM

VENDORS	TOTAL
VFP, Inc.	\$102,647.00*
Modular Connections, LLC.	113,159.00

* Indicates recommended award based on the lowest responsible, responsive bid.

99,000’ OF 1/0 UG PRIMARY CABLE STK# 201360
SEPTEMBER 22, 2020 @ 4:00 PM

VENDORS	MFG.	DELIVERY WEEKS	UNIT PRICE	TOTAL
Anixter, Inc.	Okonite	7-8 weeks	\$1.889	\$187,011.00*
Wesco Distribution, Inc.	CME	12-13 weeks	1.994	197,406.00
Irby Utilities	CME	12-13 weeks	2.020	199,980.00
Border States	Southwire	24 weeks	2.33	230,670.00
Irby Utilities (Alt. Bid)	Southwire	25-27 weeks	2.410	238,590.00
Nexgen Power, Inc.	Daewon Cable. LTD.	12 weeks	2.583	255,717.00

*Indicates recommended award based on the lowest responsible, responsive bid.

Load Management Report

The Duke Energy Progress (DEP) monthly peak occurred on September 3, 2020 for the hour ending at 4:00 p.m. GUC’s load management system was in full operation during this period. As a result, the estimated avoided demand costs amount to \$1,704,368.

2. Key Performance Indicators (KPIs)

The Corporate KPI report was provided.

3. Other

- Mr. Cannon reported that the current Key Performance Indicators (KPI) will be reviewed to make sure the correct targets are in place based on best practices in the industry.
- Mr. Cannon reported that the Certificate of Occupancy was received for the new Operations Center and the move went smoothly.

BOARD CHAIR'S REMARKS/REPORT (Agenda Item 6)

Chair Overton shared the approved August 26, 2020 minutes from the Joint Pay and Benefits Committee. He reminded the Commissioners of a Finance/Audit Committee meeting on October 21, 2020, at 10:00 a.m. and the Regular Board meeting on Thursday, November 19, 2020, at 12:00 noon.

Chair Overton congratulated Tony Cannon on his appointment to the Vidant Medical Board.

CLOSED SESSIONS:

Mr. Phillip Dixon, General Counsel, stated that the Board of Commissioners should consider entering Closed Session pursuant to the following statutes:

N.C.G.S. 143-318.11(a)(4) To discuss matters relating to the location or expansion of industries or other businesses in the area served by the public body, including agreement on a tentative list of economic development incentives that may be offered by the public body in negotiations, or to discuss matters relating to military installation closure or realignment.

N.C.G.S. 143-318.11(a)(6) To consider the qualifications, competence, performance, condition of appointment of a public officer or employee or prospective public officer or employee.

Upon motion by Mr. Griffin, seconded by Mr. Geiger, the Greenville Utilities Board of Commissioners unanimously agreed to enter Closed Session at 12:32 p.m. for such purposes.

There being no further business to come before the Board of Commissioners in Closed Session, upon motion by Mr. Stoughton, seconded by Dr. Braswell, the Board of Commissioners unanimously agreed to return to Open Session at 12:39 p.m.

Without any further business to conduct in Open Session, upon Motion by Ms. Anderson, seconded by Mr. Geiger, the Board of Commissioners unanimously agreed to adjourn the meeting at 12:39 p.m.

Respectfully submitted,

Amy Carson Wade, Executive Secretary

APPROVED:

Minnie Johnson Anderson, Secretary



Agenda Item # 2

Meeting Date: November 19, 2020

Item: Review of Monthly Financial Statement for October 31, 2020

Contact: Jeff McCauley

Explanation: October 31, 2020 Financial Statement

The Financial Statement for October 2020 is attached.

Key financial metrics for the combined funds for the period ending October 2020:

Operating Cash	\$84,763,069	Days of Cash on Hand	144
Less Current Liabilities	(\$17,818,797)		
Appropriated Fund Balance	<u>(\$4,631,644)</u>		
		Days of Cash on Hand After Liabilities	106
Fund Balance	\$62,312,628		

Fund Balance Available for Appropriation: 23.0%

Average Investment Yield: 0.78%

Fund Equity/Deficit Before Transfers

	Current Month			Year to Date		
	Actual	Budget	Last Year	Actual	Budget	Last Year
Electric	\$88,543	(\$1,971,865)	(\$1,604,538)	\$2,374,562	(\$284,540)	\$878,123
Water	\$418,368	\$553,436	\$550,554	\$1,425,772	\$1,837,824	\$2,490,331
Sewer	\$540,658	\$151,004	\$624,499	\$2,053,124	\$400,872	\$2,040,098
Gas	(\$132,743)	(\$293,901)	(\$61,332)	(\$958,192)	(\$1,647,218)	(\$1,191,815)
Combined	\$914,826	(\$1,561,326)	(\$490,817)	\$4,895,266	\$306,938	\$4,216,737

Fund Equity/Deficit After Transfers

	Current Month			Year to Date		
	Actual	Budget	Last Year	Actual	Budget	Last Year
Electric	\$88,543	(\$1,771,864)	(\$1,605,650)	\$1,732,908	\$515,476	\$710,348
Water	\$0	\$132,603	\$50,217	\$586,571	\$154,492	\$881,660
Sewer	\$361,491	(\$28,163)	\$124,220	\$1,165,623	(\$315,796)	\$1,139,819
Gas	(\$132,743)	(\$364,234)	(\$61,600)	(\$958,192)	(\$1,928,550)	(\$1,233,749)
Combined	\$317,291	(\$2,031,658)	(\$1,492,813)	\$2,526,910	(\$1,574,378)	\$1,498,078

Strategic Plan Elements:	<p>Strategic Themes:</p> <ul style="list-style-type: none"> • Exceptional Customer Service • Safety, Reliability & Value • Shaping Our Future <p>Objectives:</p> <ul style="list-style-type: none"> • Providing competitive rates, while maintaining the financial stability of the utility • Exceeding customer expectations • Safely providing reliable and innovative utility solutions • Developing and enhancing strategic partnerships <p>Core Values:</p> <ul style="list-style-type: none"> • Exceed Customers' Expectations • Act with Integrity • Value Employees • Deliver Reliable Services • Prioritize Safety • Support the Community
Previous Board Actions:	N/A
Fiscal Note:	N/A
Recommended Action(s):	Accept October 31, 2020 Financial Statement

GREENVILLE UTILITIES COMMISSION

Financial Report

October 31, 2020



***Greenville
Utilities***

GREENVILLE UTILITIES COMMISSION

October 31, 2020

I. Key Financial Highlights

A. <u>Days Cash on Hand</u>	<u>October 2020</u>	<u>October 2019</u>	<u>October 2018</u>		
Electric Fund	118	118	118		
Water Fund	179	195	135		
Sewer Fund	251	271	246		
Gas Fund	<u>267</u>	<u>227</u>	<u>214</u>		
Combined Funds	144	143	137		
B. <u>Fund Balance Available for Appropriation</u>	<u>Electric</u>	<u>Water</u>	<u>Sewer</u>	<u>Gas</u>	<u>Combined Funds</u>
Operating cash	\$54,102,390	\$7,197,871	\$8,928,602	\$14,534,206	\$84,763,069
Current liabilities	(\$14,272,281)	(\$1,296,984)	(\$510,594)	(\$1,738,938)	(\$17,818,797)
Fund balance appropriated for FY 2021	(\$3,208,346)	(\$210,799)	(\$162,499)	(\$1,050,000)	(\$4,631,644)
Fund balance available for appropriation	\$36,621,763	\$5,690,088	\$8,255,509	\$11,745,268	\$62,312,628
Percentage of total budgeted expenditures	19.7%	23.4%	32.0%	34.4%	23.0%
Days unappropriated fund balance on hand	80	142	232	216	106
C. <u>Portfolio Management</u>	<u>Fiscal Year 2020-21</u>	<u>Fiscal Year 2019-20</u>	<u>Fiscal Year 2018-19</u>		
	<u>Interest Earnings</u>	<u>Yield</u>	<u>Interest Earnings</u>	<u>Yield</u>	
July	\$116,200	0.90%	\$275,957	2.33%	\$163,613
August	\$115,742	0.92%	\$375,514	2.28%	\$189,935
September	\$108,748	0.84%	\$353,378	2.16%	\$181,289
October	\$100,286	0.78%	\$337,847	2.03%	\$224,945

II. Fund Performance

<u>Electric</u>	<u>October 2020</u>	<u>October 2019</u>	<u>October 2018</u>
Number of Accounts	70,532	69,126	68,102

- YTD volumes billed to customers are 15,947,436 kWh less than last year and 17,873,051 kWh less than budget.
- YTD revenues from retail rates and charges are \$1,319,882 less than last year and \$455,186 less than budget.
- YTD total revenues are \$613,200 more than last year and \$1,731,996 more than budget.

GREENVILLE UTILITIES COMMISSION

October 31, 2020

- YTD total expenditures are \$883,239 less than last year and \$927,106 less than budget.
- YTD revenues exceed YTD expenditures by \$2,374,562 compared to excess revenues of \$878,123 for last year.
- YTD net fund equity after transfers is \$1,732,908.

<u>Water</u>	<u>October 2020</u>	<u>October 2019</u>	<u>October 2018</u>
Number of Accounts	37,425	36,793	36,397

- YTD volumes billed to customers are 35,937 kgallons less than last year and 96,374 kgallons less than budget.
- YTD revenues from retail rates and charges are \$40,195 less than last year but \$8,120 more than budget.
- YTD total revenues are \$139,955 less than last year but \$26,917 more than budget.
- YTD total expenditures are \$924,604 more than last year and \$438,969 more than budget.
- YTD revenues exceed YTD expenditures by \$1,425,772 compared to excess revenues of \$2,490,331 for last year.
- YTD net fund equity after transfers is \$586,571.

<u>Sewer</u>	<u>October 2020</u>	<u>October 2019</u>	<u>October 2018</u>
Number of Accounts	31,052	30,453	30,083

- YTD revenues from retail rates and charges are \$39,151 more than last year and \$350,946 more than budget.
- YTD total revenues are \$3,647 more than last year and \$371,930 more than budget.
- YTD total expenditures are \$9,379 less than last year and \$1,280,322 less than budget.
- YTD revenues exceed YTD expenditures by \$2,053,124 compared to excess revenues of \$2,040,098 for last year.
- YTD net fund equity after transfers is \$1,165,623.

<u>Gas</u>	<u>October 2020</u>	<u>October 2019</u>	<u>October 2018</u>
Number of Accounts	23,770	23,280	23,147

- YTD total volumes billed to customers are 165,509 ccfs less than last year but 335,529 ccfs more than budget.
- YTD revenues from retail rates and charges are \$592,103 less than last year but \$36,850 more than budget.
- YTD total revenues are \$638,090 less than last year but \$82,445 more than budget.
- YTD total expenditures are \$871,713 less than last year and \$606,581 less than budget.
- YTD expenditures exceed YTD revenues by \$958,192 compared to deficit revenues of \$1,191,815 for last year.
- YTD net fund deficit after transfers is \$958,192.

GREENVILLE UTILITIES COMMISSION

October 31, 2020

					YTD %			YTD %	
III.	<u>Volumes Billed</u>	<u>October 2020</u>	<u>YTD FY 2020-21</u>	<u>October 2019</u>	<u>YTD FY 2019-20</u>	<u>Change</u>	<u>October 2018</u>	<u>YTD FY 2018-19</u>	<u>Change</u>
	Electric (kwh)	141,148,843	654,413,752	151,894,744	670,361,188	-2.4%	153,757,514	673,040,271	-2.8%
	Water (kgal)	342,075	1,367,472	364,474	1,403,410	-2.6%	323,319	1,337,719	2.2%
	Sewer (kgal)	273,152	1,051,415	274,615	1,033,515	1.7%	257,964	1,021,268	3.0%
	Gas (ccf) Firm	750,111	2,583,957	655,241	2,521,713	2.5%	637,178	2,471,598	4.5%
	Interruptible	<u>1,201,622</u>	<u>4,405,059</u>	<u>1,197,842</u>	<u>4,632,812</u>	<u>-4.9%</u>	<u>1,073,891</u>	<u>4,733,353</u>	<u>-6.9%</u>
	Total	1,951,733	6,989,016	1,853,083	7,154,525	-2.3%	1,711,069	7,204,951	-3.0%

IV.	<u>Cooling Degree Day Information</u>	<u>Fiscal Year 2020-21</u>	<u>Fiscal Year 2019-20</u>	<u>% Change</u>	<u>6 Year Average</u>	<u>30 Year Average</u>
	July	552.0	531.5	3.9%	513.6	490.6
	August	472.5	451.0	4.8%	464.8	435.1
	September	248.5	363.5	-31.6%	328.3	265.7
	October	<u>95.5</u>	<u>111.5</u>	<u>-14.3%</u>	<u>105.8</u>	<u>70.1</u>
	YTD	1,368.5	1,457.5	-6.1%	1,412.5	1,261.5

V.	<u>Heating Degree Day Information</u>	<u>Fiscal Year 2020-21</u>	<u>Fiscal Year 2019-20</u>	<u>% Change</u>	<u>6 Year Average</u>	<u>30 Year Average</u>
	July	0.0	0.0	0.0%	0.0	0.0
	August	0.0	0.0	0.0%	0.0	0.0
	September	19.5	0.0	0.0%	3.4	7.3
	October	<u>51.0</u>	<u>49.5</u>	<u>3.0%</u>	<u>89.3</u>	<u>135.0</u>
	YTD	70.5	49.5	42.4%	92.7	142.3

Commissioners Executive Summary

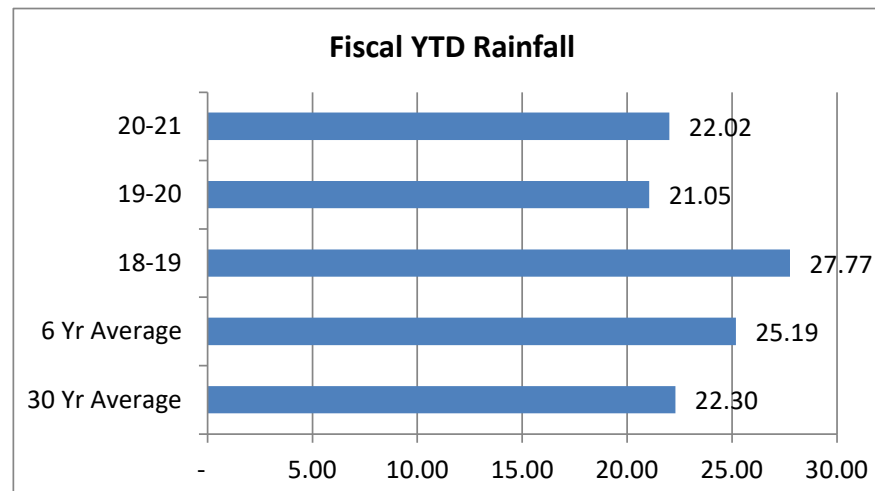
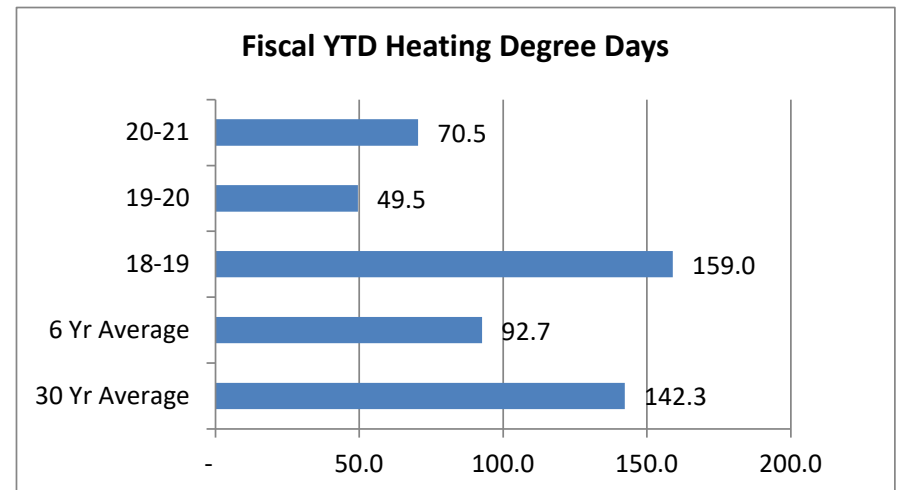
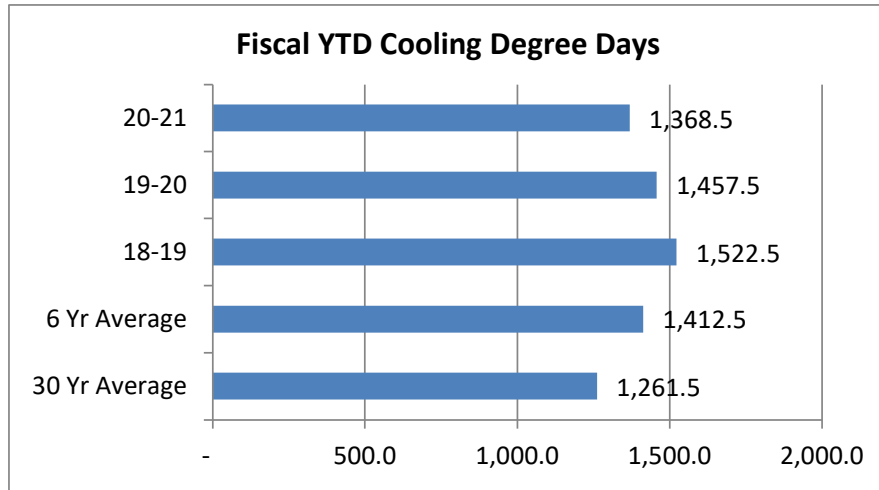
October 31, 2020

	Current Month			Year To Date		
	Actual	Budget	Last Year	Actual	Budget	Last Year
Electric						
Revenues	12,997,109	12,774,519	13,209,665	65,263,074	63,531,078	64,649,874
Expenses	(12,908,566)	(14,746,384)	(14,814,203)	(62,888,512)	(63,815,618)	(63,771,751)
Equity/Deficit from Operations	88,543	(1,971,865)	(1,604,538)	2,374,562	(284,540)	878,123
Transfers and Fund Balance	-	200,001	(1,112)	(641,654)	800,016	(167,775)
Total Equity/Deficit	88,543	(1,771,864)	(1,605,650)	1,732,908	515,476	710,348
Water						
Revenues	2,032,974	2,063,033	2,115,113	8,139,258	8,112,341	8,279,213
Expenses	(1,614,606)	(1,509,597)	(1,564,559)	(6,713,486)	(6,274,517)	(5,788,882)
Equity/Deficit from Operations	418,368	553,436	550,554	1,425,772	1,837,824	2,490,331
Transfers and Fund Balance	(418,368)	(420,833)	(500,337)	(839,201)	(1,683,332)	(1,608,671)
Total Equity/Deficit	-	132,603	50,217	586,571	154,492	881,660
Sewer						
Revenues	2,188,928	2,031,266	2,213,425	8,518,480	8,146,550	8,514,833
Expenses	(1,648,270)	(1,880,262)	(1,588,926)	(6,465,356)	(7,745,678)	(6,474,735)
Equity/Deficit from Operations	540,658	151,004	624,499	2,053,124	400,872	2,040,098
Transfers and Fund Balance	(179,167)	(179,167)	(500,279)	(887,501)	(716,668)	(900,279)
Total Equity/Deficit	361,491	(28,163)	124,220	1,165,623	(315,796)	1,139,819
Gas						
Revenues	1,939,051	1,981,476	2,261,905	7,051,506	6,969,061	7,689,596
Expenses	(2,071,794)	(2,275,377)	(2,323,237)	(8,009,698)	(8,616,279)	(8,881,411)
Equity/Deficit from Operations	(132,743)	(293,901)	(61,332)	(958,192)	(1,647,218)	(1,191,815)
Transfers and Fund Balance	-	(70,333)	(268)	-	(281,332)	(41,934)
Total Equity/Deficit	(132,743)	(364,234)	(61,600)	(958,192)	(1,928,550)	(1,233,749)
Combined						
Total Revenues	19,158,062	18,850,294	19,800,108	88,972,318	86,759,030	89,133,516
Total Expenses	(18,243,236)	(20,411,620)	(20,290,925)	(84,077,052)	(86,452,092)	(84,916,779)
Total Equity/Deficit from Operations	914,826	(1,561,326)	(490,817)	4,895,266	306,938	4,216,737
Total Transfers and Fund Balance	(597,535)	(470,332)	(1,001,996)	(2,368,356)	(1,881,316)	(2,718,659)
Total Equity/Deficit	317,291	(2,031,658)	(1,492,813)	2,526,910	(1,574,378)	1,498,078

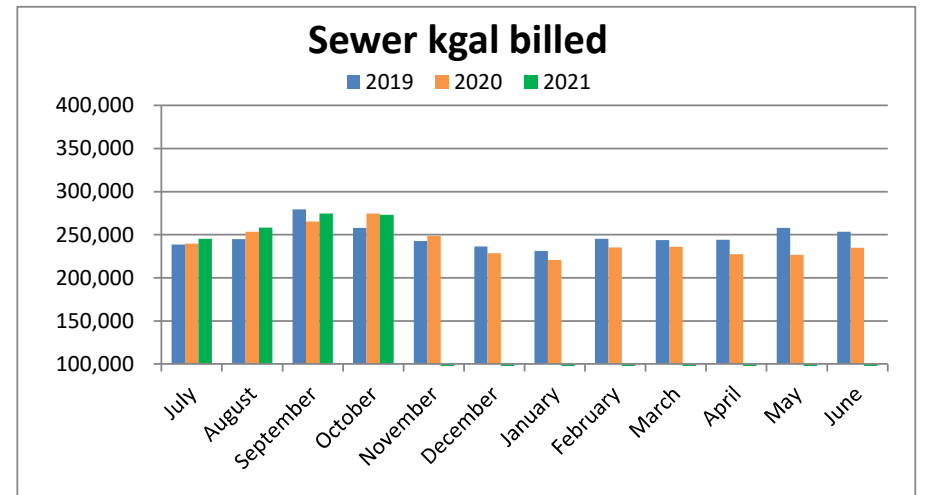
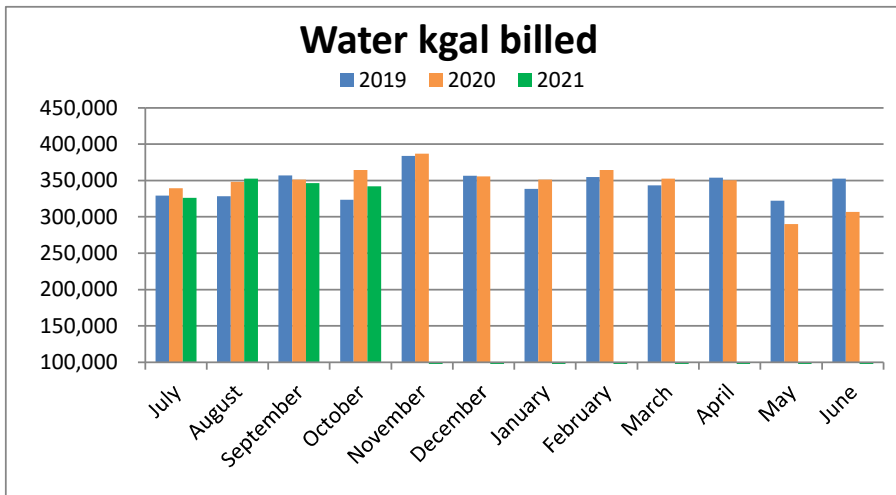
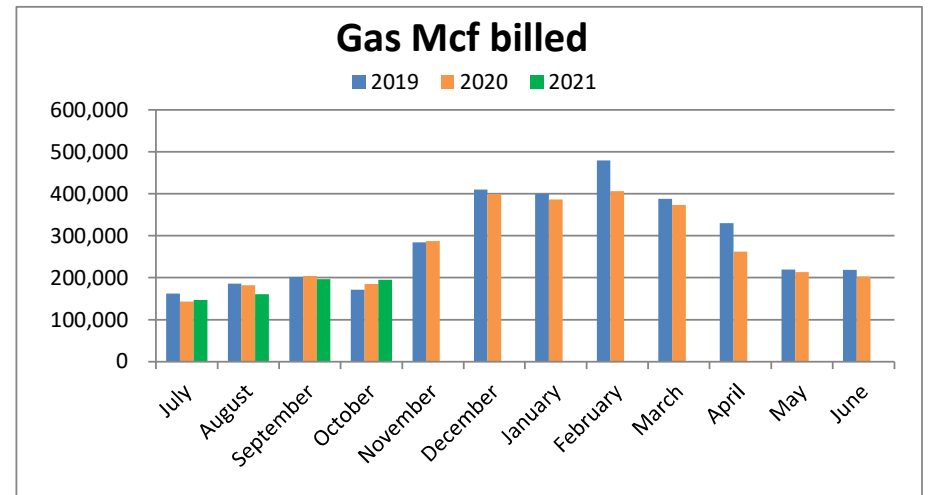
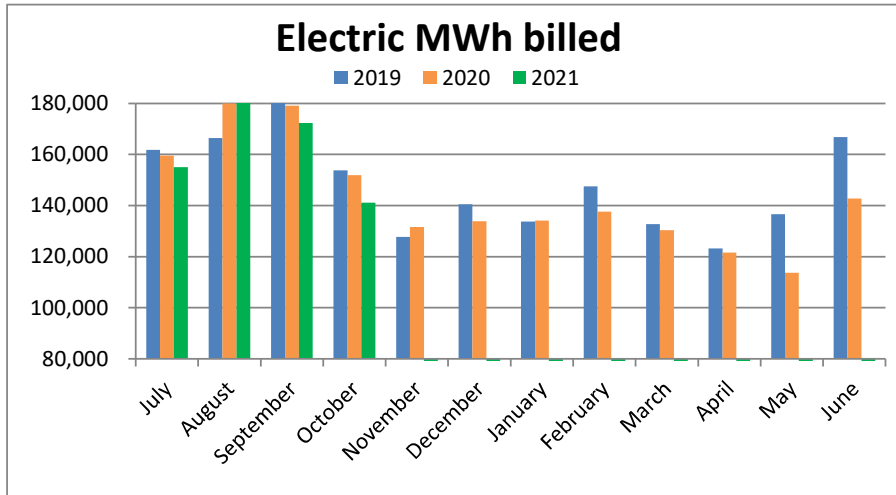
Budgetary Summary
October 31, 2020

	YTD Actual	Encumbrances	Total	Total Budget	Available Budget
Electric Fund	\$63,530,166	\$3,267,385	\$66,797,551	\$186,224,450	\$119,426,899
Water Fund	\$7,552,687	\$1,767,589	9,320,276	\$24,336,079	15,015,803
Sewer Fund	\$7,352,857	\$1,857,325	9,210,182	\$25,766,148	16,555,966
Gas Fund	\$8,009,698	\$14,600,319	22,610,017	\$34,165,161	11,555,144
Total	\$86,445,408	\$21,492,618	\$107,938,026	\$270,491,838	\$162,553,812

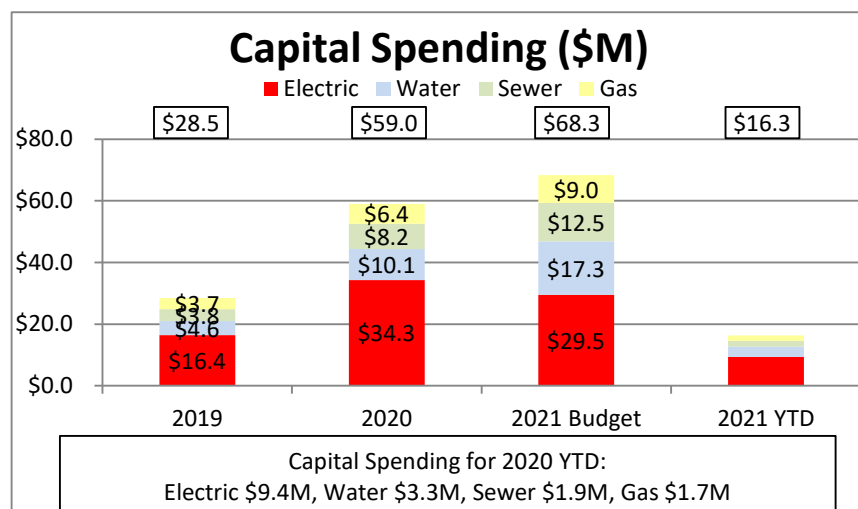
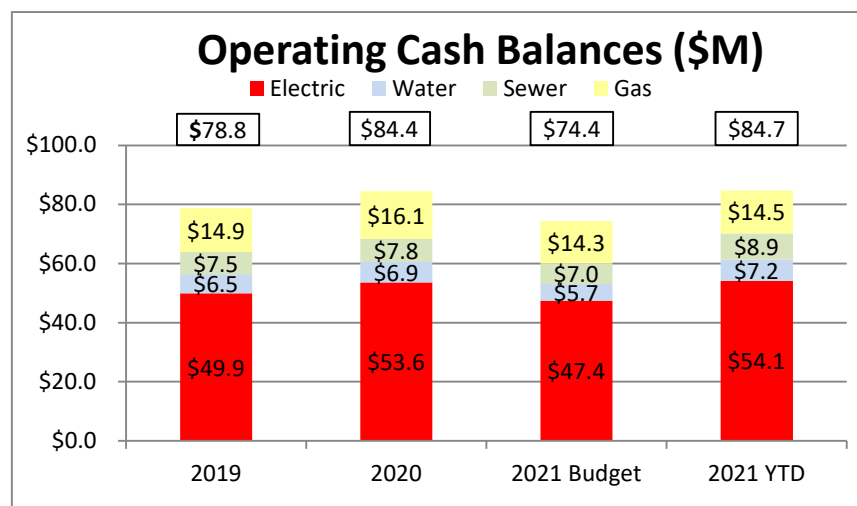
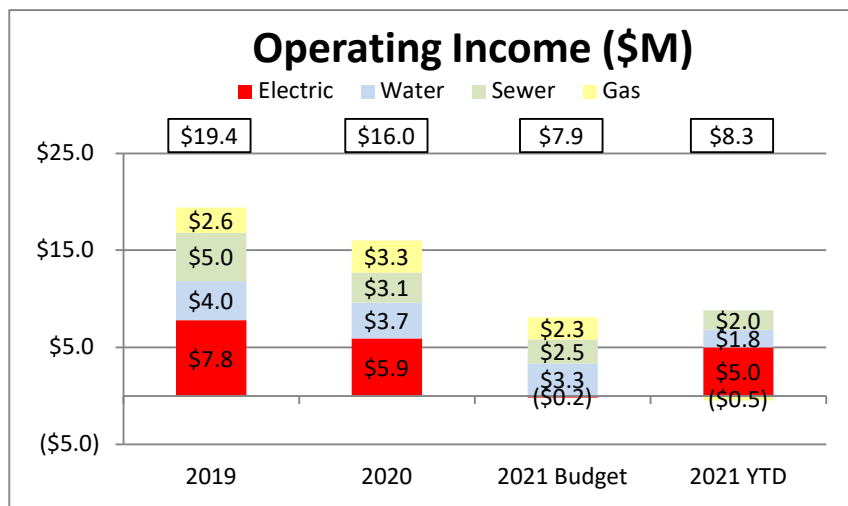
Weather



Customer Demand



Financial Trends



Current Fiscal Year

9

Greenville Utilities Commission
Revenue and Expenses - Electric Fund
October 31, 2020

Current Fiscal Year								Prior Fiscal Year				
		October	October	Variance			Variance	Total	% of			Change
	Line #	Actual	Budget	Favorable	YTD	YTD	Favorable	Original	Original	October	YTD	Prior YTD to
				(Unfavorable)	Actual	Budget	(Unfavorable)	Budget	Budget	Actual	Actual	Current YTD
Customer Demand:												
Number of Accounts	1	70,532								69,126		
kWh Purchased	2	131,229,311	133,653,932	2,424,621	658,483,899	667,734,083	9,250,184	1,846,814,038	35.7%	137,541,162	679,014,481	(20,530,582)
kWh Billed ¹	3	141,148,843	153,723,983	(12,575,140)	654,413,752	672,286,803	(17,873,051)	1,791,409,617	36.5%	151,894,744	670,361,188	(15,947,436)
Revenue:												
Rates & Charges - Retail	4	\$12,624,204	\$12,483,027	\$141,177	\$61,909,924	\$62,365,110	(\$455,186)	\$172,488,964	35.9%	\$12,851,101	\$63,229,806	(\$1,319,882)
Fees & Charges	5	158,864	81,356	77,508	423,498	325,424	98,074	976,268	43.4%	119,342	493,969	(70,471)
U. G. & Temp. Ser. Chgs.	6	28,989	34,252	(5,263)	199,097	137,008	62,089	411,038	48.4%	38,560	126,961	72,136
Miscellaneous	7	150,596	136,717	13,879	2,579,132	546,868	2,032,264	1,640,595	157.2%	104,585	365,568	2,213,564
Interest Income	8	34,456	39,167	(4,711)	151,423	156,668	(5,245)	470,000	32.2%	96,077	393,782	(242,359)
FEMA/Insurance Reimbursement	9	-	-	-	-	-	-	-	n/a	-	39,788	(39,788)
Bond Proceeds	10	-	-	-	-	-	-	137,585	0.0%	-	-	-
	12	\$12,997,109	\$12,774,519	\$222,590	\$65,263,074	\$63,531,078	\$1,731,996	\$176,124,450	37.1%	\$13,209,665	\$64,649,874	\$613,200
Expenditures:												
Operations	13	\$2,471,370	\$2,517,785	\$46,415	\$9,848,337	\$10,367,667	\$519,330	\$31,495,702	31.3%	\$1,981,064	\$8,660,309	\$1,188,028
Purchased Power	14	8,813,670	10,744,563	1,930,893	46,350,541	47,189,978	839,437	132,210,549	35.1%	11,343,994	49,238,030	(2,887,489)
Capital Outlay	15	857,432	725,293	(132,139)	3,352,952	2,948,015	(404,937)	8,890,926	37.7%	801,511	2,858,605	494,347
Debt Service	16	365,550	365,550	-	1,462,200	1,462,186	(14)	4,524,186	32.3%	277,382	1,108,416	353,784
City Turnover	17	323,081	323,081	-	1,292,324	1,292,324	-	3,876,969	33.3%	337,917	1,351,668	(59,344)
Street Light Reimbursement	18	77,463	70,112	(7,351)	307,158	280,448	(26,710)	841,345	36.5%	72,335	279,723	27,435
Transfer to OPEB Trust Fund	19	-	-	-	275,000	275,000	-	275,000	100.0%	-	275,000	-
	20	\$12,908,566	\$14,746,384	\$1,837,818	\$62,888,512	\$63,815,618	\$927,106	\$182,114,677	34.5%	\$14,814,203	\$63,771,751	(\$883,239)
Equity/Deficit from Operations	21	\$88,543	(\$1,971,865)	\$2,060,408	\$2,374,562	(\$284,540)	\$2,659,102	(\$5,990,227)		(\$1,604,538)	\$878,123	\$1,496,439
Transfers and Fund Balance												
Transfer from Capital Projects	22	\$0	\$41,667	(\$41,667)	\$0	\$166,668	(\$166,668)	\$500,000	0.0%	\$0	\$0	\$0
Transfer from Rate Stabilization	23	-	479,167	(479,167)	-	1,916,668	(1,916,668)	5,750,000	0.0%	-	-	-
Appropriated Fund Balance	24	-	-	-	-	-	-	3,850,000	0.0%	-	-	-
Transfer to Rate Stabilization	25	-	-	-	-	-	-	-	n/a	-	-	-
Transfer to Capital Projects	26	-	(320,833)	320,833	(641,654)	(1,283,320)	641,666	(3,850,000)	16.7%	(1,112)	(167,775)	(473,879)
Transfer to Designated Reserves	27	-	-	-	-	-	-	-	n/a	-	-	-
	28	\$0	\$200,001	(\$200,001)	(\$641,654)	\$800,016	(\$1,441,670)	\$6,250,000		(\$1,112)	(\$167,775)	(\$473,879)
Total Equity/Deficit	29	\$88,543	(\$1,771,864)	\$1,860,407	\$1,732,908	\$515,476	\$1,217,432	\$259,773		(\$1,605,650)	\$710,348	\$1,022,560

Note 1: kWh billed does not include volumes delivered in the current month and billed in the next month.

Greenville Utilities Commission
Revenue and Expenses - Water Fund
October 31, 2020

	Line #	Current Fiscal Year						Prior Fiscal Year				
		October Actual	October Budget	Variance Favorable (Unfavorable)	YTD Actual	YTD Budget	Variance Favorable (Unfavorable)	Total Original Budget	% of Original Budget	October Actual	YTD Actual	Change Prior YTD to Current YTD
Customer Demand:												
Number of Accounts	1	37,425								36,793		
Kgallons Pumped	2	464,220	471,346	7,126	1,725,362	1,676,741	(48,621)	4,962,922	34.8%	477,204	1,774,463	(49,101)
Kgallons Billed - Retail	3	322,308	309,142	13,166	1,294,069	1,218,409	75,660	3,544,787	36.5%	338,193	1,316,182	(22,112)
Kgallons Billed - Wholesale ¹	4	19,767	50,775	(31,008)	73,403	245,437	(172,034)	592,395	12.4%	26,281	87,228	(13,825)
Kgallons Billed	5	342,075	359,917	(17,842)	1,367,472	1,463,846	(96,374)	4,137,182	33.1%	364,474	1,403,410	(35,937)
Revenue:												
Rates & Charges - Retail	6	\$1,908,927	\$1,941,885	(\$32,958)	\$7,704,322	\$7,696,202	\$8,120	\$21,285,174	36.2%	\$1,988,286	\$7,744,517	(\$40,195)
Rates & Charges - Wholesale ¹	7	48,967	63,899	(14,932)	185,096	187,143	(2,047)	1,298,471	14.3%	61,607	215,937	(30,841)
Fees & Charges	8	20,196	33,952	(13,756)	132,351	135,808	(3,457)	407,409	32.5%	30,356	175,653	(43,302)
Temporary Service Charges	9	2,500	1,167	1,333	5,400	4,668	732	14,000	38.6%	1,100	4,300	1,100
Miscellaneous	10	45,985	16,297	29,688	85,899	65,188	20,711	2,295,566	3.7%	19,520	82,041	3,858
Interest Income	11	6,399	5,833	566	26,190	23,332	2,858	70,000	37.4%	14,244	56,765	(30,575)
FEMA/Insurance Reimbursement	12	-	-	-	-	-	-	-	n/a	-	-	-
Bond Proceeds	13	-	-	-	-	-	-	15,459	0.0%	-	-	-
	15	\$2,032,974	\$2,063,033	(\$30,059)	\$8,139,258	\$8,112,341	\$26,917	\$25,386,079	32.1%	\$2,115,113	\$8,279,213	(\$139,955)
Expenditures:												
Operations	16	\$1,323,646	\$1,219,199	(\$104,447)	\$4,862,068	\$5,033,124	\$171,056	\$15,318,599	31.7%	\$1,378,533	\$4,867,638	(\$5,570)
Capital Outlay	17	93,687	93,127	(560)	187,326	377,309	189,983	1,136,630	16.5%	34,555	240,697	(53,371)
Debt Service	18	197,273	197,271	(2)	1,589,092	789,084	(800,008)	2,682,732	59.2%	151,471	605,547	983,545
Transfer to OPEB Trust Fund	19	-	-	-	75,000	75,000	-	75,000	100.0%	-	75,000	-
	20	\$1,614,606	\$1,509,597	(\$105,009)	\$6,713,486	\$6,274,517	(\$438,969)	\$19,212,961	34.9%	\$1,564,559	\$5,788,882	\$924,604
Equity/Deficit from Operations	21	\$418,368	\$553,436	(\$135,068)	\$1,425,772	\$1,837,824	(\$412,052)	\$6,173,118		\$550,554	\$2,490,331	(\$1,064,559)
Transfers and Fund Balance												
Transfer from Capital Projects	22	\$0	\$0	\$0	\$0	\$0	\$0	\$0	n/a	\$0	\$0	\$0
Transfer from Rate Stabilization	23	-	-	-	-	-	-	-	n/a	-	-	-
Appropriated Fund Balance	24	-	-	-	-	-	-	1,050,000	0.0%	-	-	-
Transfer to Capital Projects	25	(418,368)	(420,833)	2,465	(839,201)	(1,683,332)	844,131	(5,050,000)	16.6%	(500,337)	(1,608,671)	769,470
Transfer to Designated Reserves	26	-	-	-	-	-	-	-	n/a	-	-	-
	27	(\$418,368)	(\$420,833)	\$2,465	(\$839,201)	(\$1,683,332)	\$844,131	(\$4,000,000)		(\$500,337)	(\$1,608,671)	\$769,470
Total Equity/Deficit	28	\$0	\$132,603	(\$132,603)	\$586,571	\$154,492	\$432,079	\$2,173,118		\$50,217	\$881,660	(\$295,089)

Note 1: Kgallons Billed - Wholesale and Rates and Charges - Wholesale represents sales to the Town of Bethel, the Town of Farmville, Greene County, the Town of Winterville and Stokes Regional Water Corporation.

**Greenville Utilities Commission
Revenue and Expenses - Sewer Fund
October 31, 2020**

	Current Fiscal Year							Prior Fiscal Year				
	Line #	October Actual	October Budget	Variance Favorable (Unfavorable)	YTD Actual	YTD Budget	Variance Favorable (Unfavorable)	Total Original Budget	% of Original Budget	October Actual	YTD Actual	Change Prior YTD to Current YTD
Customer Demand:												
Number of Accounts	1	31,052								30,453		
Kgallons Total Flow	2	308,360	294,075	(14,285)	1,251,300	1,232,167	(19,133)	3,754,754	33.3%	283,790	1,175,370	75,930
Kgallons Billed - Retail	3	260,559	256,290	4,269	1,012,194	994,508	17,686	2,853,350	35.5%	266,343	1,006,842	5,352
Kgallons Billed - Wholesale ¹	4	12,594	13,457	(863)	39,221	45,905	(6,684)	129,612	30.3%	8,272	26,673	12,548
Total Kgallons Billed	5	273,152	269,747	3,405	1,051,415	1,040,413	11,002	2,982,962	35.2%	274,615	1,033,515	17,900
Revenue:												
Rates & Charges - Retail	6	\$2,072,222	\$1,935,220	\$137,002	\$8,091,748	\$7,740,802	\$350,946	\$23,222,636	34.8%	\$2,107,540	\$8,052,597	\$39,151
Rates & Charges - Wholesale ¹	7	70,525	43,822	26,703	219,639	196,852	22,787	725,827	30.3%	46,321	149,369	70,270
Fees & Charges	8	31,477	34,179	(2,702)	139,702	136,716	2,986	410,148	34.1%	28,907	159,610	(19,908)
Miscellaneous	9	8,899	11,378	(2,479)	42,618	45,512	(2,894)	136,520	31.2%	13,854	89,081	(46,463)
Interest Income	10	5,805	6,667	(862)	24,773	26,668	(1,895)	80,000	31.0%	16,803	64,176	(39,403)
FEMA/Insurance Reimbursement	11	-	-	-	-	-	-	-	n/a	-	-	-
Bond Proceeds	12	-	-	-	-	-	-	141,017	0.0%	-	-	-
	14	\$2,188,928	\$2,031,266	\$157,662	\$8,518,480	\$8,146,550	\$371,930	\$24,716,148	34.5%	\$2,213,425	\$8,514,833	\$3,647
Expenditures:												
Operations	15	\$1,100,796	\$1,233,062	\$132,266	\$4,296,483	\$5,079,794	\$783,311	\$15,427,569	27.8%	\$1,115,205	\$4,311,617	(\$15,134)
Capital Outlay	16	107,397	207,123	99,726	333,565	830,576	497,011	2,493,784	13.4%	13,743	248,485	85,080
Debt Service	17	440,077	440,077	-	1,760,308	1,760,308	-	5,421,936	32.5%	459,978	1,839,633	(79,325)
Transfer to OPEB Trust Fund	18	-	-	-	75,000	75,000	-	75,000	100.0%	-	75,000	-
	19	\$1,648,270	\$1,880,262	\$231,992	\$6,465,356	\$7,745,678	\$1,280,322	\$23,418,289	27.6%	\$1,588,926	\$6,474,735	(\$9,379)
Equity/Deficit from Operations	20	\$540,658	\$151,004	\$389,654	\$2,053,124	\$400,872	\$1,652,252	\$1,297,859		\$624,499	\$2,040,098	\$13,026
Transfers and Fund Balance												
Transfer from Capital Projects	21	\$0	\$0	\$0	\$0	\$0	\$0	\$0	n/a	\$0	\$0	\$0
Transfer from Rate Stabilization	22	-	-	-	-	-	-	-	n/a	-	-	-
Appropriated Fund Balance	23	-	-	-	-	-	-	1,050,000	0.0%	-	-	-
Transfer to Capital Projects	24	(179,167)	(179,167)	-	(887,501)	(716,668)	(170,833)	(2,150,000)	41.3%	(500,279)	(900,279)	12,778
Transfer to Designated Reserves	25	-	-	-	-	-	-	-	n/a	-	-	-
	26	(\$179,167)	(\$179,167)	\$0	(\$887,501)	(\$716,668)	(\$170,833)	(\$1,100,000)		(\$500,279)	(\$900,279)	\$12,778
Total Equity/Deficit	27	\$361,491	(\$28,163)	\$389,654	\$1,165,623	(\$315,796)	\$1,481,419	\$197,859		\$124,220	\$1,139,819	\$25,804

Note 1: Kgallons Billed - Wholesale and Rates and Charges - Wholesale represents sales to the Town of Bethel and the Town of Grimesland.

Current Fiscal Year

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Greenville Utilities Commission
Statement of Revenues, Expenses and Changes in Fund Net Position
October 31, 2020

	Line #	Major Funds				Total
		Electric Fund	Water Fund	Sewer Fund	Gas Fund	
Operating revenues:						
Charges for services	1	\$ 12,812,056	\$ 1,980,590	\$ 2,174,225	\$ 1,896,366	\$ 18,863,237
Other operating revenues	2	23,618	5,382	5,318	5,150	39,468
Total operating revenues	3	12,835,674	1,985,972	2,179,543	1,901,516	18,902,705
Operating expenses:						
Administration and general	4	895,153	365,135	354,197	335,429	1,949,914
Operations and maintenance	5	1,576,214	958,513	746,599	496,436	3,777,762
Purchased power and gas	6	8,813,670	-	-	899,111	9,712,781
Depreciation	7	822,297	336,826	534,754	190,337	1,884,214
Total operating expenses	8	12,107,334	1,660,474	1,635,550	1,921,313	17,324,671
Operating income (loss)	9	728,340	325,498	543,993	(19,797)	1,578,034
Non-operating revenues (expenses):						
Interest income	10	55,596	11,021	15,351	18,318	100,286
Debt interest expense and service charges	11	(194,945)	(75,953)	(133,271)	(61,979)	(466,148)
Other nonoperating revenues	12	126,978	52,912	14,151	27,703	221,744
Other nonoperating expenses	13	-	-	-	-	-
Net nonoperating revenues	14	(12,371)	(12,020)	(103,769)	(15,958)	(144,118)
Income before contributions and transfers	15	715,969	313,478	440,224	(35,755)	1,433,916
Contributions and transfers:						
Capital contributions	16	-	58,961	172,245	-	231,206
Transfer to City of Greenville, General Fund	17	(323,081)	-	-	(138,762)	(461,843)
Transfer to City of Greenville, street light reimbursement	18	(77,463)	-	-	-	(77,463)
Total contributions and transfers	19	(400,544)	58,961	172,245	(138,762)	(308,100)
Changes in net position	20	315,425	372,439	612,469	(174,517)	1,125,816
Net position, beginning of month	21	157,460,459	82,318,752	122,117,892	51,191,429	413,088,532
Net position, end of month	22	\$ 157,775,884	\$ 82,691,191	\$ 122,730,361	\$ 51,016,912	\$ 414,214,348

Greenville Utilities Commission
Statement of Revenues, Expenses and Changes in Fund Net Position
Fiscal Year to Date
October 31, 2020

Line #	Major Funds				Total	Last Year
	Electric Fund	Water Fund	Sewer Fund	Gas Fund		
Operating revenues:						
Charges for services	1 \$ 62,532,519	\$ 8,027,168	\$ 8,451,089	\$ 6,919,939	\$ 85,930,715	\$ 87,871,067
Other operating revenues	2 2,188,462	20,677	23,861	20,446	2,253,446	350,674
Total operating revenues	3 64,720,981	8,047,845	8,474,950	6,940,385	88,184,161	88,221,741
Operating expenses:						
Administration and general	4 4,166,793	1,465,449	1,434,001	1,363,024	8,429,267	8,354,586
Operations and maintenance	5 5,956,544	3,471,622	2,937,483	1,976,909	14,342,558	13,632,797
Purchased power and gas	6 46,350,541	-	-	3,355,291	49,705,832	53,166,832
Depreciation	7 3,286,245	1,344,523	2,136,807	760,979	7,528,554	7,167,853
Total operating expenses	8 59,760,123	6,281,594	6,508,291	7,456,203	80,006,211	82,322,068
Operating income (Loss)	9 4,960,858	1,766,251	1,966,659	(515,818)	8,177,950	5,899,673
Non-operating revenues (expenses):						
Interest income	10 246,935	45,124	65,796	83,121	440,976	1,342,696
Debt interest expense and service charges	11 (779,780)	(1,103,812)	(533,084)	(247,916)	(2,664,592)	(1,682,843)
Other nonoperating revenues	12 390,669	160,980	102,168	64,665	718,482	660,572
Other nonoperating expenses	13 -	-	-	-	-	-
Net nonoperating revenues	14 (142,176)	(897,708)	(365,120)	(100,130)	(1,505,134)	320,425
Income before contributions and transfers	15 4,818,682	868,543	1,601,539	(615,948)	6,672,816	6,220,098
Contributions and transfers:						
Capital contributions	16 -	214,622	550,227	-	764,849	1,466,647
Transfer to City of Greenville, General Fund	17 (1,292,324)	-	-	(555,048)	(1,847,372)	(1,923,296)
Transfer to City of Greenville, street light reimbursement	18 (307,158)	-	-	-	(307,158)	(279,723)
Total contributions and transfers	19 (1,599,482)	214,622	550,227	(555,048)	(1,389,681)	(736,372)
Changes in net position	20 3,219,200	1,083,165	2,151,766	(1,170,996)	5,283,135	5,483,726
Beginning net position	21 154,556,684	81,608,026	120,578,595	52,187,908	408,931,213	394,456,066
Ending net position	22 \$ 157,775,884	\$ 82,691,191	\$ 122,730,361	\$ 51,016,912	\$ 414,214,348	\$ 399,939,792

¹ Other, nonoperating revenues include miscellaneous non-operating revenue and capital projects revenue.

Greenville Utilities Commission
Statement of Cash Flows
Fiscal Year to Date
October 31, 2020

	Line #	Electric	Water	Sewer	Gas	Total	Last Year
Sources:							
Operating income	1	\$ 4,960,858	\$ 1,766,251	\$ 1,966,659	\$ (515,818)	\$ 8,177,950	\$ 5,899,673
Depreciation	2	3,286,245	1,344,523	2,136,807	760,979	7,528,554	7,167,853
Changes in working capital	3	(995,001)	(453,436)	(837,631)	(407,811)	(2,693,879)	6,372,878
Interest earned	4	151,424	26,190	24,774	46,456	248,844	627,375
FEMA/insurance reimbursement	5	-	-	-	-	-	39,788
Transfer from rate stabilization	6	-	-	-	-	-	-
Transfer from capital projects	7	-	-	-	-	-	-
Proceeds from debt issuance	8	-	-	-	-	-	-
Subtotal	9	7,403,526	2,683,528	3,290,609	(116,194)	13,261,469	20,107,567
Uses:							
City Turnover	10	(1,292,324)	-	-	(555,048)	(1,847,372)	(1,923,296)
City Street Light reimbursement	11	(307,158)	-	-	-	(307,158)	(279,723)
Debt service payments	12	(1,590,704)	(638,674)	(1,044,236)	(720,866)	(3,994,480)	(3,013,759)
Debt Issuance costs	13	-	(800,000)	-	-	(800,000)	1,997
Other nonoperating expenses	14	-	-	-	-	-	-
Capital Outlay expenditures	15	(3,352,952)	(187,326)	(333,565)	(229,983)	(4,103,826)	(3,592,156)
Transfers to Rate Stabilization Fund	16	-	-	-	-	-	(41,666)
Transfers to Capital Projects Fund	17	(641,654)	(839,201)	(887,501)	-	(2,368,356)	(2,676,994)
Subtotal	18	(7,184,792)	(2,465,201)	(2,265,302)	(1,505,897)	(13,421,192)	(11,525,597)
Net increase (decrease) - operating cash	19	218,734	218,327	1,025,307	(1,622,091)	(159,723)	8,581,970
Rate stabilization funds							
Transfers from Operating Fund	20	-	-	-	-	-	41,666
Interest earnings	21	70,164	-	-	5,984	76,148	192,130
Transfers to Operating Fund	22	-	-	-	-	-	-
Net increase (decrease) - rate stabilization fund	23	70,164	-	-	5,984	76,148	233,796
Capital projects funds							
Proceeds from debt issuance	24	-	-	-	-	-	-
Contributions/grants	25	-	-	71,000	-	71,000	250,000
Interest earnings	26	25,347	16,865	38,748	30,681	111,641	517,140
Transfers from Operating Fund	27	641,654	839,201	887,501	-	2,368,356	2,676,994
Changes in working capital	28	(61,659)	(33,712)	(18,882)	(17,608)	(131,861)	(144,834)
Capital Projects expenditures	29	(7,479,382)	(3,810,099)	(1,954,473)	(1,851,069)	(15,095,023)	(11,375,571)
Net increase (decrease) - capital projects	30	(6,874,040)	(2,987,745)	(976,106)	(1,837,996)	(12,675,887)	(8,076,271)
Capital reserves funds							
System development fees	31	-	88,906	74,355	-	163,261	402,799
Interest earnings	32	-	2,069	2,274	-	4,343	6,051
Transfers to Capital Projects Fund	33	-	-	-	-	-	-
Transfers to Operating Fund	34	-	-	-	-	-	-
Net increase (decrease) - capital reserves	35	-	90,975	76,629	-	167,604	408,850
Net increase (decrease) in cash and investments	36	(6,585,142)	(2,678,443)	125,830	(3,454,103)	(12,591,858)	1,148,345
Cash and investments and revenue bond proceeds, beginning	37	\$ 91,386,449	\$ 20,034,946	\$ 27,399,369	\$ 30,833,012	\$ 169,653,776	\$ 82,053,279
Cash and investments and revenue bond proceeds, ending	38	\$ 84,801,307	\$ 17,356,503	\$ 27,525,199	\$ 27,378,909	\$ 157,061,918	\$ 83,201,624

Greenville Utilities Commission
Statement of Net Position
October 31, 2020

	Line #	Electric Fund	Water Fund	Sewer Fund	Gas Fund	Total
Assets						
Current assets:						
Cash and investments - Operating Fund	1	54,102,390	7,197,871	8,928,602	14,534,206	84,763,069
Cash and investments - Rate Stabilization Fund	2	24,425,161	-	-	2,161,356	26,586,517
Cash and investments - Capital Project Fund	3	6,241,388	6,087,896	13,622,881	10,495,103	36,447,268
Accounts receivable, net	4	17,484,581	2,620,123	2,718,666	2,090,792	24,914,162
Due from other governments	5	1,599,738	415,795	413,619	255,222	2,684,374
Inventories	6	5,666,498	879,809	217,931	822,853	7,587,091
Prepaid expenses and deposits	7	368,645	125,627	122,348	97,042	713,662
Total current assets	8	109,888,401	17,327,121	26,024,047	30,456,574	183,696,143
Non-current assets:						
Restricted assets:						
Restricted cash and cash equivalents:						
Bond funds	9	32,368	3,218,890	1,891,378	188,244	5,330,880
Capacity fees	10	-	-	2,158,902	-	2,158,902
System development fees	11	-	851,846	923,436	-	1,775,282
Total restricted cash and cash equivalents	12	32,368	4,070,736	4,973,716	188,244	9,265,064
Total restricted assets	13	32,368	4,070,736	4,973,716	188,244	9,265,064
Notes receivable	14	-	211,612	-	-	211,612
Capital assets:						
Land, easements and construction in progress	15	40,986,723	18,735,195	13,578,266	10,176,754	83,476,938
Other capital assets, net of depreciation	16	105,729,172	80,884,439	141,426,952	40,500,074	368,540,637
Total capital assets	17	146,715,895	99,619,634	155,005,218	50,676,828	452,017,575
Total non-current assets	18	146,748,263	103,901,982	159,978,934	50,865,072	461,494,251
Total assets	19	256,636,664	121,229,103	186,002,981	81,321,646	645,190,394
Deferred Outflows of Resources						
Pension deferrals	20	3,029,313	1,625,485	1,551,599	1,182,171	7,388,568
OPEB deferrals	21	6,128,584	3,288,509	3,139,032	2,391,642	14,947,767
Unamortized bond refunding charges	22	369,546	509,142	487,566	71,808	1,438,062
Total deferred outflows of resources	23	9,527,443	5,423,136	5,178,197	3,645,621	23,774,397
Liabilities						
Current liabilities:						
Accounts payable and accrued expenses	24	10,542,437	532,163	376,914	1,225,133	12,676,647
Customer deposits	25	3,443,113	775,280	1,469	457,402	4,677,264
Accrued interest payable	26	384,883	127,740	114,661	108,068	735,352
Unearned revenue ²	27	-	43,890	43,920	-	87,810
Current portion of compensated absences	28	781,695	371,857	334,080	310,526	1,798,158
Current maturities of long-term debt	29	1,628,855	1,274,021	3,447,658	497,772	6,848,306
Total current liabilities	30	16,780,983	3,124,951	4,318,702	2,598,901	26,823,537
Non-current liabilities						
Compensated absences	31	265,397	171,761	158,786	180,449	776,393
Long-term debt, excluding current portion	32	62,124,519	24,986,747	49,008,359	19,769,122	155,888,747
Net OPEB liability	33	22,288,744	11,959,815	11,416,186	8,698,046	54,362,791
Net pension liability	34	5,027,690	2,697,785	2,575,158	1,962,025	12,262,658
Total non current liabilities	35	89,706,350	39,816,108	63,158,489	30,609,642	223,290,589
Total liabilities	36	106,487,333	42,941,059	67,477,191	33,208,543	250,114,126
Deferred Inflows of Resources						
Pension deferrals	37	38,283	20,542	19,608	14,940	93,373
OPEB deferrals	38	1,862,607	999,447	954,018	726,872	4,542,944
Total deferred inflows of resources	39	1,900,890	1,019,989	973,626	741,812	4,636,317
Net Position						
Net investment in capital assets	40	83,364,435	77,086,898	104,928,145	30,669,986	296,049,464
Unrestricted	41	74,411,449	5,604,293	17,802,216	20,346,926	118,164,884
Total net position	42	\$ 157,775,884	\$ 82,691,191	\$ 122,730,361	\$ 51,016,912	\$ 414,214,348

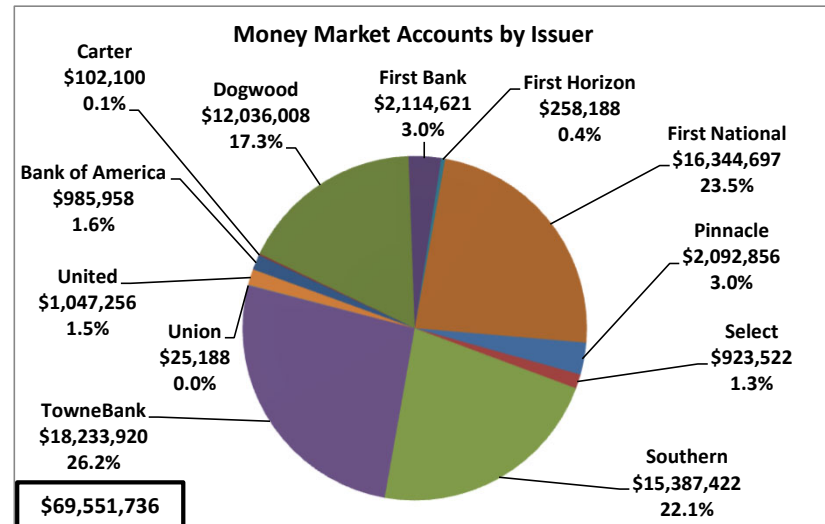
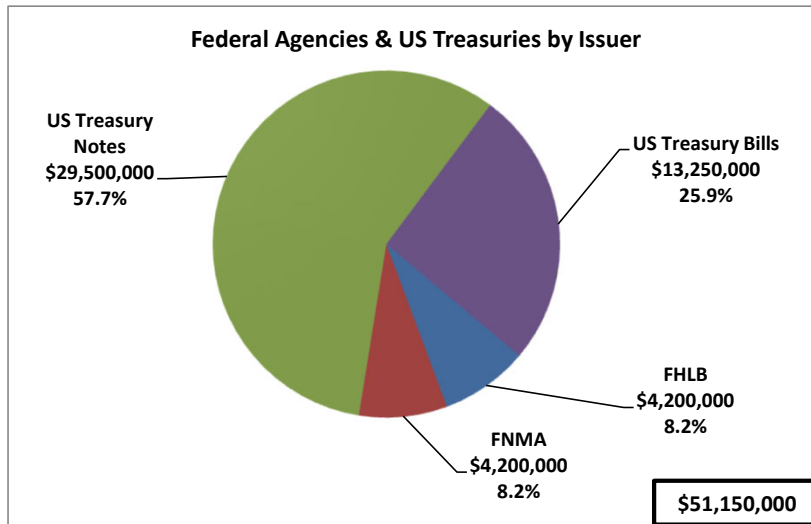
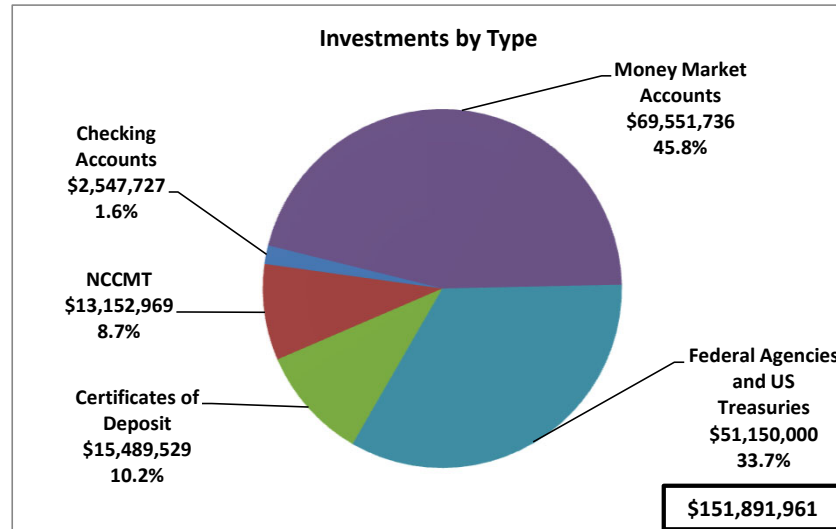
¹ Negative cash balances in the Capital Projects funds reflect reimbursements due from revenue bonds, SRF loans and grants.

² Unearned revenue includes prepaid street light installations and prepaid water and sewer tap fees.

Capital Projects Summary Report
October 31, 2020

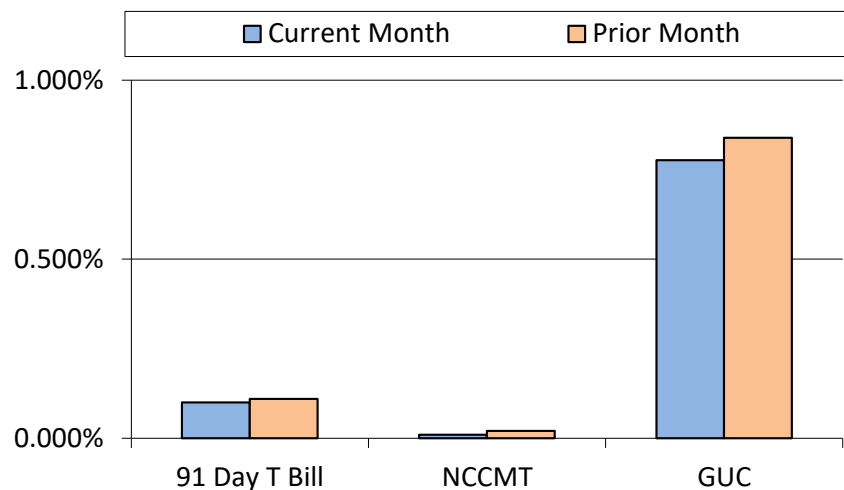
Project #	Project Name	Original Budget	Board Approval	Current Approved Budget	Current Month Expenditures	Year To Date Expenditures	Project To Date Expenditures	% of Budget Expended	Encumbrances	Available Budget	Estimated Completion Date
FCP-100	Downtown Office Efficiency and Enhancement	1,750,000	6/11/2015	4,075,000	1,150	76,892	4,014,411	98.5%	77,249	(16,660)	8/31/2020
FCP10072	New Operations Center Phase 2	4,000,000	6/9/2016	53,300,000	2,093,630	11,569,038	47,072,151	88.3%	3,628,914	2,598,935	12/31/2020
FCP10160	New Operations Center - Fleet Maintenance Building	7,000,000	6/11/2020	7,000,000	-	-	-	0.0%	-	7,000,000	6/30/2023
Total Shared Capital Projects		\$ 12,750,000		\$ 64,375,000	\$ 2,094,780	\$ 11,645,930	\$ 51,086,562	79.4%	\$ 3,706,163	\$ 9,582,275	
ECP-133	Sugg Parkway Transmission Line	1,700,000	5/17/2011	1,700,000	1,435	40,509	46,659	2.7%	459,701	1,193,640	12/31/2021
ECP-134	Sugg Parkway Substation	3,400,000	5/17/2011	3,400,000	-	50,784	168,034	4.9%	893,738	2,338,228	12/31/2021
ECP10168	POD #3 to Simpson Substation 115 kV Transmission Loop	300,000	6/9/2016	300,000	7,320	10,890	165,057	55.0%	-	134,943	6/30/2025
ECP10174	Electric System Expansion	2,500,000	6/8/2017	2,500,000	-	-	-	0.0%	-	2,500,000	6/30/2021
ECP10187	Vidant Peaking Generators	6,000,000	6/14/2018	6,000,000	-	129,250	5,788,635	96.5%	15,000	196,365	12/31/2020
ECP10208	Battery Storage Pilot Program 1MW	1,600,000	6/13/2019	1,600,000	597,720	796,960	925,580	57.8%	99,620	574,800	12/31/2020
ECP10218	Mt. Pleasant to Wellcome 115 kV Transmission	8,892,000	6/11/2020	8,892,000	45,267	90,752	90,752	1.0%	39,640	8,761,608	6/30/2023
ECP10219	Peak Shaving Generator(s) Replacement	6,000,000	6/11/2020	6,000,000	-	-	-	0.0%	-	6,000,000	6/30/2025
ECP10220	Transmission Structure Replacement(s)	2,000,000	6/11/2020	2,000,000	-	-	-	0.0%	-	2,000,000	6/30/2025
Total Electric Capital Projects		\$ 32,392,000		\$ 32,392,000	\$ 651,742	\$ 1,119,145	\$ 7,184,717	22.2%	\$ 1,507,699	\$ 23,699,584	
WCP-117	WTP Upgrade Phase I	1,900,000	6/12/2014	55,000,000	122,253	1,779,771	7,972,289	14.5%	45,475,879	1,551,832	12/31/2023
WCP-121	10th Street Connector Project	892,500	10/16/2014	1,896,000	-	-	5,875	0.3%	851,079	1,039,046	TBD by NCDOT
WCP-123	COG Town Creek Culvert Improvement	80,000	3/19/2015	1,260,000	-	-	150	0.0%	-	1,259,850	12/31/2020
WCP-124	Residual Lagoon Improvements	1,250,000	6/11/2015	1,250,000	176,291	355,273	935,122	74.8%	19,758	295,120	6/30/2022
WCP10030	Water Distribution System Improvements	500,000	6/14/2018	500,000	-	-	-	0.0%	-	500,000	12/31/2022
WCP10032	Water Main Rehabilitation Program Phase II	1,000,000	6/13/2019	1,000,000	-	30,123	115,787	11.6%	65,868	818,345	12/31/2021
WCP10033	Water Treatment Plan Riverbank Stabilization	1,500,000	6/11/2020	1,500,000	-	-	-	0.0%	-	1,500,000	6/30/2021
Total Water Capital Projects		\$ 7,122,500		\$ 62,406,000	\$ 298,544	\$ 2,165,167	\$ 9,029,223	14.5%	\$ 46,412,584	\$ 6,964,193	
SCP-123	COG Town Creek Culvert Improvement	80,000	3/19/2015	2,950,000	-	-	50,593	1.7%	-	2,899,407	12/31/2020
SCP10217	10th Street Connector Project	306,000	6/9/2016	306,000	-	-	-	0.0%	-	306,000	TBD by NCDOT
SCP10219	Candlewick Area Sanitary District Sewer Project	700,000	7/21/2016	800,000	-	50,452	719,478	89.9%	2,048	78,474	12/31/2020
SCP10221	Southeast Sewer Service Area Project	2,500,000	6/8/2017	3,000,000	46,695	46,695	56,109	1.9%	143,891	2,800,000	1/31/2022
SCP10222	Sewer Outfall Rehabilitation Phase 4	2,480,000	6/8/2017	2,480,000	8,358	8,358	324,128	13.1%	47,825	2,108,047	12/31/2022
SCP10223	Regional Pump Station Upgrades	1,800,000	6/8/2017	1,800,000	-	-	1,004,801	55.8%	4,840	790,359	6/30/2021
SCP10229	Greene Street Pump Station and Force Main	1,100,000	6/14/2018	1,600,000	22,771	34,368	70,829	4.4%	71,681	1,457,490	12/31/2021
SCP10230	Forlines Pump Station Expansion	250,000	6/14/2018	250,000	5,850	49,861	69,529	27.8%	84,921	95,550	7/31/2021
SCP10233	WWTP Headworks Improvements	2,500,000	6/13/2019	2,500,000	-	-	-	0.0%	-	2,500,000	12/31/2021
SCP10234	Harris Mill Run Outfall	500,000	6/13/2019	500,000	-	-	-	0.0%	-	500,000	12/31/2021
SCP10235	Duplex Pump Station Improvements	500,000	6/13/2019	500,000	-	18,202	414,949	83.0%	-	85,051	6/30/2022
SCP10236	Green Mill Run Tributary - 18-21 inch section	1,800,000	6/13/2019	1,800,000	-	-	-	0.0%	-	1,800,000	3/1/2021
SCP10238	WWTP Clarifier Replacement Project	6,000,000	8/19/2019	7,500,000	-	-	-	0.0%	-	7,500,000	7/31/2022
Total Sewer Capital Projects		\$ 20,516,000		\$ 25,986,000	\$ 83,674	\$ 207,936	\$ 2,710,416	10.4%	\$ 355,206	\$ 22,920,378	
GCP-92	LNG Liquefaction Additions	1,000,000	6/11/2015	1,000,000	-	-	28,428	2.8%	-	971,572	On Hold
GCP10099	High-Pressure Multiple Gas Facilities Relocation	9,500,000	6/8/2017	5,200,000	-	4,010	71,433	1.4%	140	5,128,427	6/30/2023
GCP10101	Firetower Road Widening	1,300,000	6/8/2017	1,300,000	-	-	-	0.0%	-	1,300,000	12/31/2022
GCP10104	Memorial Drive Bridge Replacement	1,500,000	6/14/2018	2,000,000	19,620	55,168	164,365	8.2%	36,540	1,799,095	TBD by NCDOT
GCP10108	Allen Road Widening (NCDOT U-5875)	1,000,000	6/13/2019	1,000,000	-	-	-	0.0%	-	1,000,000	12/31/2023
GCP10109	Integrity Management Replacement Project	1,750,000	6/13/2019	1,750,000	33,972	45,218	45,218	2.6%	122,254	1,582,528	6/30/2022
GCP10112	VOA Road Loop	1,200,000	6/11/2020	1,200,000	-	-	-	0.0%	-	1,200,000	6/30/2023
GCP10113	Evans Street Widening (NCDOT U-2817)	136,000	6/11/2020	136,000	-	-	-	0.0%	-	136,000	6/30/2025
GCP10114	14th Street Widening (NCDOT U-5917)	57,000	6/11/2020	57,000	-	-	-	0.0%	-	57,000	6/30/2026
Total Gas Capital Projects		\$ 17,443,000		\$ 13,643,000	\$ 53,592	\$ 104,396	\$ 309,444	2.3%	\$ 158,934	\$ 13,174,622	
Grand Total Capital Projects		\$ 90,223,500		\$ 198,802,000	\$ 3,182,332	\$ 15,242,574	\$ 70,320,362	35.4%	\$ 52,140,586	\$ 76,341,052	

Investment Portfolio Diversification October 31, 2020

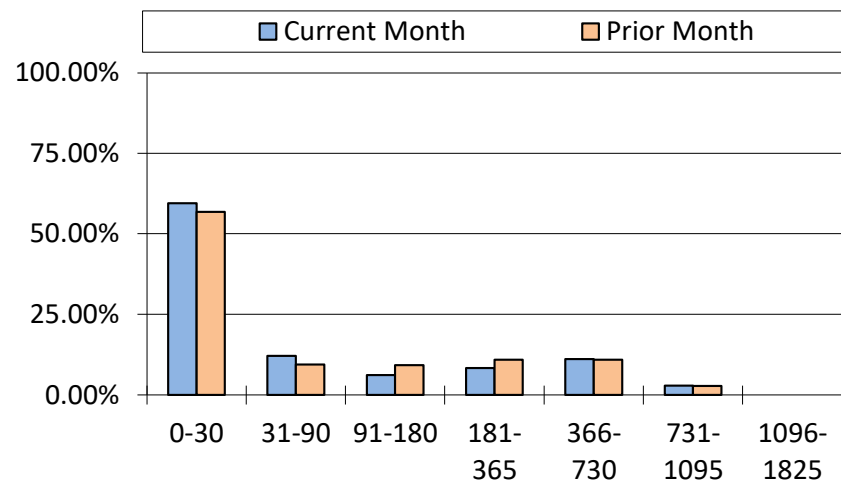


Cash and Investment Report
October 31, 2020

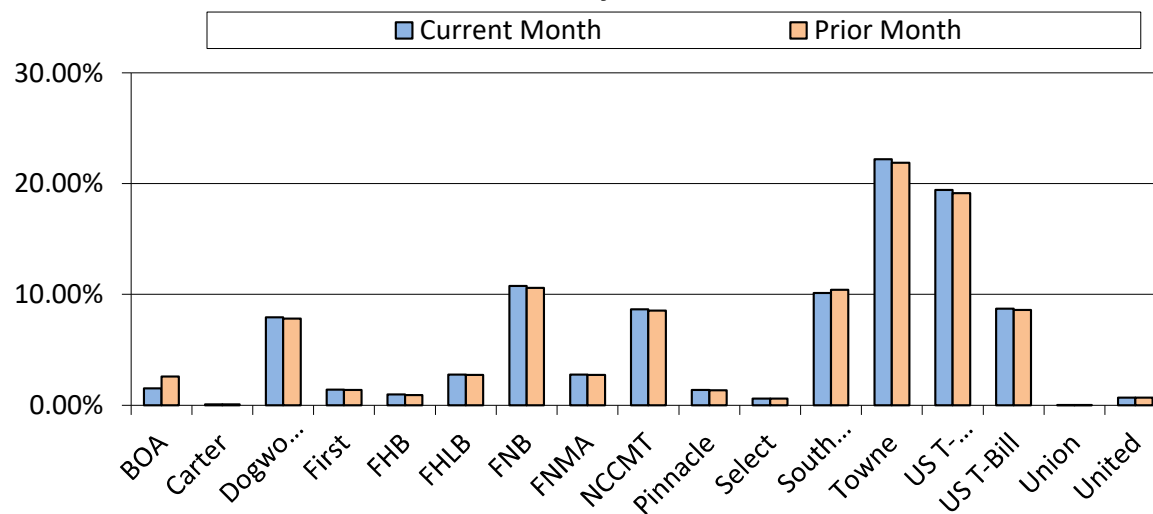
Yield Comparison



**Days to Maturity
Percent of Portfolio**



Portfolio by Issuer



**GUC Investments
Summary by Issuer
October 31, 2020**

Issuer	Number of Investments	Par Value	Remaining Cost	% of Portfolio	Average YTM 365	Average Days to Maturity
Bank of America	2	2,303,104.59	2,303,104.59	1.52	0.077	1
Carter Bank	1	102,100.12	102,100.12	0.07	0.250	1
Dogwood State Bank	1	12,036,007.99	12,036,007.99	7.94	0.750	1
First Bank	1	2,114,620.70	2,114,620.70	1.39	0.400	1
First Horizon Bank	2	1,488,767.84	1,488,767.84	0.98	0.035	1
Federal Home Loan Bank	2	4,200,000.00	4,243,612.50	2.80	1.636	677
First National Bank	1	16,344,697.35	16,344,697.35	10.78	0.450	1
Federal National Mort Assoc	2	4,200,000.00	4,162,336.50	2.75	2.256	57
N C Capital Management Trust	2	13,152,969.36	13,152,969.36	8.67	0.010	1
Pinnacle Bank	1	2,092,856.33	2,092,856.33	1.38	0.450	1
Select Bank & Trust Co.	1	923,521.70	923,521.70	0.61	0.100	1
Southern Bank & Trust Co.	1	15,387,421.96	15,387,421.96	10.15	0.160	1
US Treasury Note	13	29,500,000.00	29,237,880.76	19.28	2.001	437
US Treasury Bill	2	13,250,000.00	13,240,072.58	8.73	0.142	114
TowneBank	4	33,723,449.04	33,723,449.04	22.24	0.566	27
Union Bank	1	25,187.71	25,187.71	0.02	0.150	1
United Bank	1	1,047,255.97	1,047,255.97	0.69	0.750	1
Total and Average	38	151,891,960.66	151,625,863.00	100.00	0.776	121

**GUC Investments
Portfolio Management
Portfolio Details - Investments
October 31, 2020**

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CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	YTM 360	YTM 365	Days to Maturity	Maturity Date
Certificates of Deposit - Bank												
SYS1131	1131	TowneBank		04/27/2020	5,167,691.08	5,167,691.08	5,167,691.08	1.010	0.996	1.010	26	11/27/2020
SYS1132	1132	TowneBank		05/29/2020	5,165,565.52	5,165,565.52	5,165,565.52	0.760	0.750	0.760	58	12/29/2020
SYS1133	1133	TowneBank		07/01/2020	5,156,272.27	5,156,272.27	5,156,272.27	0.760	0.750	0.760	92	02/01/2021
Subtotal and Average			15,489,528.87		15,489,528.87	15,489,528.87	15,489,528.87		0.832	0.843	59	
NC Capital Management Trust												
SYS33	33	N C Capital Management Trust			12,752,612.84	12,752,612.84	12,752,612.84	0.010	0.010	0.010	1	
SYS988	988	N C Capital Management Trust			400,356.52	400,356.52	400,356.52	0.010	0.010	0.010	1	
Subtotal and Average			13,152,643.51		13,152,969.36	13,152,969.36	13,152,969.36		0.010	0.010	1	
Passbook/Checking Accounts												
SYS735	735	Bank of America			1,317,146.52	1,317,146.52	1,317,146.52		0.000	0.000	1	
SYS974	974	First Horizon Bank		07/01/2020	1,230,580.22	1,230,580.22	1,230,580.22		0.000	0.000	1	
Subtotal and Average			4,020,412.52		2,547,726.74	2,547,726.74	2,547,726.74		0.000	0.000	1	
Money Market Accounts												
SYS733	733	Bank of America			985,958.07	985,958.07	985,958.07	0.180	0.178	0.180	1	
SYS1082	1082	Carter Bank			102,100.12	102,100.12	102,100.12	0.250	0.247	0.250	1	
SYS1125	1125	Dogwood State Bank			12,036,007.99	12,036,007.99	12,036,007.99	0.750	0.740	0.750	1	
SYS946	946	First Bank			2,114,620.70	2,114,620.70	2,114,620.70	0.400	0.395	0.400	1	
SYS975	975	First Horizon Bank			258,187.62	258,187.62	258,187.62	0.200	0.197	0.200	1	
SYS899	899	First National Bank			16,344,697.35	16,344,697.35	16,344,697.35	0.450	0.444	0.450	1	
SYS915	915	Pinnacle Bank			2,092,856.33	2,092,856.33	2,092,856.33	0.450	0.444	0.450	1	
SYS916	916	Select Bank & Trust Co.			923,521.70	923,521.70	923,521.70	0.100	0.099	0.100	1	
SYS917	917	Southern Bank & Trust Co.			15,387,421.96	15,387,421.96	15,387,421.96	0.160	0.158	0.160	1	
SYS1032	1032	TowneBank			18,233,920.17	18,233,920.17	18,233,920.17	0.330	0.325	0.330	1	
SYS927	927	Union Bank			25,187.71	25,187.71	25,187.71	0.150	0.148	0.150	1	
SYS954	954	United Bank			1,047,255.97	1,047,255.97	1,047,255.97	0.750	0.740	0.750	1	
Subtotal and Average			69,735,739.39		69,551,735.69	69,551,735.69	69,551,735.69		0.394	0.399	1	
Federal Agency Coupon Securities												
313380GJ0	1093	Federal Home Loan Bank		09/13/2019	2,250,000.00	2,325,397.50	2,273,332.50	2.000	1.620	1.643	677	09/09/2022
313380GJ0	1099	Federal Home Loan Bank		10/23/2019	1,950,000.00	2,015,344.50	1,970,280.00	2.000	1.606	1.628	677	09/09/2022
3135G0H55	1100	Federal National Mort Assoc		10/23/2019	2,100,000.00	2,105,985.00	2,105,029.50	1.875	1.646	1.669	57	12/28/2020
3135G0H55	992	Federal National Mort Assoc		11/05/2018	2,100,000.00	2,105,985.00	2,057,307.00	1.875	2.818	2.857	57	12/28/2020
Subtotal and Average			8,405,949.00		8,400,000.00	8,552,712.00	8,405,949.00		1.917	1.943	370	

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**GUC Investments
Portfolio Management
Portfolio Details - Investments
October 31, 2020**

Page 2

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	YTM 360	YTM 365	Days to Maturity	Maturity Date
Treasury Coupon Securities												
912828U81	1019	US Treasury Note		01/02/2019	2,250,000.00	2,298,667.50	2,220,468.75	2.000	2.424	2.457	425	12/31/2021
912828W89	1062	US Treasury Note		04/02/2019	2,100,000.00	2,151,366.00	2,076,046.88	1.875	2.240	2.271	515	03/31/2022
912828XW5	1087	US Treasury Note		07/02/2019	2,250,000.00	2,309,760.00	2,251,054.69	1.750	1.710	1.734	606	06/30/2022
912828U81	1102	US Treasury Note		10/23/2019	1,950,000.00	1,992,178.50	1,966,833.98	2.000	1.575	1.596	425	12/31/2021
912828C57	1104	US Treasury Note		10/24/2019	2,100,000.00	2,118,459.00	2,118,867.18	2.250	1.591	1.613	150	03/31/2021
912828W89	1105	US Treasury Note		10/24/2019	2,100,000.00	2,151,366.00	2,115,503.90	1.875	1.543	1.565	515	03/31/2022
912828S27	1108	US Treasury Note		10/25/2019	2,100,000.00	2,114,091.00	2,082,937.50	1.125	1.594	1.616	241	06/30/2021
912828T34	1109	US Treasury Note		10/25/2019	2,100,000.00	2,118,942.00	2,080,886.70	1.125	1.583	1.605	333	09/30/2021
912828XW5	1110	US Treasury Note		10/25/2019	1,950,000.00	2,001,792.00	1,959,750.00	1.750	1.537	1.559	606	06/30/2022
912828YW4	1126	US Treasury Note		01/02/2020	4,300,000.00	4,433,730.00	4,302,519.50	1.625	1.583	1.604	774	12/15/2022
912828T34	995	US Treasury Note		11/06/2018	2,100,000.00	2,118,942.00	1,993,605.45	1.125	2.921	2.962	333	09/30/2021
912828C57	998	US Treasury Note		11/07/2018	2,100,000.00	2,118,459.00	2,066,613.28	2.250	2.901	2.942	150	03/31/2021
912828S27	999	US Treasury Note		11/07/2018	2,100,000.00	2,114,091.00	2,002,792.95	1.125	2.915	2.956	241	06/30/2021
Subtotal and Average			29,237,880.76		29,500,000.00	30,041,844.00	29,237,880.76		1.974	2.001	437	
Miscellaneous Discounts -Amortizing												
912796TY5	1134	US Treasury Bill		07/07/2020	9,000,000.00	8,998,830.00	8,993,030.58	0.158	0.160	0.162	60	12/31/2020
9127963H0	1135	US Treasury Bill		09/29/2020	4,250,000.00	4,247,535.00	4,247,042.00	0.096	0.097	0.099	228	06/17/2021
Subtotal and Average			13,240,072.58		13,250,000.00	13,246,365.00	13,240,072.58		0.140	0.142	114	
Total and Average			153,282,226.63		151,891,960.66	152,582,881.66	151,625,863.00		0.766	0.776	121	



Agenda Item # 3

Meeting Date: November 19, 2020

Item:	Presentation of Annual Audit Report Fiscal Year 2019-20
Contact:	Jeff McCauley
Explanation:	Cherry Bekaert LLP has been engaged by GUC to perform auditing services. Representative(s) from the audit firm will be present at the meeting to answer any questions you may have concerning the report.
Strategic Plan Elements:	<p>Strategic Themes:</p> <ul style="list-style-type: none">• Exceptional Customer Service• Safety, Reliability & Value• Shaping Our Future <p>Objectives:</p> <ul style="list-style-type: none">• Providing competitive rates, while maintaining the financial stability of the utility• Exceeding customer expectations• Safely providing reliable and innovative utility solutions <p>Core Values:</p> <ul style="list-style-type: none">• Exceed Customers' Expectations• Act with Integrity• Value Employees• Deliver Reliable Services• Prioritize Safety• Support the Community
Previous Board Actions:	N/A
Fiscal Note:	N/A
Recommended Action(s):	Accept Annual Audit Report for FY 2019-20



Agenda Item # 4

Meeting Date: November 19, 2020

Item:	Consideration of 2021 GUC Meeting Schedule
Contact:	Tony Cannon
Explanation:	<p>The proposed GUC meeting schedule for 2021 is attached for consideration by the Board. GUC holds its regular meetings on the 3rd Thursday of each month at 12:00 p.m., except in June as noted. Other known meetings have also been included on the proposed schedule.</p> <p>GUC has begun its budgeting process for FY 2021-2022. In the past, the Board has opted to use the regularly scheduled meetings to discuss budget issues in lieu of having all day or half day workshops. In addition, the Board Finance/Audit Committee typically meets with staff to review the preliminary budget prior to the initial discussion with the entire Board.</p> <p>The proposed meeting dates for budget preparation, joint meetings with City Council and regular meetings are listed on the attached meeting schedule. If the Board desires to have additional time for budget discussions, other meetings can be scheduled.</p> <p>Staff is recommending the Board adopt the 2021 GUC Meeting Schedule.</p>
Strategic Plan Elements:	<p>Strategic Themes:</p> <ul style="list-style-type: none">• Exceptional Customer Service• Shaping Our Future <p>Objectives:</p> <ul style="list-style-type: none">• Exceeding customer expectations <p>Core Values:</p> <ul style="list-style-type: none">• Exceed Customers' Expectations• Encourage Innovation/Lifelong Learning• Support the Community
Previous Board Actions:	N/A
Fiscal Note:	N/A

**Recommended
Action(s):**

Adopt the 2021 GUC Meeting Schedule.

2021 GUC Meeting Schedule

January	21	12:00	GUC Meeting
February	18	12:00	GUC Meeting
March	8	12:00	Finance/Audit Committee Meeting
	18	12:00	GUC Meeting – Presentation on Preliminary Draft Budget and Plan, Five-year Capital Plans and Finance/Audit Committee Recommendation
April	15	12:00	GUC Meeting – Adopt FY 21-22 preliminary budget
	19	6:00	GUC/COG Joint Meeting
May	13	6:00	City Council Meeting - Presentation of FY 21-22 GUC balanced budget
	20	12:00	GUC Meeting – Adopt end-of-year budget amendments
June	7	6:00	City Council Meeting - Public Hearing FY 21-22 Budget and FY 20-21 Budget Amendments
	10	12:00	GUC Meeting – Adopt final budget for FY 21-22
	10	6:00	City Council Meeting - Adopt FY 21-22 Budget and FY 20-21 Budget Amendments
July	15	12:00	GUC Meeting
August	19	12:00	GUC Meeting
September	16	12:00	GUC Meeting
	20	6:00	GUC/COG Joint Meeting
October	21	12:00	GUC Meeting
November	18	12:00	GUC Meeting
December	16	12:00	GUC Meeting



Agenda Item # 5

Meeting Date: November 19, 2020

Item:	Consideration of Abandonment of a fifteen foot (15') wide Utility Easement across Tax Parcel No. 50113.
Contact:	Phil Dixon
Explanation:	Approximately five (5) acres of the southern most portion of Tax Parcel No. 50113 are going to be sold for a multi-family project, and the existing mobile homes located off of Alvah Drive will be moved off of the site in the next few months. The existing Utility Easement is no longer needed by the Commission.
Strategic Plan Elements:	<p>Strategic Themes:</p> <ul style="list-style-type: none">• Safety, Reliability, and Value• Exceptional Customer Service <p>Objectives:</p> <ul style="list-style-type: none">• Safely providing reliable and innovative utility solutions• Providing competitive rates, while maintaining the financial stability of the utility• Exceeding customer expectations <p>Core Values:</p> <ul style="list-style-type: none">• Deliver Reliable Services
Previous Board Actions:	N/A
Fiscal Note:	N/A
Recommended Action(s):	Authorize the execution of a Resolution requesting City Council of the City of Greenville, North Carolina, to abandon such easement and request the execution of a Deed of Release in favor of the current owner.

RESOLUTION _____

RESOLUTION OF GREENVILLE UTILITIES COMMISSION
OF THE CITY OF GREENVILLE, NORTH CAROLINA,
ABANDONING A FIFTEEN FOOT (15') WIDE UTILITY EASEMENT
(BOOK 391 AT PAGE 439, PITT COUNTY PUBLIC REGISTRY)
AND REQUESTING EXECUTION OF A DEED OF RELEASE

WHEREAS, Greenville Utilities Commission of the City of Greenville, North Carolina (hereinafter referred to as "Commission") heretofore obtained a fifteen foot (15') wide Utility Easement, which said easement is more particularly described in Book 391 at Page 439, Pitt County Public Registry; and

WHEREAS, the current owner of such property, Three Twenty Enterprises, LLC, a North Carolina Limited Liability Company, has requested the City of Greenville and Commission to abandon such fifteen foot (15') wide Utility Easement as hereinafter described as to be abandoned, and said owner has requested the City of Greenville to acknowledge such abandonment and to execute a Deed of Release in its favor; and

WHEREAS, such fifteen foot (15') wide Utility Easement heretofore granted to Commission is no longer needed by Commission; and

WHEREAS, Commission desires to abandon such fifteen foot (15') wide Utility Easement hereinafter described as to be abandoned, all as is shown as to be abandoned on that certain plat entitled "Utility Easement Abandonment Map for Greenville Utilities Commission Three Twenty Enterprises, LLC Reference Deed Book 3825 Page 111, of the Pitt County Registry Grimesland Township Pitt County North Carolina" dated September 15, 2020, Project No. P-1262, Drawing No. P1262EASE-ABANDONMENT-ELECTRIC.DGN, prepared by Malpass & Associates, NC License Number C-1289, 1645 E. Arlington Boulevard, Suite D, Greenville, North Carolina 27858, Telephone (252) 756-1780, a copy of which said map is marked Exhibit "A" and is attached hereto and made a part hereof, and to which reference is hereby made for a more particular and accurate description of the said fifteen foot (15') wide Utility Easement to be abandoned. Reference is hereby further made to Exhibit "B" which is attached hereto and made a part hereof which is a diagram entitled "Three Twenty Enterprises, LLC 15' Utility Easement to be Abandoned Pitt County, NC" prepared by Greenville Utilities Commission, which sets forth the fifteen foot (15') wide Utility Easement to be abandoned; and

WHEREAS, Commission deems such abandonment to be reasonable and in the best interests of Commission and all parties, and has requested the City of Greenville of North

Carolina to acknowledge such abandonment and release of such fifteen foot (15') wide Utility Easement as shown on such plats as to be abandoned as hereinabove described.

NOW, THEREFORE, BE IT RESOLVED by Greenville Utilities Commission of the City of Greenville, North Carolina, in Regular Session on the _____ day of _____, 20____, as follows:

1. That Greenville Utilities Commission has no need or desire to use such fifteen foot (15') wide Utility Easement previously granted to the City of Greenville for the use and benefit of Greenville Utilities Commission in Book 391 at Page 439, Pitt County Public Registry, which is shown as to be abandoned on that certain plat attached hereto as Exhibit "A". Reference is hereby further made to Exhibit "B" which is attached hereto and made a part hereof.

2. That a request be made to the City Council of the City of Greenville, North Carolina, as soon as practicable that it acknowledge an abandonment of the said fifteen foot (15') wide Utility Easement to be abandoned as hereinabove described, and that the City Council of the City of Greenville authorize the appropriate City officials to, make, execute, and deliver to Three Twenty Enterprises, LLC, a North Carolina Limited Liability Company, as the current owner of said property encumbered by the said fifteen foot (15') wide Utility Easement to be abandoned, an instrument in a form suitable for recording and releasing whatever interests the City of Greenville for the use and benefit of Greenville Utilities Commission might have in and to the fifteen foot (15') wide Utility Easement to be abandoned, all as is shown on Exhibit "A" and Exhibit "B."

Adopted this the _____ day of _____, 20____.

GREENVILLE UTILITIES COMMISSION

By _____
PARKER OVERTON, CHAIR

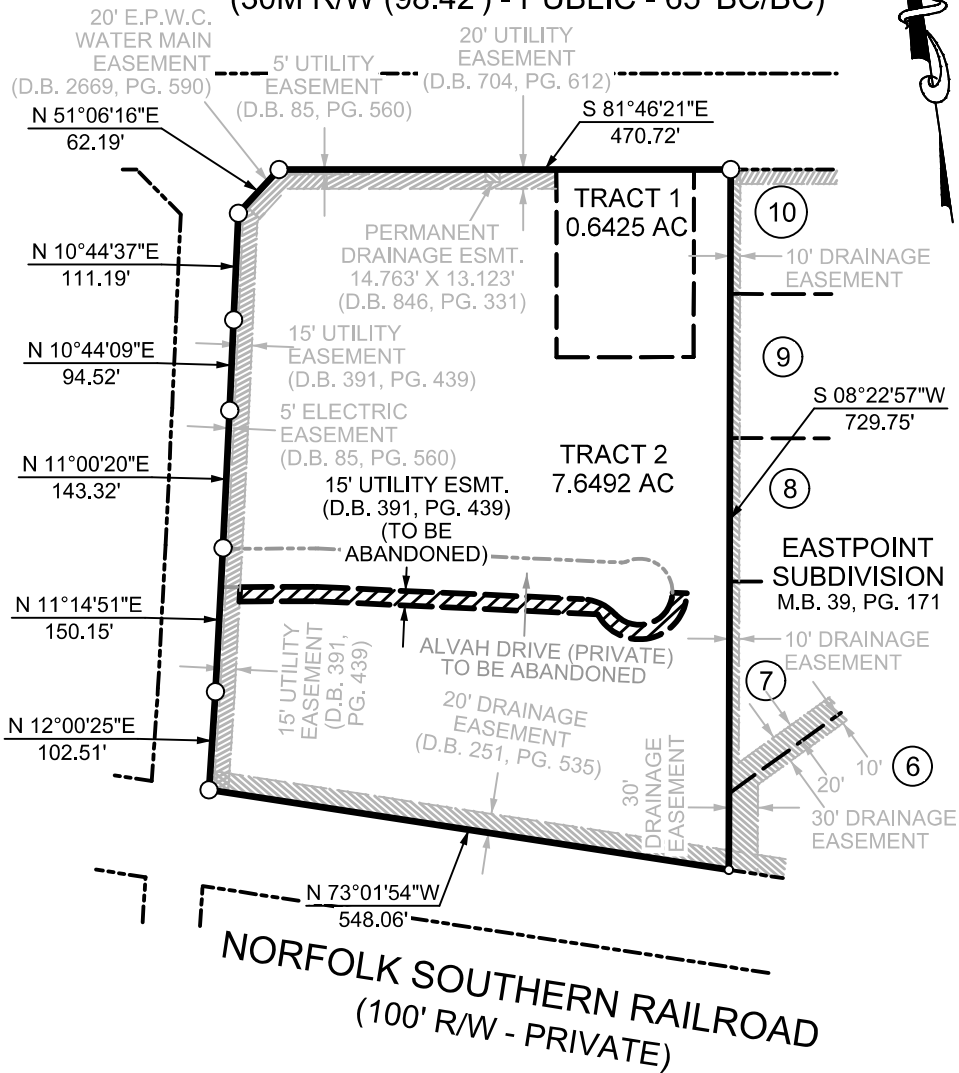
ATTEST:

MINNIE ANDERSON, SECRETARY

(SEAL)

NCSR 1728 - L.T. HARDEE ROAD
(60' R/W - PUBLIC - PAVED)

NC HWY 33 (10TH STREET)
(30M R/W (98.42') - PUBLIC - 65' BC/BC)



UTILITY EASEMENT ABANDONMENT MAP FOR
GREENVILLE UTILITIES COMMISSION

THREE TWENTY ENTERPRISES, LLC

REFERENCE DEED BOOK 3825 PAGE 111,
OF THE PITT COUNTY REGISTRY

GRIMESLAND TOWNSHIP

PITT COUNTY

NORTH CAROLINA

APPROVED: WKM

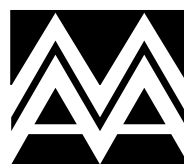
DATE: 09/15/20

DRAWN: WCO

SCALE: 1" = 200'

SHEET: 1 OF 1

OWNER: THREE TWENTY ENTERPRISES, LLC
C/O GERALD BARFIELD
ADDRESS: P.O. BOX 188
SIMPSON, NC 27879
PHONE: (252) 560-1515



MALPASS & ASSOCIATES
(NC LICENSE NUMBER C-1289)
1645 E. ARLINGTON BLVD., SUITE D
GREENVILLE, N.C. 27858
(252) 756-1780



**Three Twenty Enterprises, LLC
15' Utility Easement to be Abandoned
Pitt County, NC**

Exhibit "B"

RESOLUTION

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF
GREENVILLE, NORTH CAROLINA,
ABANDONING A FIFTEEN FOOT (15') WIDE UTILITY EASEMENT
PREVIOUSLY GRANTED TO THE CITY OF GREENVILLE
FOR THE USE AND BENEFIT OF GREENVILLE UTILITIES COMMISSION
(BOOK 391 AT PAGE 439, PITT COUNTY PUBLIC REGISTRY),
AND AUTHORIZING EXECUTION OF A DEED OF RELEASE

WHEREAS, Greenville Utilities Commission of the City of Greenville, North Carolina (hereinafter referred to as "Commission") heretofore obtained a fifteen foot (15') wide Utility Easement, which said easement is more particularly described in Book 391 at Page 439, Pitt County Public Registry; and

WHEREAS, the current owner of such property, Three Twenty Enterprises, LLC, a North Carolina Limited Liability Company, has requested the City of Greenville and Commission to abandon such fifteen foot (15') wide Utility Easement as hereinafter described as to be abandoned, and said owner has requested the City of Greenville to acknowledge such abandonment and to execute a Deed of Release in its favor; and

WHEREAS, Commission anticipates no use or need now or in the future for such fifteen foot (15') wide Utility Easement hereinafter described as to be abandoned; and

WHEREAS, Commission desires to abandon such fifteen foot (15') wide Utility Easement hereinafter described as to be abandoned, all as is shown as to be abandoned on that certain plat entitled "Utility Easement Abandonment Map for Greenville Utilities Commission Three Twenty Enterprises, LLC Reference Deed Book 3825 Page 111, of the Pitt County Registry Grimesland Township Pitt County North Carolina" dated September 15, 2020, Project No. P-1262, Drawing No. P1262EASE-ABANDONMENT-ELECTRIC.DGN, prepared by Malpass & Associates, NC License Number C-1289, 1645 E. Arlington Boulevard, Suite D, Greenville, North Carolina 27858, Telephone (252) 756-1780, a copy of which said map is marked Exhibit "A" and is attached hereto and made a part hereof, and to which reference is hereby made for a more particular and accurate description of the said fifteen foot (15') wide Utility Easement to be abandoned. Reference is hereby further made to Exhibit "B" which is attached hereto and made a part hereof which is a diagram entitled "Three Twenty Enterprises, LLC 15' Utility Easement to be Abandoned Pitt County, NC" prepared by Greenville Utilities Commission, which sets forth the fifteen foot (15') wide Utility Easement to be abandoned; and

WHEREAS, Commission deems such abandonment to be reasonable and in the best interests of Commission and all parties, and has requested the City of Greenville of North Carolina to acknowledge such abandonment and release of such fifteen foot (15') wide Utility Easement as shown on such plats as to be abandoned as hereinabove described.

NOW, THEREFORE, BE IT RESOLVED by the City Council of the City of Greenville, in a virtual session, on the _____ day of _____, 20____, as follows:

1. That the City Council of the City of Greenville does hereby abandon such fifteen foot (15') wide Utility Easement previously granted to the City of Greenville for the use and benefit of Greenville Utilities Commission in Book 391 at Page 439, Pitt County Public Registry, which said Utility Easement to be abandoned is shown on that certain plat attached hereto as Exhibit "A". Reference is hereby further made to Exhibit "B" which is attached hereto and made a part hereof.

2. That the appropriate City officials be and they hereby are empowered to make, execute, and deliver to Three Twenty Enterprises, LLC, a North Carolina Limited Liability Company, the current owner of the property encumbered by such fifteen foot (15') wide Utility Easement to be abandoned, an instrument in a form suitable for recording and releasing whatever interests the City of Greenville might have in and to such fifteen foot (15') wide Utility Easement to be abandoned as hereinabove described.

Adopted this the _____ day of _____, 20____.

CITY OF GREENVILLE

By: _____
P.J. CONNELLY, MAYOR

ATTEST:

VALERIE P. SHIUWEGAR, CITY CLERK

[SEAL]



LT HARDEE RD

ALVAH DR. (PRIVATE)

**15' UTILITY EASEMENT
TO BE ABANDONED**

**Three Twenty Enterprises, LLC
15' Utility Easement to be Abandoned
Pitt County, NC**

Prepared by: Phillip R. Dixon, Attorney
File: Greenville Utilities
Post Office Box 1847
Greenville, NC 27835

NORTH CAROLINA

DEED OF RELEASE

PITT COUNTY

THIS DEED OF RELEASE, made and entered into this the _____ day of _____, 20____, by and between the City of Greenville, North Carolina, a municipal corporation in Pitt County, North Carolina, party of the first part (hereinafter called GRANTOR), and Three Twenty Enterprises, LLC, P.O. Box 188, Simpson, Pitt County, North Carolina 27879, party of the second part (hereinafter called GRANTEE).

W I T N E S S E T H

THAT WHEREAS, the GRANTOR, for the use and benefit of Greenville Utilities Commission (hereinafter referred to as "Commission"), previously received a fifteen foot (15') wide Utility Easement under Book 391 at Page 439, Pitt County Public Registry; and

WHEREAS, such fifteen foot (15') wide Utility Easement is no longer needed by the Commission; and

WHEREAS, such fifteen foot (15') wide Utility Easement to be abandoned is shown on that certain plat entitled "Utility Easement Abandonment Map for Greenville Utilities Commission Three Twenty Enterprises, LLC Reference Deed Book 3825 Page 111, of the Pitt County Registry Grimesland Township Pitt County North Carolina" dated September 15, 2020, Project No. P-1262, Drawing No. P1262EASE-ABANDONMENT-ELECTRIC.DGN, prepared by Malpass & Associates, NC License Number C-1289, 1645 E. Arlington Boulevard, Suite D, Greenville, North Carolina 27858, Telephone (252) 756-1780, a copy of which said map is marked Exhibit "A" and is attached hereto and made a part hereof, and to which reference is hereby made for a more particular and accurate description of the said fifteen foot (15') wide Utility Easement to be abandoned. Reference is hereby further made to Exhibit "B" which is attached hereto and made a part hereof which is a diagram entitled "Three Twenty Enterprises, LLC 15' Utility Easement to be Abandoned Pitt County, NC" prepared by Greenville Utilities Commission, which sets forth the fifteen foot (15') wide Utility Easement to be abandoned; and

WHEREAS, the current owner of the underlying fee interest in such property, Three Twenty Enterprises, LLC, has requested abandonment of such fifteen foot (15') wide Utility Easement to be abandoned; and

WHEREAS, Commission has requested GRANTOR to indicate formally that it has no plans or interest in such property encumbered by such fifteen foot (15') wide Utility Easement to be abandoned; and

WHEREAS, Commission has therefore requested GRANTOR to execute a Deed of Release to GRANTEE, or the current owner(s) of such property, to indicate its abandonment and release of such fifteen foot (15') wide Utility Easement to be abandoned, as described and shown on Exhibit "A" and Exhibit "B," which are attached hereto and made a part hereof; and

WHEREAS, the City Council of the GRANTOR, acting on the recommendation of Commission, has duly adopted the Resolution abandoning to GRANTEE, such fifteen foot (15') wide Utility Easement as shown on Exhibit "A" and Exhibit "B" as to be abandoned, and a copy of which said Resolution is attached hereto as Exhibit "C" and made a part hereof.

NOW THEREFORE, pursuant to and in accordance with said Resolution, GRANTOR does hereby remise, release, discharge and forever quitclaim unto Three Twenty Enterprises, LLC, as the current owner of the subject property, its heirs and assigns, all the GRANTOR's rights, title and interest in and to such fifteen foot (15') wide Utility Easement previously granted to the City of Greenville, for the use and benefit of Greenville Utilities Commission, under Book 391 at Page 439, Pitt County Public Registry, all as is shown as to be abandoned on Exhibit "A" and Exhibit "B," which are attached hereto and made a part hereof.

IN TESTIMONY WHEREOF, GRANTOR has caused this Deed of Release to be executed in its name by its Mayor, attested by the City Clerk, and its official seal hereto affixed, all by Resolution duly entered by the City Council of GRANTOR, on the day and year first above written.

CITY OF GREENVILLE

By: _____
P.J. CONNELLY, MAYOR

ATTEST:

VALERIE P. SHIUWEGAR, CITY CLERK

[SEAL]

NORTH CAROLINA

PITT COUNTY

I, _____, a Notary Public of the aforesaid County and State, certify that VALERIE P. SHIUWEGAR personally came before me this day and acknowledged that she is City Clerk of the City of Greenville, North Carolina, and that by authority duly given and as the act of the City of Greenville, North Carolina, the foregoing instrument was signed in its name by its Mayor, sealed with its official seal and attested by her as its City Clerk.

WITNESS my hand and official stamp or seal, this the _____ day of _____, 20____.

NOTARY PUBLIC

My Commission Expires: _____



LT HARDEE RD

ALVAH DR. (PRIVATE)
**15' UTILITY EASEMENT
TO BE ABANDONED**

**Three Twenty Enterprises, LLC
15' Utility Easement to be Abandoned
Pitt County, NC**

Exhibit "B"

RESOLUTION

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF
GREENVILLE, NORTH CAROLINA,
ABANDONING A FIFTEEN FOOT (15') WIDE UTILITY EASEMENT
PREVIOUSLY GRANTED TO THE CITY OF GREENVILLE
FOR THE USE AND BENEFIT OF GREENVILLE UTILITIES COMMISSION
(BOOK 391 AT PAGE 439, PITT COUNTY PUBLIC REGISTRY),
AND AUTHORIZING EXECUTION OF A DEED OF RELEASE

WHEREAS, Greenville Utilities Commission of the City of Greenville, North Carolina (hereinafter referred to as "Commission") heretofore obtained a fifteen foot (15') wide Utility Easement, which said easement is more particularly described in Book 391 at Page 439, Pitt County Public Registry; and

WHEREAS, the current owner of such property, Three Twenty Enterprises, LLC, a North Carolina Limited Liability Company, has requested the City of Greenville and Commission to abandon such fifteen foot (15') wide Utility Easement as hereinafter described as to be abandoned, and said owner has requested the City of Greenville to acknowledge such abandonment and to execute a Deed of Release in its favor; and

WHEREAS, Commission anticipates no use or need now or in the future for such fifteen foot (15') wide Utility Easement hereinafter described as to be abandoned; and

WHEREAS, Commission desires to abandon such fifteen foot (15') wide Utility Easement hereinafter described as to be abandoned, all as is shown as to be abandoned on that certain plat entitled "Utility Easement Abandonment Map for Greenville Utilities Commission Three Twenty Enterprises, LLC Reference Deed Book 3825 Page 111, of the Pitt County Registry Grimesland Township Pitt County North Carolina" dated September 15, 2020, Project No. P-1262, Drawing No. P1262EASE-ABANDONMENT-ELECTRIC.DGN, prepared by Malpass & Associates, NC License Number C-1289, 1645 E. Arlington Boulevard, Suite D, Greenville, North Carolina 27858, Telephone (252) 756-1780, a copy of which said map is marked Exhibit "A" and is attached hereto and made a part hereof, and to which reference is hereby made for a more particular and accurate description of the said fifteen foot (15') wide Utility Easement to be abandoned. Reference is hereby further made to Exhibit "B" which is attached hereto and made a part hereof which is a diagram entitled "Three Twenty Enterprises, LLC 15' Utility Easement to be Abandoned Pitt County, NC" prepared by Greenville Utilities Commission, which sets forth the fifteen foot (15') wide Utility Easement to be abandoned; and

Exhibit "C"

WHEREAS, Commission deems such abandonment to be reasonable and in the best interests of Commission and all parties, and has requested the City of Greenville of North Carolina to acknowledge such abandonment and release of such fifteen foot (15') wide Utility Easement as shown on such plats as to be abandoned as hereinabove described.

NOW, THEREFORE, BE IT RESOLVED by the City Council of the City of Greenville, in Regular Session held in the City Council Chambers of City Hall of the City of Greenville, North Carolina, on the _____ day of _____, 20____, as follows:

1. That the City Council of the City of Greenville does hereby abandon such fifteen foot (15') wide Utility Easement previously granted to the City of Greenville for the use and benefit of Greenville Utilities Commission in Book 391 at Page 439, Pitt County Public Registry, which said Utility Easement to be abandoned is shown on that certain plat attached hereto as Exhibit "A". Reference is hereby further made to Exhibit "B" which is attached hereto and made a part hereof.

2. That the appropriate City officials be and they hereby are empowered to make, execute, and deliver to Three Twenty Enterprises, LLC, a North Carolina Limited Liability Company, the current owner of the property encumbered by such fifteen foot (15') wide Utility Easement to be abandoned, an instrument in a form suitable for recording and releasing whatever interests the City of Greenville might have in and to such fifteen foot (15') wide Utility Easement to be abandoned as hereinabove described.

Adopted this the _____ day of _____, 20____.

CITY OF GREENVILLE

By: _____
P.J. CONNELLY, MAYOR

ATTEST:

VALERIE P. SHIUWEGAR, CITY CLERK

[SEAL]



Agenda Item # 6

Meeting Date: November 19, 2020

Item:	Request for Authorization to Initiate Condemnation Proceedings for Property/Easement Acquisition – NC33 Natural Gas Main Extension
Contact:	Phil Dixon
Explanation:	<p>Greenville Utilities Commission has been requested to provide natural gas service to the developing residential areas along NC33 east of Greenville. The NC Department of Transportation has established Transportation Improvement Program Project U-6215 for the widening of NC33 from Black Jack-Simpson Road to Mobley’s Bridge Road. To avoid the cost of relocating these facilities in the future, the utilities should be installed in GUC utility easements rather than within the NCDOT right of way.</p> <p>In February of 2020, GUC’s Right of Way Officer began contacting the affected property owners to acquire the necessary easements. To date, all but 3 of the easements needed have been obtained. The remaining parcels are owned by RB4 Holdings, LLC (Parcels 22848 & 35572) and J. R. Atwell, Sr. (Parcel 35240). GUC has made multiple contacts with the property owners but has not been able to negotiate agreements for these easements.</p> <p>In order to begin construction, it is necessary to acquire all easements. To do so, it may be necessary to acquire the remaining easements by condemnation under the power of eminent domain granted to the Commission and the City of Greenville. By filing condemnation actions and depositing the estimated fair market value of the easement to be acquired, the easement automatically is granted by operation of law to the City of Greenville for the use and benefit of Greenville Utilities Commission and the property owner can thereafter request a jury trial to determine whether the deposited amount is “just compensation” for the “taking”.</p>
Strategic Plan Elements:	<p>Strategic Themes:</p> <ul style="list-style-type: none">• Exceptional Customer Service• Safety, Reliability & Value
Previous Board Actions:	<ul style="list-style-type: none">• None
Fiscal Note:	Project funded with Gas Operations funding.

**Recommended
Action(s):**

Approve a request for the City Council of the City of Greenville to allow Greenville Utilities Commission to initiate condemnation actions to acquire property and/or easements necessary for the NC33 natural gas main extension.



Agenda Item # 7

Meeting Date: November 19, 2020

Item:	Finance/Audit Committee Update
Contact:	Tony Cannon : Committee Chair Tommy Stoughton
Explanation:	The Finance/Audit Committee met on Wednesday, October 21, 2020. Committee Chair Stoughton will provide an update from this meeting.
Strategic Plan Elements:	<p>Strategic Themes:</p> <ul style="list-style-type: none">• Shaping our future <p>Objectives:</p> <ul style="list-style-type: none">• Recruiting and retaining an exceptional, motivated, diverse and agile workforce• Developing and enhancing strategic partnerships• Embracing change to ensure organizational alignment and efficiency <p>Core Values:</p> <ul style="list-style-type: none">• Value employees• Appreciate diversity• Deliver reliable services
Previous Board Actions:	N/A
Fiscal Note:	N/A
Recommended Action(s):	N/A



Agenda Item # 8

Meeting Date: November 19, 2020

Item:	Capital Project Updates
Contact:	Chris Padgett
Explanation:	An update on capital projects will be given.
Strategic Plan Elements:	<p>Strategic Themes:</p> <ul style="list-style-type: none">• Shaping Our Future• Safety, Reliability & Value• Exceptional Customer Service <p>Objectives:</p> <ul style="list-style-type: none">• Safely providing reliable and innovative utility solutions• Embracing change to ensure organizational alignment and efficiency• Exceeding customer expectations <p>Core Values:</p> <ul style="list-style-type: none">• Deliver reliable services• Support the community• Exceed customers' expectations
Previous Board Actions:	N/A
Fiscal Note:	N/A
Recommended Action(s):	N/A



Agenda Item # 9

Meeting Date: November 19, 2020

Item:	General Manager's Report
Contact:	Tony Cannon
Explanation:	<p>1. Informational Reading</p> <p>Bids, Statistical Data, Sewer Spill Tracking Report, Load Management Report, and PGA Report are attached.</p> <p>The Management Team will be available at the meeting to answer any questions regarding work activities.</p> <p>2. Key Performance Indicators (KPIs)</p> <p>Attached is a list of GUC's Tier 1 corporate Key Performance Indicators (KPIs).</p> <p>3. Commendations</p> <p>4. Other</p>
Strategic Plan Elements:	<p>Strategic Themes:</p> <ul style="list-style-type: none">• Shaping Our Future• Safety, Reliability & Value• Exceptional Customer Service <p>Objectives:</p> <ul style="list-style-type: none">• Embracing change to ensure organizational alignment and efficiency• Developing and enhancing strategic partnerships• Exceeding customer expectations <p>Core Values:</p> <ul style="list-style-type: none">• Value employees• Encourage innovation/lifelong learning• Appreciate diversity• Support the community

Previous Board Actions:	N/A
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Fiscal Note:	N/A
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Recommended Action(s):	N/A
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GREENVILLE UTILITIES COMMISSION

TABULATION OF BIDS RECEIVED FOR

AMR WATER METERS

AUGUST 12, 2020 at 4:00 PM

VENDORS	DELIVERY TIME	\$ TOTAL
Water Works, Inc.	2-4 Weeks	\$62,214.85*
Badger Meter, Inc.	20-25 Days	79,397.50

*Indicates recommended award based on the lowest responsible, responsive bid.


Recommended for Award


Jason Hardee, Meter Superintendent

10-7-20
Date


Roger Jones, Director of Electric Systems

10-8-2020
Date


Jeff W. McCauley, Chief Financial Officer

10-12-20
Date


Chris Padgett, Chief Administrative Officer

10-13-20
Date

Approved for Award


Anthony C. Cannon, General Manager/CEO

10-13-2020
Date

GREENVILLE UTILITIES COMMISSION

RANKING OF PROPOSALS RECEIVED

FOR ONE SHELTER

SEPTEMBER 16, 2020 @ 3:00 PM

VENDOR RANKING	PROJECT COMPLETION DAYS	PRICING
Farrior & Sons, Inc.	180	\$107,500.00*
TD Goodwin Construction	180	131,097.00
Jack A. Farrior, Inc.	98	135,800.00
Berry Building Group	50	152,000.00
Carolina Bay Construction Maintenance, LLC	41	100,591.78 ⁽¹⁾

*Indicates recommended award based on the vendor's submitted proposal and pricing.

(1) Indicates that the vendor did not meet minimum requirements.

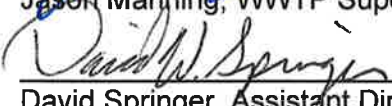
Recommended for Negotiations:


Cliff McGuffin, WWTP Chief of Maintenance

10-22-2020
Date


Jason Manning, WWTP Superintendent

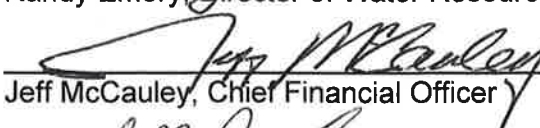
10/22/2020
Date


David Springer, Assistant Director Water Resources

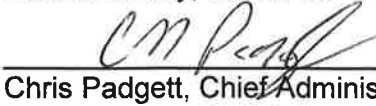
10/26/20
Date


Randy Emory, Director of Water Resources

10/27/20
Date


Jeff McCauley, Chief Financial Officer

10-29-20
Date


Chris Padgett, Chief Administrative Officer

10-29-20
Date

Approved for Negotiations:


Anthony C. Cannon, General Manager/CEO


10-30-20
Date

GREENVILLE UTILITIES COMMISSION
RANKING FOR PROPOSALS RECEIVED FOR
CUSTODIAL SERVICES RFP 20-43 FOR NEW OPERATIONS CENTER
SEPTEMBER 23, 2020 at 3:00PM

VENDOR PROPOSAL RANKING
Service Master Clean*
American Facility Services
J&B Janitorial Services
Greens Commercial Cleaning
Xpress Professional Cleaning
Jani King
Contractors Enterprises

*Indicates recommended negotiations based on the vendor's submitted proposal and qualifications.

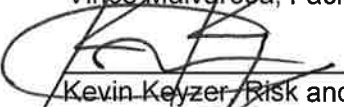
Recommended for Negotiations:


 Mark Nash, Maintenance Supervisor GUC B&G's


10-26-20
 Date


 Vince Malvarosa, Facilities Engineer


10/26/20
 Date


 Kevin Keyzer, Risk and Facilities Manager

10-26-20
 Date


 Jeff McCauley, Chief Financial Officer

10-27-20
 Date


 Chris Padgett, Chief Administrative Officer

10-29-20
 Date

Approved for Negotiations:


 Anthony C. Cannon, General Manager/CEO

10-30-20
 Date

GREENVILLE UTILITIES COMMISSION

RANKING OF PROPOSALS RECEIVED


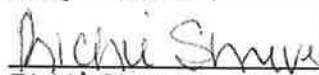
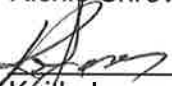

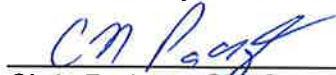
FOR #20-47 MANAGED SERVICES

OCTOBER 5, 2020 @ 3:00 PM

VENDOR PROPOSAL RANKING
Emtec*
Vigilant
Cherry Road
Centroid
Infolob
Ennvee
Navisite

*Indicates recommended award based on the vendor's submitted proposal and qualifications.

Recommended for Negotiations:

	11/2/20
Andy Anderson, Director of Information Technology	Date
	11/3/20
Richie Shreves, Director of Human Resources	Date
	11/3/2020
Keith Jones, Director of Financial Services & Acc.	Date
	11/3/20
Jeff McCauley, Chief Financial Officer	Date
	11/3/20
Chris Padgett, Chief Administrative Officer	Date

Approved for Negotiations:

	11-4-20
Anthony C. Cannon, General Manager/CEO	Date

GREENVILLE UTILITIES COMMISSION

RANKING OF PROPOSALS RECEIVED


FOR 20-48 UPGRADE

OCTOBER 5, 2020 @ 3:00 PM

VENDOR PROPOSAL RANKING
Emtec*
CherryRoad
Vigilant
Centroid
Infosemantics
Ennvee

*Indicates recommended award based on the vendor's submitted proposal and qualifications.

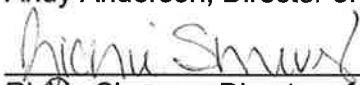
Recommended for Negotiations:



Andy Anderson, Director of Information Technology

11/2/20

Date



Richie Shreves, Director of Human Resources

11/3/20


Date



Keith Jones, Director of Financial Services & Acc.

11/3/2020

Date



Jeff McCauley, Chief Financial Officer

11-3-20

Date




Chris Padgett, Chief Administrative Officer

11-3-20

Date

Approved for Negotiations:



Anthony C. Cannon, General Manager/CEO

11-4-20

Date

GREENVILLE UTILITIES COMMISSION

STATISTICAL DATA

Oct-20

	This Month	Same Month Last Year	% Change	Total To Date Past 12 Months	Total To Date Prior Past 12 Months	% Change
ENVIRONMENT						
High Temperature, F	85	98				
Low Temperature, F	45	41				
Degree Days Heating	51	49.5		2,474.5	2,632.5	
Degree Days Cooling	95.5	111.5		1,948.0	2,274.5	
Rainfall, Inches	2.01	2.67		54.82	54.50	
River Level-Mean Sea Level						
High, FT	12.3	3.1				
Low, FT	2.5	0.1				
Average FT	5.9	1.8				
ELECTRIC						
Peak Demand, KW	210,205	311,242				
Demand Reduction, KW	58,100	69,260				
KWH Purchased (x1000)	131,229	137,536	-4.6%	1,736,659	1,828,400	-5.0%
KWH Billed (x1000)	141,149	151,895	-7.1%	1,699,846	1,778,904	-4.4%
System Losses, Percent				2.12%	2.71%	
Average Cost/KWH	\$0.0673	\$0.0828				
NATURAL GAS						
MCF Purchased	210,236	240,072	-12.4%	3,253,938	3,511,496	-7.3%
MCF Billed	195,173	185,308	5.3%	3,228,989	3,443,770	-6.2%
System Losses, Percent				0.77%	1.93%	
Average Cost/MCF	4.23	4.49				
WATER						
Peak Day, MG	17.987	16.497				
Average Day, MG	14.975	15.394				
Total Pumped, MG	464.220	477.204	-2.7%	4,943.7	5,085.9	-2.8%
Total Billed, MG	342.100	364.500	-6.1%	4,126.2	4,208.5	-2.0%
System Losses, Percent				16.54%	17.25%	
WASTEWATER						
Peak Day, MG	12.10	9.89				
Average Day, MG	9.95	9.15				
Total Flow, MG	308.36	283.79	8.7%	3,677.29	3,901.37	-5.7%
CUSTOMER ACCOUNTS						
Active Services E/W/G	131,727	129,199	2.0%			
Meters Repaired	271	400	-32.3%	4,191	3,999	4.8%

KW = Kilowatts

KWH = Kilowatthours

MCF = Thousand Cubic Feet

MG = Million Gallons

Water Resources Department
Sewer Spill Tracking Report
November 2019-October 2020



No.	Date of	Report	Location	Manholes		Volume Gallons	Surface	If yes, where?		Reportable	News Release		Notice of Discharge		Cause of Spill	Corrective Action
	Spill	Date		Upstream	Downstream		Water	Name	Volume Gallons	Yes/No	Req'd.	Issued	Req'd.	Issued		
1	12/07/19		511 Red Banks Road	8G-054	8G-054	10	No	N/A	N/A	No	No	No	No	No	Grease	2a
2	04/21/20	04/24/20	Intersection of Jefferson Dr & Polk St	10K-110	10K-103	100	Yes	Reedy Branch	100	Yes	No	No	No	No	Other (Damaged replacing clay sewer pipe)	1f
3	08/03/20		6252 Hwy 11 North	N/A	N/A	900	No	N/A	N/A	No	No	No	No	No	Other (AV: 2" nipple to valve broke off due to corrosion.)	1f

Note: No spills in November, January , February, March, May, June, July, September and October

Summary

Total Number of Spills = 3 (1 Reportable;2 Non-Reportable)
Total Spill Volume = 1010 gals or 0.00003% of Total Wastewater Flow

Spill Analysis

<u>Cause</u>	<u>Number of Each</u>	<u>Volume (gals.)</u>
a. Grease	1	10
b. Contractor Damage/Error	0	0
c. Debris	0	0
d. Roots	0	0
e. Pipe Failure	0	0
f. Other	2	1,000

Corrective Action Code

1. Permanent Repair
2. Cleared Blockage & Priority Cleaning Scheduled

GREENVILLE UTILITIES COMMISSION

LOAD MANAGEMENT REPORT

October, 2020

The DEP monthly peak occurred on October 8, 2020 for the hour ending at 4:00 P.M. Our load management system was in full operation during this period with the following estimated reductions observed:

	Estimated KW Load Reduction	Estimated Avoided Demand Costs
<u>Direct Load Control:</u>		
Voltage Adjustment @ Stage 3 (4.6%)	8,200	\$191,962
Air Conditioning Demand Reduction	2,100	\$49,161
Water Heater Demand Reduction	12,700	\$297,307
Heat Pump/Heat Strip Demand Reduction	0	\$0
GUC Generator Reduction:	16,955	\$396,917
<u>Interruptible Load Control:</u>		
MGS-CP & LGS-CP Customer Generators	18,142	\$424,704
Estimated Other Industrial Customer Curtailments	0	\$0
<u>Total Load Control:</u>	58,097	\$1,360,051
<u>NCEMPA Shifted Peak Credit:</u>		
Power Agency Policy Credit for Contribution to Shifted Peak		
<u>Total Load Reduction and Avoided Costs:</u>	58,097	\$1,360,051

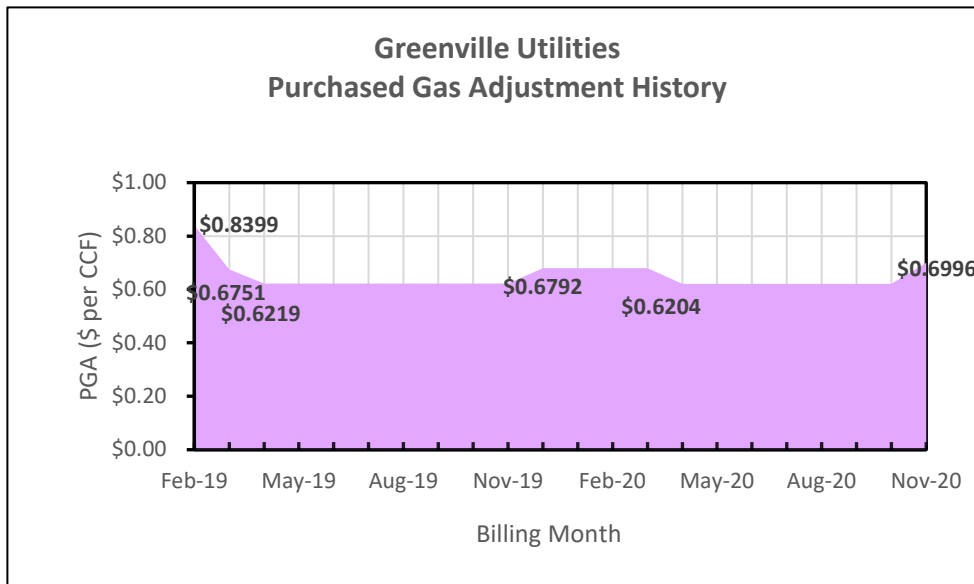
Additional Notes and Comments:

- | | |
|--|----------------|
| 1) Duke Energy Progress (DEP) System Peak: | 8,459 MW |
| 2) GUC Coincident Peak (Less Winterville Demand): | 210,205 KW |
| 3) Local Temperature at Coincident Peak, per PGV: | 81 Degrees F |
| 4) Local "Feels Like" Temperature at Coincident Peak, per PGV: | 81 Degrees F |
| 5) Applicable NCEMPA Demand Rate Charge: | \$23.41 Per KW |

PGA Report November 2020

Please see the PGA history in the table and chart below:

Billing Month	PGA (\$/CCF)	Residential (\$/CCF)	
Feb-19	\$0.8399	\$0.3699	\$1.2098
Mar-19	\$0.6751	\$0.3699	\$1.0450
Apr-19	\$0.6219	\$0.3699	\$0.9918
May-19	\$0.6219	\$0.3699	\$0.9918
Jun-19	\$0.6219	\$0.3699	\$0.9918
Jul-19	\$0.6219	\$0.3396	\$0.9615
Aug-19	\$0.6219	\$0.3396	\$0.9615
Sep-19	\$0.6219	\$0.3396	\$0.9615
Oct-19	\$0.6219	\$0.3396	\$0.9615
Nov-19	\$0.6219	\$0.3396	\$0.9615
Dec-19	\$0.6792	\$0.3396	\$1.0188
Jan-20	\$0.6792	\$0.3396	\$1.0188
Feb-20	\$0.6792	\$0.3396	\$1.0188
Mar-20	\$0.6792	\$0.3396	\$1.0188
Apr-20	\$0.6204	\$0.3396	\$0.9600
May-20	\$0.6204	\$0.3396	\$0.9600
Jun-20	\$0.6204	\$0.3396	\$0.9600
Jul-20	\$0.6204	\$0.3396	\$0.9600
Aug-20	\$0.6204	\$0.3396	\$0.9600
Sep-20	\$0.6204	\$0.3396	\$0.9600
Oct-20	\$0.6204	\$0.3396	\$0.9600
Nov-20	\$0.6996	\$0.3396	\$1.0392



Tier 1: Corporate Key Performance Indicators (KPI)

CUSTOMER

- Customer Satisfaction
 - Billing Process Accuracy
 - Installation of New Services
 - Duration of Electric Interruptions (CAIDI)
 - Duration of Electric Interruptions (SAIDI)
 - Frequency of Interruptions in Service – Electric (SAIFI)
 - Response Time to Unplanned Electric Outages
 - Response Time to Cut Gas Lines/Leaks
 - Response Time to Water Leaks/Breaks
 - Typical Monthly Bill Comparisons
-

FINANCIAL

- Overtime Costs
 - Bond Rating
 - Days Operating Cash On Hand
 - Debt Service Coverage
 - Fund Balance (available for appropriation)
 - Net Margin
 - Return on Assets
 - Return on Equity
-









INTERNAL BUSINESS PROCESSES

- Connections Per Employee
 - Operating Cost Per Customer
 - System Losses – Electric
 - System Losses – Gas
 - System Losses – Water
 - Disruption of Service – Water
 - Preventable Vehicle Accident Rate
-








EMPLOYEES & ORGANIZATIONAL CAPACITY

- Hours Worked Without a Lost Workday Injury
- Restricted Workday Injuries Per 200,000 Hours Worked
- Capital Spending Ratio
- Degree of Asset Depreciation



Metric Name	GUC	Goal	Warning	KPI
Billing Process Accuracy	99.994%	99.800%	95.000%	
Customer Satisfaction	86.00%	80.00%	75.00%	
Duration of Interruptions - Electric (CAIDI) (minutes)	76.7	82.0	90.0	
Duration of Interruptions - Electric (SAIDI) (minutes)	18.62	65.00	72.00	
Install New Service (Electric) (days)	1.02	3.00	4.50	
Install New Service (Gas) (days)	11	15	17	
Install New Service (WaterSewer) (days)	5	6	6	
Interruptions in Service - Electric (SAIFI) (Avg Interruptions per Customer)	0.24	0.79	0.89	



Metric Name	GUC	Goal	Warning	KPI
Response Time to Cut Gas LinesLeaks (minutes)	23.03	30.00	30.50	
Response Time to Unplanned Outages (minutes)	28.56	30.00	45.00	
Response Time to Water Leaks (minutes reporting 30 minute goal)	0.5	1.0	1.01	
Typical Bill Comparison - Residential Electric	\$109	\$119	\$131	
Typical Bill Comparison - Residential Gas	\$76	\$74	\$81	
Typical Bill Comparison - Residential Sewer	\$45	\$49	\$54	
Typical Bill Comparison - Residential Water	\$37	\$37	\$40	

Metric Name

GUC

Goal

Warning

KPI

Financial KPI

Net Margin

3.59%

2.75%

2.50%



Return on Assets

2.23%

1.60%

1.25%

Fund Balance (Available
for Appropriation)

23.0%

16.0%

13.0%



Return on Equity

2.27%

2.50%

2.25%

Debt Service Coverage
Ratio

3.75

1.75

1.00

Days Operating Cash on
Hand

144

125

110



Bond Rating

85

75

70



Overtime Costs

5%

3%

5%





Metric Name

GUC

Goal

Warning

KPI

Internal Business
Processes KPI

Miles Driven Without
Preventable Vehicle
Incident

995,910

1,000,000

300,000



Connections Per
Employee

342

337

332



Disruption of Service-
Water (per 1,000
Customers)

1.50

6

7



Operating Cost per
Customer

\$437

\$350

\$360



System Losses - Gas

-0.83%

1.50%

2.00%



System Losses -
Electric

2.03%

3%

3.5%



System Losses - Water

12.00%

13.49%

13.50%





Metric Name

GUC

Goal

Warning

KPI

Employee &
Organizational
Capacity

Capital Spending Ratio

156.00%

120.00%

105.00%



Degree of Asset
Depreciation

52.00%

50.00%

51.00%



Hours Worked Without
a Lost Workday Injury

3,524,554

3,000,000

1,000,000



OSHA Recordable
Incident Rate (per
200,000 hours)

0.45

1.00

2.00



**COMPLIMENT
RECORD**

Date: 10/20/20

Received By: Tonya Roebuck, Customer Contact Representative II

Customer's Name: Laurie

Remarks: Tonya Roebuck received a thank you card from Laurie. Laurie wrote, "Can't hug you in person, but I just wanted to thank you for working with Joe and I!"

Employee: Tonya Roebuck, Customer Contact Representative II

TREATMENT PLANT OPERATOR

tpo™

DEDICATED TO WASTEWATER & WATER TREATMENT PRO

tpomag.com
OCTOBER 2020

LET'S BE CLEAR:
Conquering exam
anxiety | 8

SUSTAINABLE OPERATIONS:
Natural treatment in Sechelt,
British Columbia | 42

Kevin Kredit
Superintendent
Shelbyville, Ind.

Devoted to DIY

THE SHELBYVILLE CLEAN-WATER PLANT TEAM
THRIVES ON DO-IT-YOURSELF EFFORTS | 12

HOW WE DO IT:
Self-tuning control in
Lebanon, Pennsylvania | 30

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Jason Manning, plant superintendent, leads a family to the outside portion of the Trick or Treatment tour.

A Spooky Good Time

A NORTH CAROLINA UTILITY DRAWS ACCOLADES FOR AN ANNUAL HALLOWEEN-THEMED EVENT IN ITS WASTEWATER TREATMENT LAB

By Sandra Buettner

At the end of October, the Greenville (North Carolina) Utilities Commission's wastewater treatment lab transforms into a spooky venue and a fun learning experience.

The commission staff created the first Trick or Treatment event in 2016 after seeing an article about something similar held at a major clean-water facility. They decided to try the idea on a smaller scale. Plant staff members dress up in costumes and decorate the plant with Halloween props.

JoEllen Gay, environmental compliance coordinator, calls the event a great team-building experience. The lab and pretreatment staff brainstormed ideas to deliver messages about wastewater treatment in fun and entertaining ways.

The Greenville Utilities Commission treatment plant has been in operation since 1985. It was built for a capacity of 10.5 mgd but was upgraded in 1995 to a state-of-the-art facility that can now treat 17.5 mgd (10.54 mgd average flow).

SPREADING THE WORD

After the staff developed ideas for the first event, the public relations department created a catchy flyer that was posted on its Instagram and Twitter accounts. They also sent the flyer to teachers, inviting them and their students, and promoted Trick or Treatment during outreach visits to schools, colleges, and science and STEM fairs.

The day before the event, staff members decorate the lab with beakers and flasks full of glowing, bubbly, colorful water. Pumpkins carved with the word "POO," mock cobwebs and plastic spiders add to the spooky nature of

The day before the event, staff members decorate the lab with beakers and flasks full of glowing, bubbly, colorful water.

the space. Caution tape all around the plant alerts the attendees that they are entering the Halloween-themed lab.

Karen Foster, industrial pretreatment specialist, observes, "Each year, we try to add something a little different to the lab to change up the experience." Attendees are also encouraged to wear costumes. All guests enter through the lab and see the microorganisms that treat wastewater on a large TV monitor connected to a microscope. A live slide is used, and children and adults can look through the microscope to get a close-up view of the microbes.

MEMORABLE GIFTS

After visiting the lab, attendees are led by a plant operator to the oxidation ditches to see where the microorganisms live and work. Next, they move on to the secondary clarifiers. Tours last 30 minutes and up, depending on the attendees' ages.

Upon leaving, the children received disposable plastic lab gloves filled with candy. They also received squeezable stress reliever toys printed with "Cleaning Water Through Science" and "Toilets Are Not Trash Cans," and coloring books on the wastewater treatment process.

A family enters the “keep out” zone of the tour — the plant laboratory.

The utility also uses the event to promote its Cease the Grease campaign, handing out reusable plastic lids imprinted with the campaign theme for attendees to put on a jar or can at home. Residents can store FOG in the containers and toss them into the garbage when full.

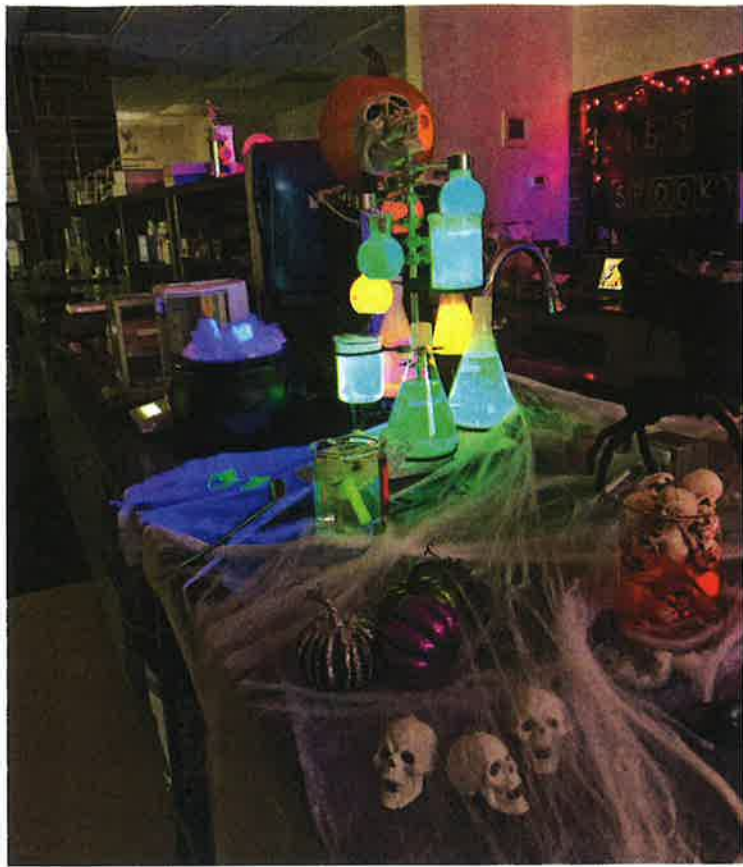


POSITIVE FEEDBACK

One news station team filmed the event for airing on its 6 p.m. newscast. Attendees' comments have been overwhelmingly positive: children and adults told how much they enjoyed the event and how much they learned. One third grader who came dressed as Harry Potter “absolutely fell in love with our plant and loved the tour so much he stayed for quite some time and asked a lot of questions,” Gay says.

He went back to school and wrote about the tour and the plant in one of his assignments titled, “The Eight Wonders of Greenville.” His teacher was so taken with his enthusiasm that she called the utility and scheduled a tour for the entire class.

The plant hosted the group for the day with snacks and gave them a private tour. The staff also performed some simple lab tests for the students, showing them the pH levels for Mountain Dew, milk and water. After the tour, they gave the third-grade author a special Greenville Utilities Commission T-shirt and declared him an honorary employee. **tpo**



The Greenville (North Carolina) Utilities lab goes all-out with ghoulish déco for the Trick or Treatment event.

Greenville Safety Awards Banquet

Silver Awards

Criteria: Rate of days away from work must be at least 50% below the industry average.

First Year

Beaufort County, Department of Social Services
City of Greenville, Administrative Offices
City of Greenville, Police Department
City of Greenville, Recreation & Parks Department
City of Greenville
Greenville Utilities Commission, Express Office
Greenville Utilities Commission, Information Technology Department
Hyster-Yale Group, Inc.
NC Department of Health & Human Services, Walter B. Jones Center
Nutrien - Aurora, Purified Acid Plant
Pitt Area Transit System (PATs)
Pitt County, Animal Control
Pitt County, Sheriff's Office
Pitt County, Social Services

Second Consecutive Year

Greenville Utilities Commission, Water Resources Department
Nutrien - Aurora, Mine Services
Pitt County, Detention Center

Gold Awards

Criteria: Rate of days away from work, job transfer or restriction must be at least 50% below the industry average.

First Year

Beaufort County, Health Department
City of Greenville, Sheppard Memorial Library
City of Washington, Department of Fire/Rescue/EMS Services
City of Washington, Electric Department
City of Washington, Library
City of Washington, Police Department
City of Washington, Tourism/Civic Center
City of Washington, Warren Airfield
City of Washington, Water Resources Division
Cypress Glen Retirement Community
DENSO Manufacturing North Carolina, Inc., Greenville Plant
DHHS Greenville District Office for Division Services for the Blind

Greenville Utilities Commission, Electric Department
Greenville Utilities Commission, Engineering/Operations Center
Greenville Utilities Commission, Finance Department
Gregory Poole Equipment Company, Washington Branch - Parts Division
Nutrien - Aurora, Shipping - Liquids
Nutrien - Aurora, Maintenance - Chemical Plants
Nutrien - Aurora, Product Distribution
Pitt & Greene Electric Membership Corporation
Pitt County, Buildings & Grounds
Pitt County, Solid Waste
River City Construction, Inc.
Town of Farmville, Utility Department

Second Consecutive Year

Greenville Utilities Commission, Gas Department & Utility Locating Service
Nutrien - Aurora, Phosphoric Acid
Pitt County, Emergency Management
Pitt County, General Government
Tideland Electric Membership Corporation, Pantego Corporate Office
Town of Farmville
Town of Farmville, Police Department
Town of Williamston, Sanitation Collection

Third Consecutive Year

Greenville Utilities Commission, Water Treatment Plant
Greenville Utilities Commission
Nutrien - Aurora, IT Department
The Roberts Company, Inc.
Town of Williamston, Public Works Administration

Fourth Consecutive Year

Beaufort County, Administrative Offices
Gregory Poole Equipment Company, Greenville Branch - Industrial Division
Nutrien - Aurora, Maintenance - Instrument & Elec
Town of Farmville, Administrative Department
Town of Farmville, Public Works Department
Town of Williamston, Water/Sewer Pipeline Construction

Fifth Consecutive Year

Nutrien - Aurora, Mill Department
Nutrien - Aurora, Sulfuric Acid
Tideland Electric Membership Corporation, Ocracoke District
WIMCO Corporation

Sixth Consecutive Year

Greenville Utilities Commission, Meter Department
Greenville Utilities Commission, Red Banks
Gregory Poole Equipment Company, Greenville Branch - Trucking Division
NC Department of Commerce, Division of Workforce Solutions, Greenville Workforce Office
Nutrien - Aurora, Environmental Affairs Department
Nutrien - Aurora, Prestripping Department

Seventh Consecutive Year

Greenville Utilities Commission, Customer Relations Department
Nutrien - Aurora, Shipping - Solids
Nutrien- Aurora, Maintenance - Central Department

Eighth Consecutive Year

City of Washington, Public Works/Buildings & Grounds
DSM
Nutrien - Aurora, Mine
Patheon Manufacturing Services, LLC
Town of Williamston, Administration
Town of Williamston, Garage Mechanics
Town of Williamston, Waste Water Treatment Plant
Town of Williamston, Zoning Department

Ninth Consecutive Year

E R Lewis Construction Company, Inc.
Town of Williamston, Cemetery Department
Town of Williamston, Street and Road Maintenance

Tenth Consecutive Year

City of Washington, Parks & Recreation Department

Twelfth Consecutive Year

Farrior & Sons, Inc.
Greenville Utilities Commission, Administration Building

Thirteenth Consecutive Year

Greenville Utilities Commission, Administration
Greenville Utilities Commission, Human Resources Department
Greenville Utilities Commission, Wastewater Treatment Plant

Fifteenth Consecutive Year

A R Chesson Construction Company, Inc.

Twenty-First Consecutive Year
Nutrien - Aurora, Technical Services Department

Twenty-Third Consecutive Year
Nutrien - Aurora, Accounting
Nutrien - Aurora, Administration & Public Affairs
Nutrien - Aurora, Health & Safety Department
Nutrien - Aurora, Human Resources Department
Nutrien - Aurora, Materials Department

Million-Hour Awards

1 Million Hours, Or Multiples Thereof, With No Lost Time Accidents

PATHEON MANUFACTURING SERVICES, LLC

One Million Hours

July 18, 2019 through December 31, 2019

NUTRIEN

One Million Hours

February 17, 2019 through August 5, 2019

GREENVILLE UTILITIES COMMISSION

Three Million Hours

November 4, 2016 through September 9, 2020

PATHEON MANUFACTURING SERVICES, LLC

Seven Million Hours

January 19, 2017 through July 16, 2019



Agenda Item # 10

Meeting Date: November 19, 2020

Item:	Board Chair's Remarks/Report
Contact:	Chair Parker Overton
Explanation:	Information Only <ul style="list-style-type: none">• Finance/Audit Committee Meeting Minutes – July 7, 2020
Strategic Plan Elements:	Strategic Themes: <ul style="list-style-type: none">• Shaping Our Future Objectives: <ul style="list-style-type: none">• Embracing change to ensure organizational alignment and efficiency Core Values: <ul style="list-style-type: none">• Value Employees• Act with Integrity• Appreciate Diversity
Previous Board Actions:	N/A
Fiscal Note:	N/A
Recommended Action(s):	N/A

GREENVILLE UTILITIES COMMISSION
FINANCE/AUDIT COMMITTEE
GREENVILLE, NORTH CAROLINA
July 7, 2020

The Finance/Audit Committee of the Greenville Utilities Commission held a virtual meeting on Tuesday, July 7, 2020, at 12 noon with the following members and others participating, and Committee Chair Parker Overton presiding.

Committee Members Present:

Parker Overton, Committee Chair
Tommy Stoughton, Committee Vice-Chair
Minnie Anderson
Peter Geiger

GUC Staff Present:

Tony Cannon, General Manager/CEO
Chris Padgett
Phil Dixon
Jeff McCauley
Keith Jones
Anthony Miller
Amy Wade
Steve Hawley
Freddie Martin
Lou Norris

Others present included Ginger Livingston, Daily Reflector.

Committee Chair Overton called the meeting to order at 12 noon. Ms. Amy Wade, Executive Secretary, ascertained that a quorum was present.

A motion was made by Mr. Stoughton, seconded by Ms. Anderson, to accept the agenda as presented. The motion carried unanimously.

APPROVAL OF MINUTES (Agenda Item 1)

A motion was made by Ms. Anderson, seconded by Mr. Stoughton, to approve the April 7, 2020 Finance/Audit Committee minutes as presented. The motion carried unanimously.

CONSIDERATION OF AUTHORIZATION OF THE GENERAL MANAGER/CEO TO
NEGOTIATE AND EXECUTE A NATURAL GAS SUPPLY AGREEMENT WITH
MINNESOTA MUNICIPAL GAS AGENCY (Agenda Item 2)

Mr. Tony Cannon, General Manager/CEO, stated that in an effort to further diversify Greenville Utilities Commission's (GUC's) natural gas supply portfolio and reduce costs, staff has evaluated the option of GUC participating in a natural gas pre-payment (prepay) transaction with Minnesota Municipal Gas Agency (MMGA).

Mr. Anthony Miller, Director of Gas Systems, introduced Freddie Martin, Natural Gas Supply Officer, and stated that he will provide background on natural gas prepay agreements and discuss in detail the proposed transaction.

Mr. Martin explained that GUC has been asked to participate in a prepay with MMGA. A prepay is a transaction where a municipal utility can issue tax-exempt bonds to prepay for delivery of gas on a long-term basis to achieve an ongoing discount to the prevailing market price. Under the proposed agreement, MMGA will be the issuer of the bonds.

GUC is currently in year 14 of a 15-year prepay with Patriots Energy Group (PEG), year 3 of a 30-year prepay with Black Belt Energy (BBE) and year 2 of a 30-year prepay with Public Energy Authority of Kentucky (PEAK). The PEG prepay has saved GUC and its customers \$1,715,400 since November 2007 and the agreement ends on January 31, 2022. Delivery under the BBE prepay began on November 1, 2018 with projected savings of \$8,071,106 over the term of the agreement. Delivery under the PEAK prepay began on July 1, 2019 with projected savings of \$4,634,449 over the term of the agreement.

GUC has an opportunity to participate in a new 30-year natural gas pre-payment deal with MMGA and Royal Bank of Canada (RBC). Closing of this agreement is targeted for October 2020. This agreement is like the last 2 prepay agreements and has a projected annual savings of \$212,000 per year for a total of \$6,351,000. To participate in the prepay, GUC will need approval to execute a gas supply contract with MMGA by the end of September 2020.

The key provisions of the proposed agreement are listed below:

- GUC enters the agreement to purchase a volume up to, but not to exceed, 3,000 dekatherms per day for a term of 30 years.
- GUC receives a discount of \$0.33 or more per dekatherm from the applicable first-of-the-month gas index price through a combination of monthly and annual savings during an initial period.
- The discount to the prevailing market price will be reset periodically during the term of the contract, with a potential that the discount may increase from the initial discount. The discount may not be less than \$0.23 per dekatherm after the initial reset period. GUC pays an administrative fee of \$0.04 per dekatherm to MMGA to cover administrative costs related to billing, regulatory compliance, and other ongoing administrative tasks associated with the prepay transaction.
- Remarketing provision - if demand drops, MMGA will use commercially reasonable efforts to remarket the gas for an administrative charge of \$0.03 per dekatherm.

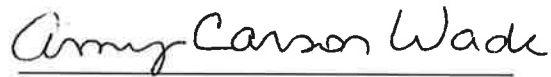
- Limited Liability Clause keeps GUC from being obligated financially except as expressly described in contract.
- Compensation for failure to perform.
- Termination rights (bankruptcy, failure to issue bonds, etc.).

After discussion, a motion was made by Mr. Geiger, seconded by Mr. Stoughton, to recommend to the full Board authorization of the General Manager/CEO to negotiate and execute the natural gas supply agreement with MMGA for a volume up to, but not to exceed, 3,000 dekatherms per day over 30 years.

ADJOURNMENT

With no further business to conduct, a motion was made by Mr. Stoughton, seconded by Mr. Geiger, to adjourn the meeting. The motion carried unanimously, and the Finance/Audit Committee meeting adjourned at 12:25 p.m.

Respectfully submitted,

A handwritten signature in cursive script that reads "Amy Carson Wade". The signature is written in dark ink and is positioned above a horizontal line.

Amy Carson Wade
Executive Secretary

GENERAL ASSEMBLY OF NORTH CAROLINA
SESSION 1991

CHAPTER 861
SENATE BILL 1069

AN ACT TO AMEND AND RESTATE THE CHARTER OF THE GREENVILLE
UTILITIES COMMISSION OF THE CITY OF GREENVILLE.

The General Assembly of North Carolina enacts:

Section 1. For the proper management of the public utilities of the City of Greenville, both within the corporate limits of the City and outside the said corporate limits, a commission to be designated and known as the "Greenville Utilities Commission", is hereby created and established.

Sec. 2. The Greenville Utilities Commission shall consist of eight members, six of whom shall be bona fide residents of the City of whom one shall at all times be the City Manager of Greenville, and two of whom shall be bona fide residents of Pitt County but residing outside the city limits of Greenville, and all of whom shall be customers of the Greenville Utilities Commission. Each Greenville Utilities Commissioner shall hold office for an initial term of three years and, except as set forth herein, will be automatically reappointed to a single additional term of three years, with each term of three years expiring June 30 at the end of the designated term or until reappointed or replaced by the City Council. The first appointees shall hold their offices as follows: the Greenville City Council shall appoint an individual to serve until June 30, 1995; John W. Hughes, Sr. is hereby appointed a Greenville Utilities Commissioner to serve until June 30, 1995, and shall not be eligible for a second term; Bernard E. Kane is hereby appointed a Greenville Utilities Commissioner to serve until June 30, 1995; R. Richard Miller is hereby appointed a Greenville Utilities Commissioner to serve until June 30, 1994, and shall not be eligible for a second term; and the Greenville City Council shall appoint an individual to serve until June 30, 1993; all of whom are bona fide residents of the City. William G. Blount is hereby appointed a Greenville Utilities Commissioner to serve until June 30, 1993, and shall not be eligible for a second term; and the Pitt County Board of Commissioners shall nominate an individual under the procedure established in Section 3 of this act, to be appointed by the Greenville City Council to serve until June 30, 1994; both of whom are Greenville Utilities Commission customers and bona fide residents of Pitt County residing outside the Greenville city limits.

Sec. 3. The Greenville Utilities Commissioners otherwise than as herein provided shall be appointed by the City Council at their regularly monthly meeting in June of each year. It is the intention of this charter that the City Council shall appoint Greenville Utilities Commission members who have utilities expertise. Representation should include some members with financial, engineering, environmental, technical, or

development backgrounds. The two members of the Greenville Utilities Commission residing outside the city limits shall be nominated by the Pitt County Board of Commissioners and appointed by the City Council. The City Council has the right to reject any nominee(s) from the Pitt County Board of Commissioners and to request additional nominees. If the Pitt County Board of Commissioners fails to recommend a nominee to the City Council within 60 days of the original date requested by the City Council, then the City Council may appoint any individual meeting the residency requirement. No person shall be eligible for appointment to the Greenville Utilities Commission who is an officer or employee of the City or Pitt County except that the City Manager of the City of Greenville shall at all times be a full member of the Greenville Utilities Commission. In the event a Greenville Utilities Commissioner resigns, dies, or otherwise becomes incapable of performing his or her duties, the City Council shall appoint, according to the same process described herein for regular appointments, a Greenville Utilities Commissioner to fill the unexpired term at any regular or special meeting of the City Council. Any Greenville Utilities Commissioner filling an unexpired term shall be deemed to have filled said term for the full three-year term. Except as otherwise permitted herein, no Greenville Utilities Commissioner shall serve more than two three-year terms. Greenville Utilities Commissioners filling the first three-year term will automatically fill a second three-year term unless the City Council initiates the replacement process.

Sec. 4. The Greenville Utilities Commissioners shall organize by electing one of their members Chair, whose term of office as Chair shall be for one year unless the Chair's term on the Greenville Utilities Commission shall expire earlier, in which event his or her term as Chair shall expire with the Chair's term on the Greenville Utilities Commission. The Chair shall not be entitled to vote on any proposition before the Greenville Utilities Commission except in case of a tie vote and only for the purpose of breaking the tie. The members of the Greenville Utilities Commission are authorized to fix their own salaries provided, however, that said salaries shall not exceed one hundred fifty dollars (\$150.00) per month for the members and two hundred fifty dollars (\$250.00) per month for the Chair provided, however, the City Council may, at its own discretion, increase these caps from time to time as is appropriate to reflect inflation, and provided, however, the City Manager shall receive no pay as a member of the Greenville Utilities Commission other than his or her salary as City Manager. The Greenville Utilities Commission shall meet at least once each month at a designated time and place unless the Chair designates some other meeting time and so notifies the other members of the Greenville Utilities Commission. The Greenville Utilities Commission and the Greenville City Council shall meet at least once each year to discuss mutual interests of the City of Greenville and the Greenville Utilities Commission. Minutes shall be kept for all regular meetings of the Greenville Utilities Commission.

Sec. 5. The Greenville Utilities Commission shall have entire supervision and control of the management, operation, maintenance, improvement, and extension of the public utilities of the City, which public utilities shall include electric, natural gas, water, and sewer services, and shall fix uniform rates for all services rendered;

provided, however, that any person affected by said rates may appeal from the decision of the Greenville Utilities Commission as to rates to the City Council. With approval by the City Council, the Greenville Utilities Commission may undertake any additional public enterprise service which may lawfully be operated by a municipality.

Sec. 6. The Greenville Utilities Commission shall employ a competent and qualified General Manager whose duties shall be to supervise and manage the said public utilities, subject to the approval of the Greenville Utilities Commission. The General Manager, under the direction of and subject to the approval of the Greenville Utilities Commission, shall cause the said utilities to be orderly and properly conducted; the General Manager shall provide for the operation, maintenance, and improvement of utilities; the General Manager shall provide for the extension of all utilities, except sewer extensions made beyond the area regulated by the City of Greenville are subject to the approval of the City Council, and shall furnish, on application, proper connections and service to all citizens and inhabitants who make proper application for the same, and shall in all respects provide adequate service for the said utilities to the customers thereof; the General Manager shall attend to all complaints as to defective service and shall cause the same to be remedied, and otherwise manage and control said utilities for the best interests of the City of Greenville and the customers receiving service, and shall provide for the prompt collection of all rentals and charges for service to customers and shall promptly and faithfully cause said rentals and charges to be collected and received, all under such rules and regulations as the Greenville Utilities Commission shall, from time to time, adopt and in accordance with the ordinances of the City of Greenville in such case made and provided.

Sec. 7. All monies accruing from the charges or rentals of said utilities shall be deposited into the appropriate enterprise fund of the Greenville Utilities Commission and the Greenville Utilities Commission's Director of Finance shall keep an account of the same. The Greenville Utilities Commission shall at the end of each month make a report to the City Council of its receipts and disbursements; the Greenville Utilities Commission shall pay out of its receipts the cost and expense incurred in managing, operating, improving, maintaining, extending, and planning for future improvements and expansions of said utilities; provided, however, that should the funds arising from the charges and rentals of said utilities be insufficient at any time to pay the necessary expenses for managing, operating, improving, and extending said utilities, then and in that event only, the City Council of the City of Greenville shall provide and pay into the appropriate enterprise fund of the Greenville Utilities Commission a sum sufficient, when added to the funds that have accrued from the rents and charges, to pay the costs and expenses of managing, operating, improving, maintaining, extending, and planning for future improvements and expansions of said utilities; the Greenville Utilities Commission shall pay the principal on all such funds provided by the City Council with interest thereon; provided, further, that the Greenville Utilities Commission shall annually transfer to the City, unless reduced by the City Council, an amount equal to six percent (6%) of the difference between the electric and natural gas system's net fixed assets and total bonded indebtedness plus annually transfer an amount equal to fifty percent (50%) of the Greenville Utilities Commission's retail cost of service for the City

of Greenville's public lighting. Public lighting is defined herein to mean City of Greenville street lights and City of Greenville Parks and Recreation Department recreational outdoor lighting. The preparation of a joint financial audit of the City of Greenville and the Greenville Utilities Commission operations by a single auditing firm is intended under the provisions of this charter and existing North Carolina statutes.

Sec. 8. In compliance with the time requirements of Chapter 159 of the General Statutes, the Greenville Utilities Commission shall prepare and submit to the City Council, for approval, a budget for the coming year showing its estimated revenue, expenses, capital expenditures, debt service, and turnover to the City of Greenville. In addition, the budget ordinance must identify construction projects of the Greenville Utilities Commission which include individual contracts in excess of one-half of one percent ($\frac{1}{2}\%$) of the Greenville Utilities Commission's annual budget. City Council approval of the Greenville Utilities Commission's budget will constitute approval of projects so identified and the contracts contained therein. Contracts in excess of one-half of one percent ($\frac{1}{2}\%$) of the Greenville Utilities Commission's annual budget not so identified and approved in the budget ordinance will require separate City Council approval.

Sec. 9. The Greenville Utilities Commission shall approve the employment and remuneration of all officers, agents, independent contractors, and employees necessary and requisite to manage, operate, maintain, improve, and extend the service of said utilities. It is, however, the intention of this Charter that the Greenville Utilities Commission and the City of Greenville will implement and maintain mutual pay plans, personnel policies, and benefits for their respective employees. The Greenville Utilities Commission may require bond in such sum as it may deem necessary, which shall be approved by the City Council, of all officers, agents, and employees having authority to receive money for the Greenville Utilities Commission. The Greenville Utilities Commission shall have the authority to name and designate a person in its employ as secretary of the Greenville Utilities Commission.

Sec. 10. The Greenville Utilities Commission shall have authority at all times to discharge and remove any officer, agent, independent contractor, or employee of the Greenville Utilities Commission.

Sec. 11. All laws and clauses of laws in conflict with this act are hereby repealed, expressly including Chapter 146 of the Public-Local Laws of 1941, entitled "AN ACT TO PROVIDE A PERMANENT UTILITIES COMMISSION FOR THE CITY OF GREENVILLE, IN PITT COUNTY, AND TO REPEAL CHAPTER TWO HUNDRED AND ELEVEN OF THE PRIVATE LAWS OF ONE THOUSAND NINE HUNDRED AND FIVE, AND AMENDMENTS THERETO, RELATING TO THE WATER AND LIGHT COMMISSION OF THE CITY OF GREENVILLE.", except that this act does not revive any act repealed by that act.

The purpose of this act is to revise the charter of the Greenville Utilities Commission and to consolidate herein certain acts concerning the Greenville Utilities Commission. It is intended to continue without interruption those provisions of prior acts which are consolidated into this act so that all rights and liabilities that have accrued are preserved and may be enforced. This act shall not be deemed to repeal,

modify, or in any manner affect any act validating, confirming, approving, or legalizing official proceedings, actions, contracts, or obligations of any kind.

No provision of this act is intended nor shall be construed to affect in any way any rights or interest, whether public or private:

- (1) Now vested or accrued in whole or in part, the validity of which might be sustained or preserved by reference to law to any provisions of law repealed by this act.
- (2) Derived from or which might be sustained or preserved in reliance upon action heretofore taken pursuant to or within the scope of any provisions of law repealed by this act.

All existing ordinances of the City of Greenville and all existing rules and regulations of the Greenville Utilities Commission not inconsistent with provisions of this act shall continue in full force and effect until repealed, modified, or amended.

No action or proceeding of any nature, whether civil or criminal, judicial or administrative, or otherwise pending at the effective date of this act by or against the City of Greenville or the Greenville Utilities Commission shall be abated or otherwise affected by the adoption of this act. If any provisions of this act or the application thereof to any person or circumstance is held invalid, such invalidity shall not affect other provisions or applications of this act which can be given effect without the invalid provision or application, and to this end the provisions of this act are declared to be severable.

Sec. 12. This act is effective upon ratification.

In the General Assembly read three times and ratified this the 7th day of July, 1992.

James C. Gardner
President of the Senate

Daniel Blue, Jr.
Speaker of the House of Representatives

BYLAWS OF THE GREENVILLE UTILITIES COMMISSION

These Bylaws are adopted by the Greenville Utilities Commission to implement its Charter and to establish basic rules of organization, process and procedure and to provide for the efficient and effective conduct of public business for the benefit of the ratepayers.

To The Extent The Terms & Conditions Of These Bylaws Conflict With the Terms & Conditions of The Charter, The Charter Shall Govern.

- I. Name: The name of the Commission shall be: The Greenville Utilities Commission.
- II. Individual Responsibility: Commission members have responsibility over utilities' affairs when acting as a body appropriately called to session. To request additional information concerning the functions of the Greenville Utilities Commission, Board members should contact the General Manager/CEO.
- III. Board Membership
 - A. Number of Members: The Greenville Utilities Commission shall consist of eight (8) members, all appointed by the Greenville City Council. Two of these appointees are nominated by Pitt County Board of Commissioners.
 - B. Commission Seats: Of the eight (8) members, six (6) members shall be bona fide residents of the City of whom one shall be the City Manager of Greenville, and two of whom shall be bona fide residents of Pitt County but residing outside the city limits of Greenville, who shall be customers of the Greenville Utilities Commission.
 - C. Vacancies on the Commission: In the event a Greenville Utilities Commissioner resigns, dies, or otherwise becomes incapable of performing his or her duties, at any regular or special meeting of the City Council, the City Council shall appoint, according to the same process described herein for regular appointments, a Greenville Utilities Commissioner to fill the unexpired term.
 - D. New members to the Board are required to participate in orientation and training sessions as scheduled by the General Manager/CEO. Orientation shall include such activities as:
 - 1. Workshops for new Board members conducted by the American Public Power Association.
 - 2. Discussions and visits with the General Manager/CEO, General Counsel, and other members of the staff.

3. Provision of printed and audiovisual materials on Greenville Utilities Commission and copies of administrative policies and procedures.

IV. Organization

- A. The Greenville Utilities Commission each year shall elect its officers on the first regularly scheduled meeting of the Commission on or after July 1 at which a quorum is present. Meetings are scheduled for the third Thursday in each month at 12:00 noon.
- B. The officers of the Commission shall be a Chair, a Chair-Elect and a Secretary, elected in that order for a term of one (1) year. The General Manager/CEO shall preside while a new Chair is being elected.
- C. Vacancies in the office of Chair, Chair-Elect or Secretary occurring during the year shall be filled by the Commission at its discretion.

V. Powers and Duties of the Board

The Powers and Duties of the Board shall include but not be limited to the following:

- A. The Greenville Utilities Commission shall have entire supervision and control of the management, operation, maintenance, improvement, and extension of the public utilities of the City, which public utilities shall include electric, natural gas, water, and sewer services, and shall fix uniform rates for all services rendered; provided, however, that any person affected by said rates may appeal from the decision of the Greenville Utilities Commission as to rates to the City Council. With approval by the City Council, the Greenville Utilities Commission may undertake any additional public enterprise service which may lawfully be operated by a municipality (Reference Charter Section 5).
- B. The Board shall discharge its duties and responsibilities as required by its Charter or otherwise imposed by law.
- C. The Board shall employ a General Manager/CEO whose duties shall be to supervise and manage the operations of the Greenville Utilities Commission, subject to the approval of the Board of Commissioners of the Greenville Utilities Commission, as provided in Section 6 of the Charter.
- D. The Board shall annually evaluate the performance of the General Manager/CEO as its Chief Executive Officer (CEO).
- E. Upon the recommendation of the General Manager/CEO, the board shall employ, an attorney to give counsel on matters of legal concern to the Greenville Utilities Commission. The attorney shall represent the Commission on most matters, except that the Commission may hire other expertise as warranted on a case by case basis. The General Manager/CEO shall annually review the performance and services of the General Counsel.

- F. The Board shall annually review its performance. An annual review may consist of a written review and/or survey by Board members and/or a review with or by the General Manager/CEO.

VI. Duties of Officers

- A. The Chair shall preside at Board meetings. The Chair, in consultation with the Secretary and General Manager/CEO, shall review the draft agenda of regular and special meetings of the Board.
- B. The Chair shall appoint committees and designate the chairs unless otherwise directed by majority vote of the Board in session.
- C. The Chair, subject to the approval of the Commission, shall have the authority to execute any official document for and on behalf of the Commission.
- D. The Chair shall not be entitled to vote on any proposition before the Greenville Utilities Commission except in case of a tie vote and only for the purpose of breaking the tie.
- E. The Chair shall be the official spokesperson for the Board and will make, either in person or through the General Manager/CEO, every effort to communicate the Board's decision to the community through the media and any other means available.
- F. In the absence of the Chair, it shall be the duty of the Chair-Elect to preside at meetings of the Board and assume authority of the Chair.
- G. The Secretary shall record minutes of meetings and hearings and distribute minutes in advance for review and approval.
- H. It shall be the responsibility of the Secretary to maintain official minutes and records of Board proceedings and actions. Minutes may be examined by any interested citizen under the supervision of the Secretary or the Secretary's designee. Consistent with the purpose of Closed Sessions, minutes of these meetings shall be separately maintained by the Secretary. Minutes of Closed Sessions may not be examined by interested citizens except as provided by law.
- I. The Secretary shall be responsible for the proper maintenance of official documents including but not limited to Board bylaws, Board policies, and Board decisions.
- J. The Secretary shall discharge any other duties or responsibilities required by law or otherwise imposed upon the Secretary of the Board.
- K. In the absence of the Secretary, it shall be the duty of the Chair-Elect to assume duties of the Secretary.

VII. Committees & Appointments

- A. An Executive Committee composed of the Board Chair, Chair-Elect, Past-Chair (when continuing to serve on the Board) and Secretary will work with the Greenville Utilities Commission staff on policy issues, represent Greenville Utilities Commission in joint discussions on potential growth and regionalization and provide for continuity of knowledge for the chair position.
- B. On an annual basis, the Chair shall appoint two members to a Joint Pay & Benefits Committee to meet jointly with a City Council Joint Pay & Benefits Committee to make recommendations for employee benefits and compensation issues for the upcoming fiscal year. These two members should include at least one member (if available) that served the previous year.
- C. On an annual basis, the Chair shall appoint 3–4 members to serve in conjunction with the Board Chair, on a Finance/Audit Committee to provide “policymaker” input to staff and auditors on the enhancement or implementation of financial procedures or issues. The Chair shall designate a Chair and a Vice-Chair of the Committee.
- D. On an annual basis, the Chair shall appoint 3–4 members to serve in conjunction with the Board Chair on an Economic Development, Marketing and Public Relations Committee to provide policymaker input to staff and consultants in the development of criteria, guidelines, and strategies for the economic development of Pitt County and report to the Board of Commissioners as appropriate. The Chair shall designate a Chair and a Vice-Chair of the Committee.
- E. On an annual basis, the Chair shall appoint 3-4 members to serve in conjunction with the Board Chair on a Legal and Legislative Committee to provide policymaker input to staff on legal and legislative matters that may impact the operations and business of the Commission and report to the Board of Commissioners as appropriate. The Chair shall designate a Chair and a Vice-Chair of the Committee.
- F. Ad Hoc Committees of the Board shall be appointed annually by the Chair, as needed, and shall function until such time as their purpose has been accomplished. The Chair shall designate a Chair and a Vice-Chair of the Committee.
- G. The Board shall appoint an Executive Secretary and Assistant Executive Secretary from the staff. These positions are normally held by the Executive Assistant to the General Manager/CEO and Director of Financial Services and Accounting, respectively.

VIII. Methods of Operation

- A. Board Meetings: The Board shall regularly meet on the third Thursday of each month to consider its business. Notice of the meeting and its agenda shall be made available to the media as provided by law. Other meetings, including special sessions, emergency sessions or workshop sessions may be held as needed and must follow notification provisions as described by law.

- B. Agendas: Before actions by the Board are requested or recommended, the Board should be provided with documentation to assist members in reaching decisions consistent with established goals and policies. To request additional information, Board members shall contact the General Manager/CEO. The Agenda for regular monthly meetings shall be as follows:
1. Call to order and ascertain the presence of a quorum
 2. Approval of the minutes for the previous month's meetings
 3. Any additions or deletions and acceptance of the (revised) agenda
 4. Presentations of awards and recognition of outstanding achievement
 5. Acceptance of the financial statement for the previous month
 6. Award of Bids
 7. Other items requiring action by the Board
 8. Informational items
 9. General Manager/CEO's report – This portion of the meeting is set aside for the General Manager/CEO's reports on any item of information which he wishes to present to the Board.
 10. Board Chair remarks
 11. Board members' remarks
 12. Closed Session, if required
 13. Notice of next regular or special meeting
 14. Adjournment
- C. Quorum: The Greenville Utilities Commission may only conduct business in a regular, emergency or special meeting attended by a quorum of the Board. A quorum shall consist of five (5) Board members, who are either physically present or able to participate by telephone or video-conference or other electronic means.
- D. Conduct of Meetings: Meetings shall be conducted in accordance with the Board's Bylaws and otherwise by the most recent edition of Robert's Rules of Order.
- E. Voting: The Chair of the Board shall determine the vote of any motion by asking each member to respond by voice vote or if necessary, a show of hands may be required.

1. Each member of the Board, except the Chair, shall have one vote on any recommendation, motion, proposal, or any other action item coming before the Board.
 2. Each member, other than the Chair, must vote unless excused by the remaining members. A member who wishes to be excused from voting shall so inform the Chair who shall take a deciding vote of the remaining members present. No member shall be excused from voting except on matters involving his/her own financial interest or official conduct. In other cases, a failure to vote by a member who is physically present or has withdrawn without being excused by a majority vote of the remaining members present, shall be recorded as an affirmative vote.
- F. Closed Sessions: Closed Sessions may be called upon a motion made and adopted at an open session for any of those permitted purposes described in Section 143-318 of the General Statutes of N.C., commonly known as the "Open Meetings Law", as amended from time to time. The motion shall state the purpose of the Closed Session and must be approved by the vote of a majority of those members present.
- G. Board Members' Compensation and Reimbursement: Members of the Greenville Utilities Commission shall receive compensation for performance of official utility business at the rate of \$200 per month. The Chair shall receive \$350.00 per month. The City Council may, at its own discretion, increase these caps from time to time as is appropriate to reflect inflation. The City Manager shall receive no compensation as a member of the Greenville Utilities Commission.
- H. Public Hearings: The Greenville Utilities Commission believes that from time to time additional public opinion is necessary. This opinion is generally sought through the use of a public hearing. When such hearings are scheduled, the Greenville Utilities Commission will ensure that the date, time, and location are properly advertised well in advance of the hearing date. The Commission will receive comments and information at public hearings but not take any formal action during the public hearing. The Commission will take under advisement comments offered at the public hearing and normally consider these comments for future action.
- I. Public Expression: Upon motion of any Commissioner, and approval by a majority of the Board, any ratepayer may be allowed to address the Board within the time restrictions (and upon such other conditions) as may be set by the Chair.
- IX. Change in Bylaws: These Bylaws may be amended from time to time. The procedure for amendment is by giving notice of the proposed amendments at a regularly scheduled Board meeting. The amendments will be considered at the next regularly scheduled Board meeting and must be approved by the vote of a majority of the members.

Adopted May 14, 1996
Revised June 11, 1996
Revised November 18, 1997

Revised June 9, 1998
Revised October 11, 1999
Revised July 18, 2000
Revised October 16, 2007
Revised November 18, 2008
Revised September 15, 2011
Revised August 21, 2014
Revised March 19, 2015
Revised November 16, 2017

Motion To Go Into Closed Session

I move that we go into closed session to:

[Specify one of more of the following permitted reasons for closed sessions]

- ☐ prevent the disclosure of privileged information
 - ☐ under _____ of the North Carolina General Statutes or regulations.
 - ☐ under _____ of the regulations or laws of United States.[N.C.G.S. § 143-318.11(a)(1)]
- ☐ prevent the premature disclosure of an honorary award or scholarship.
[N.C.G.S. § 143-318.11(a)(2)]
- ☐ consult with our attorney
 - ☐ to protect the attorney-client privilege.
 - ☐ to consider and give instructions concerning a potential or actual claim, administrative procedure, or judicial action.
 - ☐ to consider and give instructions concerning a judicial action titled _____
v. _____.[N.C.G.S. § 143-318.11(a)(3)]
- ☐ discuss matters relating to the location or expansion of business in the area served by this body.
[N.C.G.S. § 143-318.11(a)(4)]
- ☐ establish or instruct the staff or agent concerning the negotiation of the price and terms of a contract concerning the acquisition of real property.
[N.C.G.S. § 143-318.11(a)(5)]
- ☐ establish or instruct the staff or agent concerning the negotiations of the amount of compensation or other terms of an employment contract.
[N.C.G.S. § 143-318.11(a)(5)]
- ☐ consider the qualifications, competence, performance, condition of appointment of a public officer or employee or prospective public officer or employee.
[N.C.G.S. § 143-318.11(a)(6)]
- ☐ hear or investigate a complaint, charge, or grievance by or against a public officer or employee.
[N.C.G.S. § 143-318.11(a)(6)]
- ☐ plan, conduct, or hear reports concerning investigations of alleged criminal conduct.
[N.C.G.S. § 143-318.11(a)(7)]

MEMORANDUM

To: Members of the Board of Commissioners

From: _____, Commissioner/Board Member

Concerning: Statement of Reasons for Abstention from Board Action pursuant to N.C. Gen. Stat. § 138A-36(b)

Date: _____

Matter before the Board: _____

Briefly summarize reasons for abstention below:

(Signature of Board Member)