



AGENDA

Regular Meeting – September 19, 2019
Board Room – 12:00 noon

Call to Order - Ascertain Quorum

12:00

[Chair Joel Butler]

Acceptance of the Agenda

[Chair Joel Butler]

Safety Brief

[Tony Cannon : Kevin Keyzer]

Recognition of Government Finance Officers Association (GFOA) Award for Outstanding Achievement in Popular Annual Financial Reporting (PAFR)

[Jeff McCauley]

Recognition of Government Finance Officers Association (GFOA) Certificate of Excellence in Financial Reporting

[Jeff McCauley]

Recognition of Government Finance Officers Association (GFOA) Distinguished Budget Presentation Award

[Jeff McCauley]

Recognition of Service - Sandy Barnes, Director of Information Technology

[Tony Cannon]

1. Approval of Minutes

[Chair Joel Butler]

Regular Meeting: August 15, 2019

2. Review of Monthly Financial Statement – August 31, 2019 Preliminary

[Jeff McCauley]

3. Consideration of Resolution Authorizing Application for State Revolving Fund (SRF) Funding for WCP-10032 Water Main Rehabilitation Phase II Project

[Randy Emory]

4. Recommended Approval of Joint Pay and Benefits Committee's Recommendations for 2020 Health and Dental Insurance

[Chair Joel Butler]

5. Recommended Award of Bid for 1,000 kW/2,000 kWh Peak Shaving Battery Energy Storage System (BESS) Pilot Project (ECP-10208)

[Roger Jones : Kyle Brown]

Information Items

6. Reflections on Hurricane Floyd's 20 Year Anniversary
 [Tony Cannon]
7. Capital Project Updates
 [Chris Padgett : Kevin Keyzer]
8. General Manager's Report
 [Tony Cannon]
9. Board Chair's Remarks/Report
 [Chair Joel Butler]
10. Board Members' Remarks
 [Board]

Notice of Upcoming Meetings/Functions:

[Chair Joel Butler]

Finance/Audit Committee Meeting, Tuesday, October 1, 2019, 12:00 noon, Board Room
GUC Regular Meeting, Thursday, October 17, 2019, 12:00 noon, Board Room

Closed Sessions

N.C.G.S. 143-318.11(a)(1) To prevent the disclosure of information that is privileged or confidential pursuant to the law of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes.

N.C.G.S. 143-318.11(a)(6) To consider the qualifications, competence, performance, condition of appointment of a public officer or employee or prospective public officer or employee.

Adjournment

[Chair Joel Butler]

1:00



Agenda Item # 1

Meeting Date: September 19, 2019

Item:	Approval of Minutes
Contact:	Amy Wade
Explanation:	Regular Minutes: August 15, 2019
Strategic Plan Elements:	<p>Strategic Themes:</p> <ul style="list-style-type: none">• Exceptional Customer Service• Shaping Our Future <p>Objectives:</p> <ul style="list-style-type: none">• Exceeding customer expectations• Embracing change to ensure organizational alignment and efficiency <p>Core Values:</p> <ul style="list-style-type: none">• Exceed customers' expectations• Support the community• Deliver reliable services
Previous Board Actions:	N/A
Fiscal Note:	N/A
Recommended Action(s):	Approval of minutes as presented or amended

GREENVILLE UTILITIES COMMISSION

GREENVILLE, NORTH CAROLINA

Thursday, August 15, 2019

The Board of Commissioners of the Greenville Utilities Commission met in the Greenville Utilities Board Room in regular session at 12:00 noon with the following members, and others present, and Chair Butler presiding.

Commission Board Members Present:

Joel Butler, Chair
Minnie J. Anderson
Ann E. Wall
Tommy Stoughton

Kelly L. Darden, Jr.
Peter Geiger
Lindsey Griffin

Parker Overton had an excused absence.

Commission Staff Present:

Tony Cannon, General Manager/CEO
Chris Padgett
Phil Dixon
Jeff McCauley
Keith Jones
George Reel
Randy Emory
Anthony Miller
Richie Shreves
Steve Hawley
Sandy Barnes
David Springer
John Worrell
Scott Mullis
Amy Wade

Kevin Keyzer
Jonathan Britt
Carl Smith
Durk Tyson
Lou Norris
Kristen Jarman
Ken Wade
Tony Godwin
Jacob Swink

Others Present:

Ginger Livingston, The Daily Reflector

Chair Butler called the meeting to order and Mr. Stoughton ascertained that a quorum was present.

ACCEPTANCE OF THE AGENDA

A motion was made by Mr. Stoughton, seconded by Ms. Anderson, to accept the agenda as presented. The motion carried unanimously.

SAFETY BRIEF

Tony Cannon, General Manager/CEO, called upon Kevin Keyzer, Risk and Facilities Manager, to provide the safety brief. Mr. Keyzer explained the plan of action should there be an emergency at today's meeting and provided a safety tip.

Recognition of American Public Gas Association Safety Management Excellence Award

Mr. Carl Smith, Gas Distribution Engineer, explained that the American Public Gas Association (APGA) is the only not-for-profit trade organization representing over 700 publicly owned natural gas local distribution companies. This award is given to an APGA member system that constantly operates at the highest level, clearly establishing the system as an achiever of

excellence in the field of Safety Management. Greenville Utilities also won this award in 2001 and 2008.

Recognition of American Gas Association Safety Achievement Award

Mr. Carl Smith, Gas Distribution Engineer, stated that the American Gas Association (AGA) represents over 200 investor and publicly owned energy companies that deliver natural gas throughout the United States to 71 million customers. This award recognizes member companies having the lowest Days Away from Work, Restricted or Transferred incident rate during the calendar year. He was pleased to share that this is the first time Greenville Utilities has won this award.

APPROVAL OF MINUTES (Agenda Item 1)

A motion was made by Ms. Wall, seconded by Mr. Geiger, to approve the July 18, 2019 Regular Meeting minutes as presented. The motion carried unanimously.

REVIEW OF MONTHLY FINANCIAL STATEMENT – July 31, 2019 (Preliminary) (Agenda Item 2)

July 31, 2019 Financial Statement Preliminary:

Key financial metrics for the combined funds for the period ending July 31, 2019 Preliminary:

Operating Cash:	\$83,149,684	Days of Cash on Hand:	140
Less Current Liabilities	<u>(\$22,751,059)</u>		
Fund Balance:	\$60,398,625		

Fund Balance Available for Appropriation: 23.2% Days of Cash on Hand After Liabilities: 102

Average Investment Yield: 2.33%

Fund Equity/Deficit Before Transfers

	Current Month			Year to Date		
	Actual	Budget	Last Year	Actual	Budget	Last Year
Electric	\$2,718,355	\$934,864	\$2,177,189	\$2,718,355	\$934,864	\$2,177,189
Water	\$888,486	\$575,137	\$670,460	\$888,486	\$575,137	\$670,460
Sewer	\$768,048	\$185,367	\$660,563	\$768,048	\$185,367	\$660,563
Gas	(\$203,940)	(\$379,187)	(\$187,846)	(\$203,940)	(\$379,187)	(\$187,846)
Combined	\$4,170,949	\$1,316,181	\$3,320,366	\$4,170,949	\$1,316,181	\$3,320,366

Fund Equity/Deficit After Transfers

	Current Month			Year to Date		
	Actual	Budget	Last Year	Actual	Budget	Last Year
Electric	\$2,635,022	\$950,267	\$2,177,189	\$2,635,022	\$950,267	\$2,177,189
Water	\$459,319	\$177,868	\$424,627	\$459,319	\$177,868	\$424,627
Sewer	\$593,048	\$116,359	\$527,230	\$593,048	\$116,359	\$527,230
Gas	(\$224,773)	(\$366,185)	(\$187,846)	(\$224,773)	(\$366,185)	(\$187,846)
Combined	\$3,462,616	\$878,309	\$2,941,200	\$3,462,616	\$878,309	\$2,941,200

Jeff McCauley, Chief Financial Officer, provided a presentation on the Financial Statement for July 31, 2019.

The weather impact for the month of July indicated that the cooling degree days were more than July 2018. The July rainfall was 8.16 inches which was slightly less than last year. The portfolio earned 2.33 % for the month of July.

Overall year-to-date results through the end of July remain stable. The Electric Rate Stabilization Reserves are approximately \$24 million, and the Gas Rate Stabilization Reserves are \$1.6 million. The Operating Reserves are 140 Days Cash on Hand, and Renewals and Replacements Reserves are approximately \$2.6 million. The Capital Project Funds Reserved for Existing and Future Capital Projects are approximately \$30.7 million.

A motion was made by Mr. Darden, seconded by Mr. Stoughton, to accept the Preliminary Financial Statement for July 31, 2019. The motion carried unanimously.

RECOMMENDED ADOPTION OF SEWER CAPITAL PROJECT BUDGET –
WASTEWATER TREATMENT PLANT REPLACEMENT OF CLARIFIER NO. 1 (Agenda
Item 3)

Mr. Randy Emory, Director of Water Resources, stated that at last month’s Board meeting, staff presented detailed information regarding the Wastewater Treatment Plant’s (WWTP) recent loss of use of one of its clarifiers due to buckling of the clarifier concrete floor and the need to replace this clarifier as soon as possible. Mr. Emory introduced Mr. David Springer, Assistant Director of Water Resources, to provide an update on the project.

Mr. Springer stated that the loss of Clarifier No. 1 represents a reduction of approximately 20% of the clarification capacity of the WWTP. North Carolina Department of Environment and Natural Resources (NCDENR) has advised GUC that the diminished capacity due to the loss of Clarifier No. 1 may result in NCDENR reducing the permitted treatment capacity of the WWTP. NCDENR has also advised that GUC should proceed to replace Clarifier No.1 as soon as possible and keep them informed of progress. A decrease in the rated capacity would negatively impact GUC’s ability to accommodate growth and recruit new industry.

The current WWTP Master Plan recommends the construction of two (2) new clarifiers on the newest side of the plant. These new clarifiers will replace the lost clarification capacity mentioned above, and result in improved plant operations, an enhanced treatment process and an increase of plant treatment capacity to approximately 22.5 million gallons per day.

Staff recommends adoption of a Sewer Capital Project Budget in the amount of \$6.0 million for the construction of two (2) new clarifiers, and associated piping at the WWTP.

A motion was made by Mr. Griffin, seconded by Mr. Stoughton, to adopt the Sewer Capital Project Budget in the amount of \$6.0 million and adopt the reimbursement resolution, and recommend similar actions by the City Council. The motion carried unanimously.

**Greenville Utilities Commission
Capital Project Budget
As of August 15, 2019**

Project Name	Proposed Budget
SCP10238 WWTP Clarifier Replacement Project	
Revenues:	
Long-Term Debt	\$4,000,000
Capital Projects Fund Balance	\$2,000,000
Total Revenue	\$6,000,000
Expenditures:	
Project Costs	\$6,000,000
Total Expenditures	\$6,000,000

**RESOLUTION RECOMMENDING TO THE CITY COUNCIL
ADOPTION OF A RESOLUTION PROVIDING FOR THE**

**REIMBURSEMENT TO THE GREENVILLE UTILITIES COMMISSION,
OF THE CITY OF GREENVILLE, NORTH CAROLINA, A BODY POLITIC
DULY CHARTERED BY THE STATE OF NORTH CAROLINA, FROM THE
PROCEEDS OF ONE OR MORE FINANCING(S) FOR THE EXPENDITURE OF
FUNDS FOR CERTAIN IMPROVEMENTS TO THE COMBINED ENTERPRISE
SYSTEM**

WHEREAS, in accordance with Chapter 861 of the 1991 Session Laws of North Carolina, the Greenville Utilities Commission (the “Commission”) has been created for the proper management of the public utilities of the City of Greenville, North Carolina (the “City”), comprising an electric system, a natural gas system, a sanitary sewer system and a water system within and without the corporate limits of the City, (collectively the “Combined Enterprise System”) with responsibility for the entire supervision and control of the management, operation, maintenance, improvement and extension of the Combined Enterprise System;

WHEREAS, Section 1.150-2 of the Treasury Regulations prescribes specific procedures which will be applicable to certain bonds, notes or other indebtedness (“Debt”) issued by or on behalf of the Commission and the City including, without limitation, a requirement that the City declare official intent to reimburse certain expenditures with proceeds of Debt to be incurred prior to, or within sixty (60) days of, payment of the expenditures to be reimbursed;

WHEREAS, the Commission has identified certain improvements (the “Additional Improvements”) to the Combined Enterprise System that have been and must be funded from available funds pending reimbursement from the proceeds of Debt to be issued for such purposes;

WHEREAS, the Additional Improvements consist of sewer treatment plant upgrades; now, therefore,

BE IT RESOLVED BY THE GREENVILLE UTILITIES COMMISSION as follows;

1. The City is hereby requested to give favorable consideration to and pass the proposed resolution, which resolution (the "Reimbursement Resolution") effectively would provide for the reimbursement to the Commission from the proceeds of one or more Debt financing(s) (estimated to be \$6,000,000) for certain expenditures for the Additional Improvements made not more than 60 days prior to the adoption of the Reimbursement Resolution, the Commission having determined that such adoption will be in the best interests of the Combined Enterprise System.

2. This resolution shall take effect immediately upon its passage, and a certified copy thereof shall be provided to the City Clerk for presentation to the City Council at its next regularly scheduled meeting.

Adopted this the 15th day of August, 2019.

/s/ Joel Butler, Chair

ATTEST:

/s/ Tommy Stoughton
Secretary

APPROVED AS TO FORM:

/s/ Phillip R. Dixon
General Counsel

**RESOLUTION NO. 19-__
RESOLUTION DECLARING THE INTENTION OF THE
CITY COUNCIL OF THE CITY OF GREENVILLE TO REIMBURSE THE
GREENVILLE UTILITIES COMMISSION, OF THE CITY OF GREENVILLE, NORTH
CAROLINA, A BODY POLITIC DULY CHARTERED BY THE STATE OF NORTH
CAROLINA, FROM THE PROCEEDS OF ONE OR MORE FINANCING(S) FOR**

CERTAIN EXPENDITURES MADE AND TO BE MADE IN CONNECTION WITH THE ACQUISITION AND CONSTRUCTION OF CERTAIN IMPROVEMENTS

WHEREAS, in accordance with Chapter 861 of the 1991 Session Laws of North Carolina, the Greenville Utilities Commission (the "Commission") has been created for the proper management of the public utilities of the City of Greenville, North Carolina (the "City"), comprising an electric system, a natural gas system, a sanitary sewer system and a water system within and without the corporate limits of the City, (collectively the "Combined Enterprise System") with responsibility for the entire supervision and control of the management, operation, maintenance, improvement and extension of the Combined Enterprise System; and

WHEREAS, Section 1.150-2 of the Treasury Regulations (the "Regulations") prescribes specific procedures which will be applicable to certain bonds, notes or other indebtedness ("Debt") issued by or on behalf of the Commission and the City including, without limitation, a requirement that the City declare official intent to reimburse certain expenditures with proceeds of Debt to be incurred prior to, or within sixty (60) days of, payment of the expenditures to be reimbursed;

WHEREAS, the Commission has determined to pay certain expenditures (the "Expenditures") incurred no more than 60 days prior to the date hereof and thereafter relating to the acquisition and construction of certain improvements (collectively, the "Additional Improvements") more fully described below;

WHEREAS, the Additional Improvements consist of sewer treatment plant upgrades; and

WHEREAS, the City Council of the City has determined that those moneys previously advanced by the Commission no more than 60 days prior to the date hereof to pay such Expenditures are available only on a temporary period and that it is necessary to reimburse the Commission for the Expenditures from the proceeds of one or more issues of Debt;

NOW, THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL as follows:

Section 1. The City hereby declares concurrence with the Commission's intent to reimburse the Commission from the proceeds of the Debt for the Expenditures made with respect to the Additional Improvements no more than 60 days prior to the date hereof and thereafter.

Section 2. Each Expenditure was or will be either (a) of a type chargeable to capital account under general federal income tax principles (determined as of the date of the Expenditures), (b) the cost of issuance with respect to the Debt, (c) a non-recurring item that is not customarily payable from current revenues of the Combined Enterprise System, or (d) a grant to a party that is not related to or an agent of the Commission or City so long as such grant does not impose any obligation or condition (directly or indirectly) to repay any amount to or for the benefit of the Commission or City.

Section 3. The principal amount of the Debt estimated to be issued to reimburse the Commission for Expenditures for the Improvements is estimated to be not more than \$6,000,000.

Section 4. The Commission and the City will make a reimbursement allocation, which is a written allocation by the Commission and the City that evidences the Commission's use of proceeds of the Debt to reimburse an Expenditure no later than 18 months after the later of the date on which such Expenditure is paid or the Project is placed in service or abandoned, but in no event more than three years after the date on which the Expenditure is paid. The City recognizes that exceptions are available for certain "preliminary expenditures," costs of issuance, certain de minimis amounts, (expenditures by "small issuers" based on the year of issuance and not the year of expenditure), and expenditures for construction projects of at least 5 years.

Section 5. This declaration of official intent is made pursuant to Section 1.150-2 of the Treasury Regulations.

Section 6. The resolution shall take effect immediately upon its passage.

Adopted this the ___ day of _____, 2019.

ATTEST:

/s/ Carol L. Barwick, City Clerk

RECOMMENDED AWARD OF LABOR BID FOR REPLACEMENT OF 115 kV
TRANSMISSION STRUCTURES FOR GREENVILLE 115 kV TRANSMISSION CIRCUIT
18 FLOOD MITIGATION (ECP-10171) (Agenda Item 4)

Mr. John Worrell, Assistant Director of Electric Systems, provided a history of this project and introduced Mr. Ken Wade, Substation Control Engineer and Interim Electric Distribution Engineer to present the details of the project. Mr. Wade stated that Capital Project ECP-10171's budget is \$1,500,000.00 designated for the replacement of the Circuit 18 115 kV transmission line structures from the Greenville 230 kV POD (Point of Delivery) Substation on Mumford Road to US 264 Eastern Bypass near the Best Western Hotel. The wooden pole line will be replaced with tubular steel structures. The taller tubular steel structures will provide 8 to 10 feet of clearance above Hurricane Floyd river crest levels. Replacement of the structures will harden the transmission line and lessen the effects of flooding on the transmission system improving redundancy and reliability for GUC's customers.

GUC solicited bids for the installation of the steel transmission pole structures. Six bids were received and Carolina Power and Signalization, Inc. was the lowest responsible bidder at \$712,770.00.

A motion was made by Mr. Geiger, seconded by Mr. Darden, to award the labor bid for replacement of steel structures to Carolina Power and Signalization, Inc. and to authorize the General Manager/CEO to take appropriate action to execute the contract(s) with Carolina Power and Signalization, Inc. The motion carried unanimously.

CAPITAL PROJECT UPDATES (Agenda Item 5)

Chris Padgett, Chief Administrative Officer, provided an update on the new Operations Center. He noted that the foundation walls are nearly completed on building 2 and landing pads for buildings 3 and 4 are complete. The project continues to remain on schedule and within budget.

GENERAL MANAGER'S REPORT (Agenda Item 6)

1. Informational Reading

Bids and Proposals, Statistical Data Report, Sewer Spill Tracking Report, Load Management Report, and PGA Report were provided.

The following Bids and Ranking of Proposals awarded by the General Manager/CEO during the past month were reported for information:

TABULATION OF BIDS RECEIVED

CAST IRON VALVE BOX RISERS, PLASTIC GAS VALVE BOXES AND METER RISERS MAY 22, 2019

VENDORS	DELIVERY TIME	EXTENSION
Consolidated Pipe & Supply	Stock-3 Weeks	\$28,644.00*
Ferguson Waterworks	2-10 Weeks	29,338.50
Core & Main	4-12 Weeks	33,869.70
Diversified Utility Sales of America, LLC	5-10 Weeks	37,762.50

*Indicates recommended award based on the lowest responsible, responsive bid.

FIRE EXTINGUISHERS AND SERVICES MAY 23, 2019 @ 10:00 AM

VENDORS	\$ TOTAL
A-1 Fire & Safety Co., Inc.	\$9,052.68
Johnson Controls Fire Protection	10,667.76
Williams Fire Extinguisher Services	14,723.95

*Indicates recommended award based on the lowest responsible, responsive bid.

SEWER CLEAN OUT BOXES MAY 23, 2019

VENDORS	DELIVERY TIME	TOTAL
Fortiline Waterworks	4-5 Weeks	23,135.00 *
Core & Main	3-4 Weeks	23,160.00
Ferguson Waterworks, Inc.	1-4 Weeks	23,410.00
EJ USA, Inc.	Stock-4 Weeks	24,445.00
Consolidated Pipe & Supply, Inc.	4 Weeks	25,000.00
Water Works, Inc.	—	14,375.00 (1)
Raleigh Winwater	4 Weeks	23,160.00 (1)

*Indicates recommended award based on the lowest responsible, responsive bid.

(1) Indicates that vendor did not specify or quote an approved brand.

ITEM I (50) 15 KVA CSP DISTRIBUTION TRANSFORMERS 120/240, STK # 205010 JUNE 13, 2019 @ 2:00 PM

VENDORS	DELIVERY TIME (WKS)	UNIT PRICE	OWNERSHIP COST/UNIT	TOTAL
Richardson Associates	10	\$749.00	\$1,511.00*	\$37,450.00
Wesco Distribution, Inc.	10	799.50	1,582.20	39,975.00
Anixter, Inc. (Cooper)	9	809.00	1 464.50**	40,450.00
National Transformer Sales,	10	813.00	1,566.60	40,650.00
Anixter, Inc. (GE)	8	839.00	1,528.10**	41,950.00

* Indicates recommended award based on lowest total unit cost.

** Indicates that exception was taken to the 10-year warranty.

ITEM II (50) 25 KVA CSP DISTRIBUTION TRANSFORMERS 120/240,
 STK # 205020
 JUNE 13, 2019 @ 2:00 PM

VENDORS	DELIVERY TIME (WKS)	UNIT PRICE	OWNERSHIP COST/UNIT	TOTAL
National Transformer Sales, Inc.	10	\$942.00	\$2,061.00*	\$47,100.00
Wesco Distribution, Inc.	10	913.00	2,077.60	45,650.00
Richardson Associates	10	944.00	2,063.00	47,200.00
Anixter, Inc. (GE)	8	966.00	1,973.10**	48,300.00
Anixter, Inc. (Cooper)	9	1,040.00	2,008.70**	52,000.00

* Indicates recommended award based on lowest total unit cost.

** Indicates that exception was taken to the 10-year warranty.

ITEM III (4) 150 KVA THREE-PHASE PADMOUNT TRANSFORMERS 120/208,
 STK # 205220
 JUNE 13, 2019 @ 2:00 PM

VENDORS	DELIVERY TIME (WKS)	UNIT PRICE	OWNERSHIP COST/UNIT	TOTAL
National Transformer Sales, Inc.	12	\$5,441.00	\$10,818.20*	\$21,764.0
WEG	10	5,476.00	10,931.20	21,904
Richardson Associates	16	6,661.00	11,586.10	26,644.0
Anixter, Inc. (Cooper)	20	6,857.00	12,117.20	27,428.0
Wesco	10	7,029.00	12,566.70	28,116.0
Anixter, Inc. (GE)	8	7,697.00	11,602.70	30,788.0

* Indicates recommended award based on lowest total unit cost.

ITEM IV (5) 300 KVA THREE-PHASE PADMOUNT TRANSFORMERS 120/208,
 STK # 205150
 JUNE 13, 2019 @ 2:00 PM

VENDORS	DELIVERY TIME (WKS)	UNIT PRICE	OWNERSHIP P COST/UNIT	TOTAL
National Transformer Sales, Inc.	12	\$7,275.00	\$16,623.60	\$36,375.00*
WEG	10	7,591.00	17,085.70	37,955.00
Anixter, Inc. (Cooper)	20	8,622.00	17,661.30	43,110.00
Richardson Associates	16	9,300.00	18,093.90	46,500.00
Wesco Distribution, Inc.	10	9,345.00	18,177.60	46,725.00
Anixter, Inc. (GE)	8	10,045.00	16,346.80	50,225.00

* Indicates recommended award based on 3% evaluation.

ITEM V (5) 500 KVA THREE-PHASE PADMOUNT TRANSFORMERS 277/480,
 STK # 208250
 JUNE 13, 2019 @ 2:00 PM

VENDORS	DELIVERY TIME (WKS)	UNIT PRICE	OWNERSHIP COST/UNIT	TOTAL
Anixter, Inc. (GE)	8	\$11,965.00	\$21,024.70*	\$59,825.00
National Transformer, Inc.	12	8,923.00	21,825.70	44,615.00
WEG	12	10,042.00	22,808.80	50,210.00
Anixter, Inc. (Cooper)	20	10,475.00	22,954.10	52,375.00
Richardson Associates	16	11,050.00	23,972 .00	55,250.00
Wesco Distribution, Inc.	10	12,487.00	24,127.30	62,435.00

* Indicates recommended award based on lowest total unit cost.

RANKING OF PROPOSALS RECEIVED FOR TALEO HOSTING
 JUNE 19, 2019 @ 3:00 PM

VENDOR RANKING
Infolob Solutions, Inc.*
DLT Solutions, LLC

*Indicates recommended negotiations based on the vendor’s submitted proposal.

Load Management Report

The Duke Energy Progress (DEP) monthly peak occurred on July 17, 2019 for the hour ending at 4:00 p.m. GUC’s load management system was in full operation during this period. As a result, the estimated avoided demand costs amount to \$1,419,255.

2. Key Performance Indicators (KPIs)

The following KPIs highlighted for this month were provided in the dashboard format along with the corresponding scorecard:

- Billing Process Accuracy
- Response Time to Cut Gas Lines/Leaks
- System Losses – Electric
- Disruption of Service – Water

3. Commendations

The following are compliment records:

Shirley Peele, Staff Support Specialist II, and Lynette Smith, Customer Contact Representative I, received a compliment from customer Janet Mullaney. Ms. Mullaney called and said they were both exceptionally professional and amazingly patient with her while assisting with a hot water heater problem.

A letter from the Pitt County Emergency Management was sent to Randy Emory, Director of Water Resources. The letter thanked Greenville Utilities Commission for assistance with their fire department to complete their certification process by NC Office of State Fire Marshall related to the use of tankers to shuttle water. They thanked GUC for allowing them to use the municipal water system for the wet hydrant fill scenario. They thanked Scott Farmer, Water Resources Systems Engineer, and all the staff.

4. Other

A copy of the Wastewater Annual Report was distributed to the Commissioners and is available on the GUC website.

Budget Books were also distributed to the Commissioners.

BOARD CHAIR'S REMARKS/REPORT (Agenda Item 7)

Chair Butler shared the updated Board of Commissioner committee appointments.

He also reminded the Commissioners of the following meetings:

- GUC Regular Meeting, Thursday, September 19, 2019, 12:00 p.m., Board Room
- Joint GUC/COG Meeting, Monday, September 23, 2019, 6:00 p.m., City Council Chambers

Without any further business to conduct, Chair Butler announced that the meeting would stand adjourned at 12:36 p.m.

Respectfully submitted,

Amy Carson Wade, Executive Secretary

APPROVED:

Tommy Stoughton, Secretary



Agenda Item # 2

Meeting Date: September 19, 2019

Item: Review of Monthly Financial Statement for August 31, 2019 Preliminary

Contact: Jeff McCauley

Explanation: August 31, 2019 Financial Statement Preliminary

The Financial Statement for August 2019 Preliminary is attached.

Key financial metrics for the combined funds for the period ending August 2019 Preliminary:

Operating Cash	\$83,643,358	Days of Cash on Hand	132
Less Current Liabilities	<u>(\$23,965,594)</u>		
Fund Balance	\$59,677,764	Days of Cash on Hand After Liabilities	94

Fund Balance Available for Appropriation: 22.9%

Average Investment Yield: 2.28%

Fund Equity/Deficit Before Transfers

	Current Month			Year to Date		
	Actual	Budget	Last Year	Actual	Budget	Last Year
Electric	(\$372,055)	\$323,788	\$1,668,145	\$2,346,300	\$1,258,652	\$3,845,334
Water	\$320,043	\$283,191	\$312,483	\$1,208,529	\$794,532	\$982,943
Sewer	\$103,159	(\$181,721)	\$330,001	\$871,207	\$3,646	\$990,564
Gas	(\$622,625)	(\$575,005)	(\$330,113)	(\$826,565)	(\$954,192)	(\$517,959)
Combined	(\$571,478)	(\$149,747)	\$1,980,516	\$3,599,471	\$1,102,638	\$5,300,882

Fund Equity/Deficit After Transfers

	Current Month			Year to Date		
	Actual	Budget	Last Year	Actual	Budget	Last Year
Electric	(\$372,055)	\$339,191	\$1,668,145	\$2,262,967	\$1,289,458	\$3,845,334
Water	\$70,043	(\$114,078)	\$66,650	\$529,362	(\$6)	\$491,277
Sewer	\$53,159	(\$250,729)	\$196,668	\$646,207	(\$134,370)	\$723,898
Gas	(\$622,625)	(\$562,003)	(\$330,113)	(\$847,398)	(\$928,188)	(\$517,959)
Combined	(\$871,478)	(\$587,619)	\$1,601,350	\$2,591,138	\$226,894	\$4,542,550

Strategic Plan Elements:	<p>Strategic Themes:</p> <ul style="list-style-type: none"> • Exceptional Customer Service • Safety, Reliability & Value • Shaping Our Future <p>Objectives:</p> <ul style="list-style-type: none"> • Providing competitive rates, while maintaining the financial stability of the utility • Exceeding customer expectations • Safely providing reliable and innovative utility solutions • Developing and enhancing strategic partnerships <p>Core Values:</p> <ul style="list-style-type: none"> • Exceed Customers' Expectations • Act with Integrity • Value Employees • Deliver Reliable Services • Prioritize Safety • Support the Community
Previous Board Actions:	<p>N/A</p>
Fiscal Note:	<p>N/A</p>
Recommended Action(s):	<p>Accept August 31, 2019 Financial Statement Preliminary</p>

GREENVILLE UTILITIES COMMISSION

Financial Report

August 31, 2019

Preliminary



***Greenville
Utilities***

GREENVILLE UTILITIES COMMISSION

August 31, 2019

I. Key Financial Highlights

A.	<u>Days Cash On Hand</u>	<u>August 2019</u>	<u>August 2018</u>	<u>August 2017</u>			
	Electric Fund	107	114	112			
	Water Fund	176	205	228			
	Sewer Fund	254	250	280			
	Gas Fund	<u>235</u>	<u>231</u>	<u>249</u>			
	Combined Funds	132	139	139			
B.	<u>Fund Balance Available for Appropriation</u>	<u>Electric</u>	<u>Water</u>	<u>Sewer</u>	<u>Gas</u>	<u>Combined Funds</u>	
	Operating cash	\$53,226,684	\$6,965,761	\$8,932,962	\$14,517,951	\$83,643,358	
	Current liabilities	(\$18,691,297)	(\$1,738,914)	(\$1,380,770)	(\$2,154,613)	(\$23,965,594)	
	Fund balance available for appropriation	\$34,535,387	\$5,226,847	\$7,552,192	\$12,363,338	\$59,677,764	
	Percentage of total budgeted expenditures	19.5%	22.0%	29.5%	36.2%	22.9%	
	Days unappropriated fund balance on hand	70	132	215	200	94	
C.	<u>Portfolio Management</u>	<u>Fiscal Year 2019-20</u>		<u>Fiscal Year 2018-19</u>		<u>Fiscal Year 2017-18</u>	
		<u>Interest Earnings</u>	<u>Yield</u>	<u>Interest Earnings</u>	<u>Yield</u>	<u>Interest Earnings</u>	<u>Yield</u>
	July	\$275,957	2.33%	\$163,613	1.53%	\$64,466	0.69%
	August	\$375,514	2.28%	\$189,935	1.71%	\$71,444	0.67%

II. Fund Performance

<u>Electric</u>	<u>August 2019</u>	<u>August 2018</u>	<u>August 2017</u>
Number of Accounts	69,294	68,792	67,472

- YTD volumes billed to customers are 11,218,634 kWh more than last year and 12,577,298 kWh more than budget.
- YTD revenues from retail rates and charges are \$1,071,133 more than last year and \$2,720,805 more than budget.
- YTD total revenues are \$906,663 more than last year and \$2,776,794 more than budget.
- YTD total expenditures are \$2,405,697 more than last year and \$1,689,146 more than budget.
- YTD revenues exceed YTD expenditures by \$2,346,300 compared to excess revenues of \$3,845,334 for last year.
- YTD net fund equity after transfers is \$2,262,967.

GREENVILLE UTILITIES COMMISSION

August 31, 2019

<u>Water</u>	<u>August 2019</u>	<u>August 2018</u>	<u>August 2017</u>
Number of Accounts	36,814	36,784	36,404

- YTD volumes billed to customers are 30,340 kgallons more than last year and 53,632 kgallons more than budget.
- YTD revenues from retail rates and charges are \$420,526 more than last year and \$135,892 more than budget.
- YTD total revenues are \$427,524 more than last year and \$68,633 more than budget.
- YTD total expenditures are \$201,938 more than last year but \$345,364 less than budget.
- YTD revenues exceed YTD expenditures by \$1,208,529 compared to excess revenues of \$982,943 for last year.
- YTD net fund equity after transfers is \$529,362.

<u>Sewer</u>	<u>August 2019</u>	<u>August 2018</u>	<u>August 2017</u>
Number of Accounts	30,473	30,418	30,064

- YTD revenues from retail rates and charges are \$132,632 more than last year and \$101,441 more than budget.
- YTD total revenues are \$130,550 more than last year and \$131,792 more than budget.
- YTD total expenditures are \$249,907 more than last year but \$735,769 less than budget.
- YTD revenues exceed YTD expenditures by \$871,207 compared to excess revenues of \$990,564 for last year.
- YTD net fund equity after transfers is \$646,207.

<u>Gas</u>	<u>August 2019</u>	<u>August 2018</u>	<u>August 2017</u>
Number of Accounts	23,326	23,262	23,078

- YTD total volumes billed to customers are 219,740 ccfs less than last year but 253,407 ccfs more than budget.
- YTD revenues from retail rates and charges are \$323,375 less than last year but \$374,412 more than budget.
- YTD total revenues are \$298,929 less than last year but \$394,621 more than budget.
- YTD total expenditures are \$9,677 more than last year and \$266,994 more than budget.
- YTD expenditures exceed YTD revenues by \$826,565 compared to deficit revenues of \$517,959 for last year.
- YTD net fund deficit after transfers is \$847,398.

GREENVILLE UTILITIES COMMISSION

August 31, 2019

						YTD %			YTD %
III.	<u>Volumes Billed</u>	<u>August 2019</u>	<u>YTD FY 2019-20</u>	<u>August 2018</u>	<u>YTD FY 2018-19</u>	<u>Change</u>	<u>August 2017</u>	<u>YTD FY 2017-18</u>	<u>Change</u>
	Electric (kwh)	179,949,700	339,406,799	166,386,786	328,188,165	3.4%	165,184,677	320,887,284	5.8%
	Water (kgal)	348,338	687,742	328,332	657,402	4.6%	319,949	634,304	8.4%
	Sewer (kgal)	253,595	493,401	244,890	483,679	2.0%	238,487	476,401	3.6%
	Gas (ccf) Firm	600,171	1,147,662	588,986	1,125,776	1.9%	547,230	1,038,179	10.5%
	Interruptible	<u>1,223,537</u>	<u>2,110,481</u>	<u>1,268,319</u>	<u>2,352,107</u>	<u>-10.3%</u>	<u>1,002,371</u>	<u>1,875,020</u>	<u>12.6%</u>
	Total	1,823,708	3,258,143	1,857,305	3,477,883	-6.3%	1,549,601	2,913,199	11.8%

IV.	<u>Cooling Degree Day Information</u>	<u>Fiscal Year 2019-20</u>	<u>Fiscal Year 2018-19</u>	<u>% Change</u>	<u>6 Year Average</u>	<u>30 Year Average</u>
	July	531.5	454.0	17.1%	488.9	488.8
	August	<u>451.0</u>	<u>478.5</u>	<u>-5.7%</u>	<u>446.2</u>	<u>433.4</u>
	YTD	982.5	932.5	5.4%	935.1	922.2

Commissioners Executive Summary

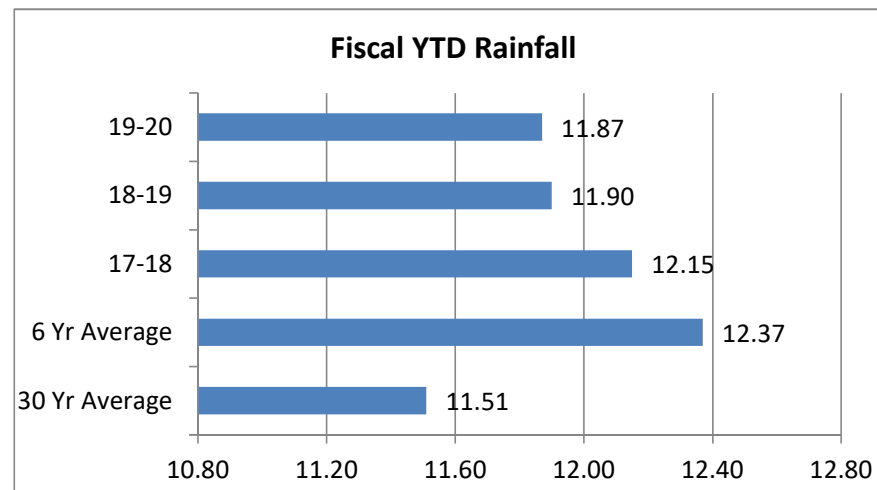
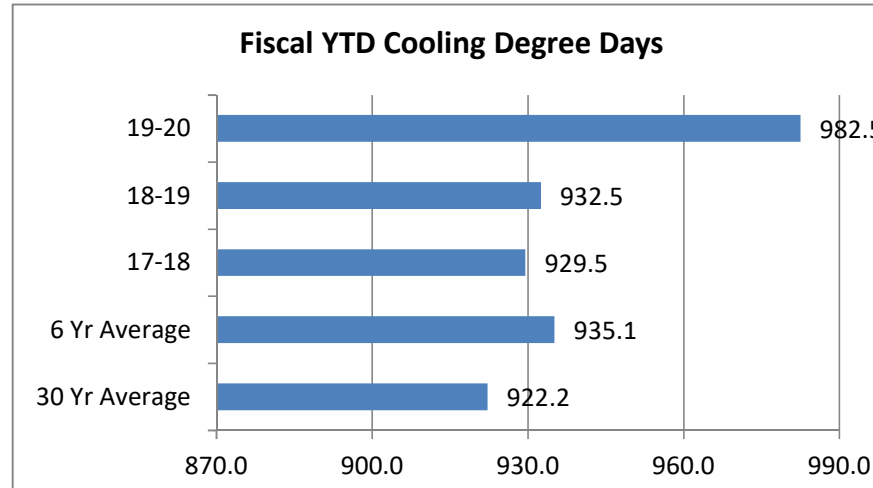
August 31, 2019

	Current Month			Year To Date		
	Actual	Budget	Last Year	Actual	Budget	Last Year
Electric						
Revenues	16,557,010	17,150,552	17,834,231	35,619,932	32,843,138	34,713,269
Expenses	(16,929,065)	(16,826,764)	(16,166,086)	(33,273,632)	(31,584,486)	(30,867,935)
Equity/Deficit from Operations	(372,055)	323,788	1,668,145	2,346,300	1,258,652	3,845,334
Transfers and Fund Balance	-	15,403	-	(83,333)	30,806	-
Total Equity/Deficit	(372,055)	339,191	1,668,145	2,262,967	1,289,458	3,845,334
Water						
Revenues	2,084,324	2,089,082	1,833,688	4,074,915	4,006,282	3,647,391
Expenses	(1,764,281)	(1,805,891)	(1,521,205)	(2,866,386)	(3,211,750)	(2,664,448)
Equity/Deficit from Operations	320,043	283,191	312,483	1,208,529	794,532	982,943
Transfers and Fund Balance	(250,000)	(397,269)	(245,833)	(679,167)	(794,538)	(491,666)
Total Equity/Deficit	70,043	(114,078)	66,650	529,362	(6)	491,277
Sewer						
Revenues	2,125,002	2,014,654	2,052,142	4,143,388	4,011,596	4,012,838
Expenses	(2,021,843)	(2,196,375)	(1,722,141)	(3,272,181)	(4,007,950)	(3,022,274)
Equity/Deficit from Operations	103,159	(181,721)	330,001	871,207	3,646	990,564
Transfers and Fund Balance	(50,000)	(69,008)	(133,333)	(225,000)	(138,016)	(266,666)
Total Equity/Deficit	53,159	(250,729)	196,668	646,207	(134,370)	723,898
Gas						
Revenues	1,909,954	1,767,910	2,081,283	3,613,069	3,218,448	3,911,998
Expenses	(2,532,579)	(2,342,915)	(2,411,396)	(4,439,634)	(4,172,640)	(4,429,957)
Equity/Deficit from Operations	(622,625)	(575,005)	(330,113)	(826,565)	(954,192)	(517,959)
Transfers and Fund Balance	-	13,002	-	(20,833)	26,004	-
Total Equity/Deficit	(622,625)	(562,003)	(330,113)	(847,398)	(928,188)	(517,959)
Combined						
Total Revenues	22,676,290	23,022,198	23,801,344	47,451,304	44,079,464	46,285,496
Total Expenses	(23,247,768)	(23,171,945)	(21,820,828)	(43,851,833)	(42,976,826)	(40,984,614)
Total Equity/Deficit from Operations	(571,478)	(149,747)	1,980,516	3,599,471	1,102,638	5,300,882
Total Transfers and Fund Balance	(300,000)	(437,872)	(379,166)	(1,008,333)	(875,744)	(758,332)
Total Equity/Deficit	(871,478)	(587,619)	1,601,350	2,591,138	226,894	4,542,550

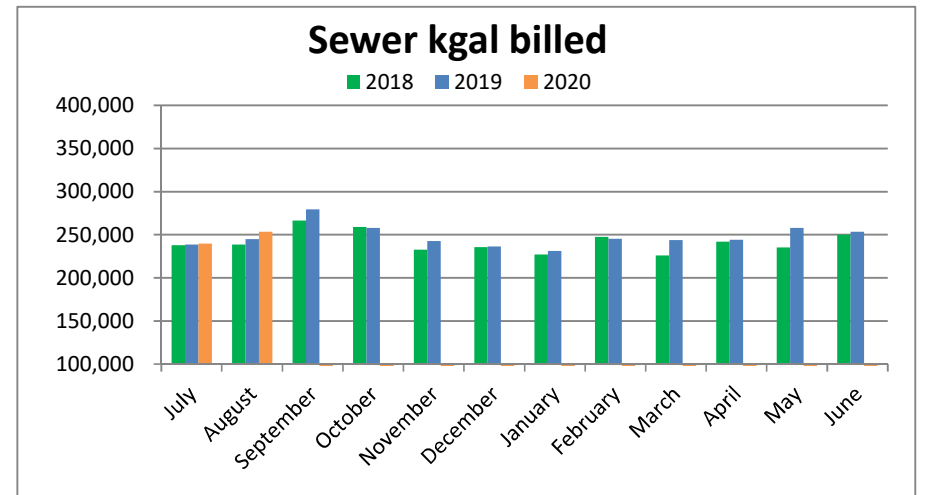
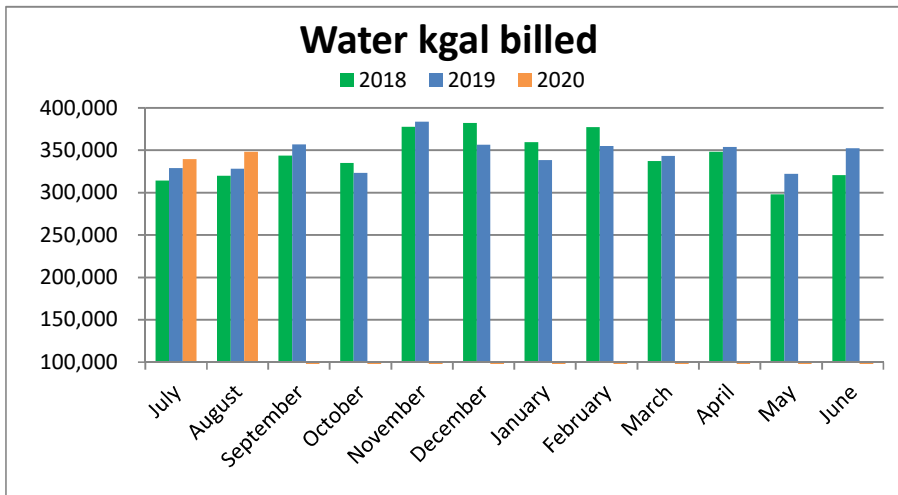
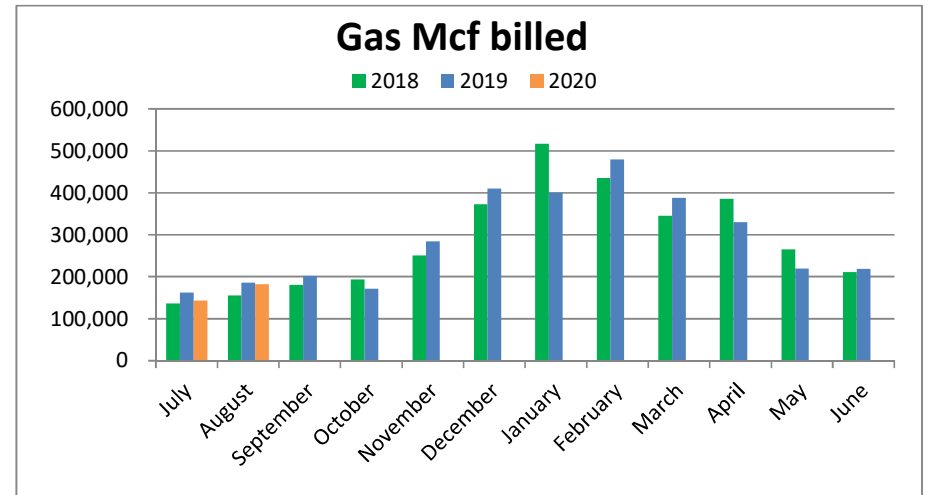
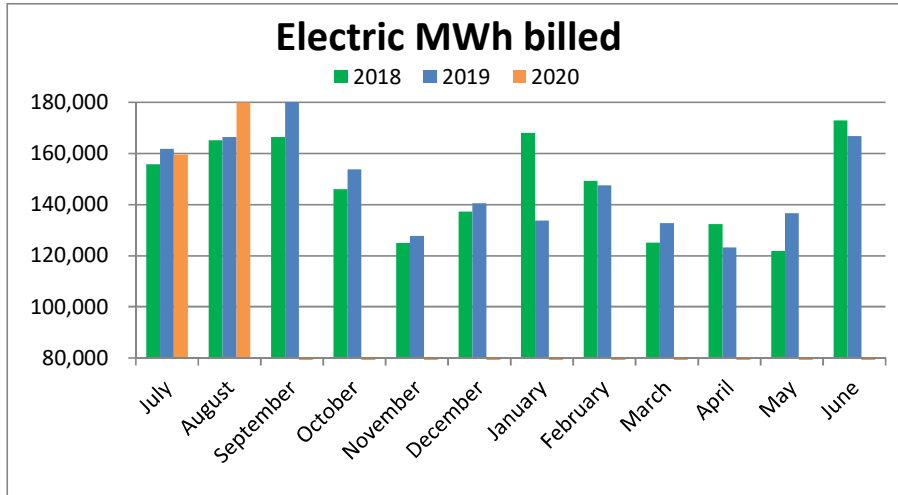
Budgetary Summary
August 31, 2019

	YTD Actual	Encumbrances	Total	Total Budget	Available Budget
Electric Fund	\$33,356,965	\$3,920,247	\$37,277,212	\$177,374,633	\$140,097,421
Water Fund	\$3,545,553	\$1,482,330	5,027,883	\$23,779,721	18,751,838
Sewer Fund	\$3,497,181	\$1,703,778	5,200,959	\$25,560,232	20,359,273
Gas Fund	\$4,460,467	\$17,154,930	21,615,397	\$34,163,362	12,547,965
Total	\$44,860,166	\$24,261,285	\$69,121,451	\$260,877,948	\$191,756,497

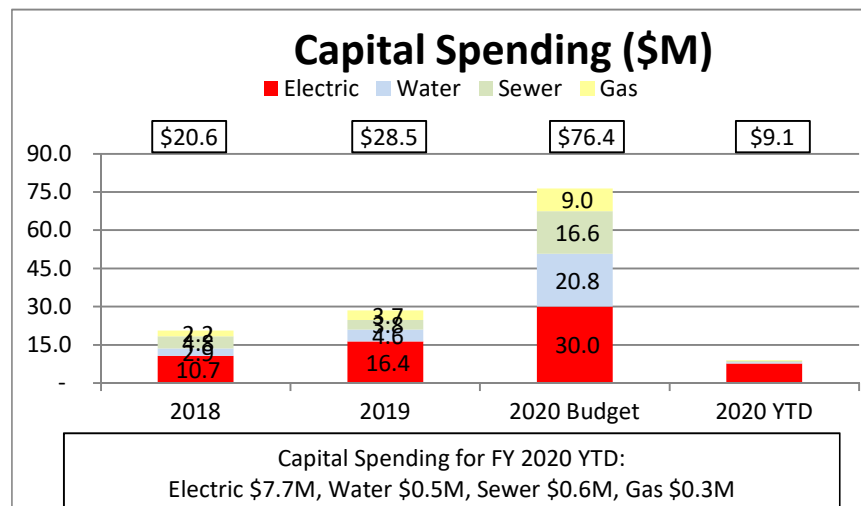
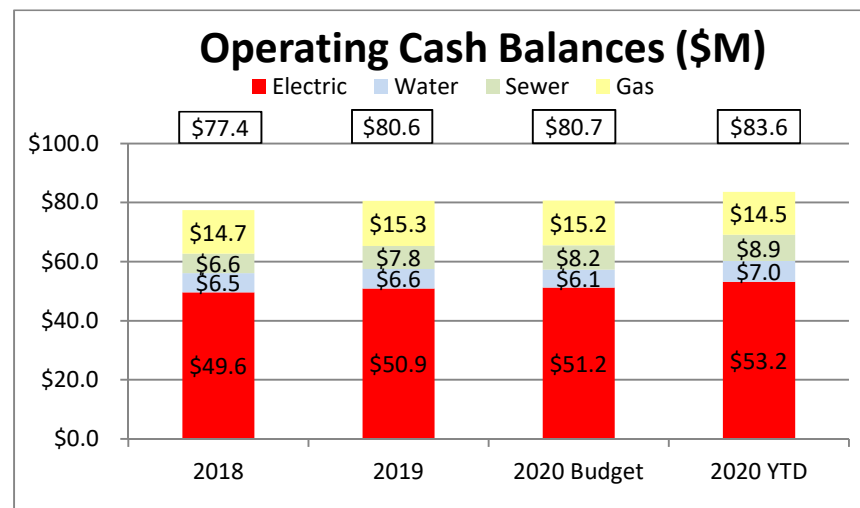
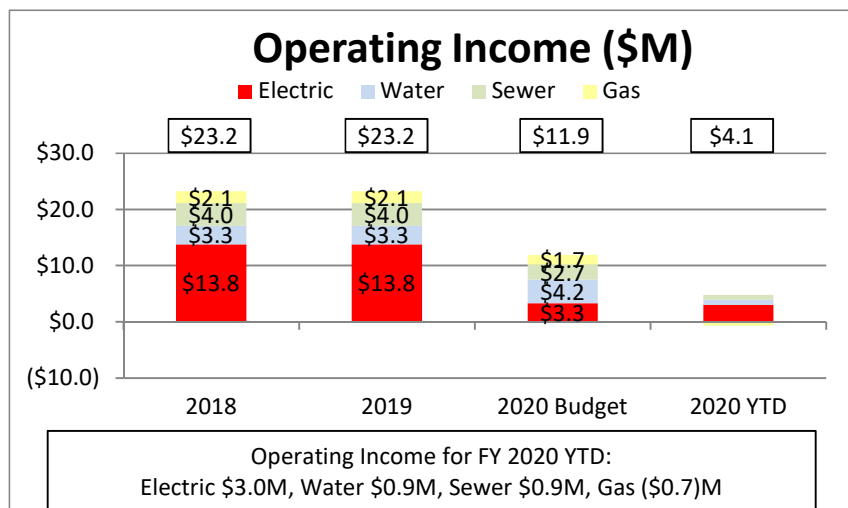
Weather



Customer Demand



Financial Trends



Current Fiscal Year

Greenville Utilities Commission
Revenue and Expenses - Electric Fund
August 31, 2019

	Current Fiscal Year							Prior Fiscal Year				
	Line #	August Actual	August Budget	Variance Favorable (Unfavorable)	YTD Actual	YTD Budget	Variance Favorable (Unfavorable)	Total Original Budget	% of Original Budget	August Actual	YTD Actual	Change Prior YTD to Current YTD
Customer Demand:												
Number of Accounts	1	69,294								68,792		
kWh Purchased	2	181,783,675	169,845,282	(11,938,393)	375,812,658	322,048,373	(53,764,285)	1,770,005,223	21.2%	186,245,947	361,831,528	13,981,130
kWh Billed ¹	3	179,949,700	170,825,089	9,124,611	339,406,799	326,829,501	12,577,298	1,721,333,020	19.7%	166,386,786	328,188,165	11,218,634
Revenue:												
Rates & Charges - Retail	4	\$16,231,368	\$16,804,696	(\$573,328)	\$34,872,231	\$32,151,426	\$2,720,805	\$169,333,894	20.6%	\$17,454,533	\$33,801,098	\$1,071,133
Fees & Charges	5	114,596	130,451	(15,855)	275,831	260,902	14,929	1,565,396	17.6%	146,273	297,939	(22,108)
U. G. & Temp. Ser. Chgs.	6	26,995	29,844	(2,849)	55,191	59,688	(4,497)	358,114	15.4%	45,712	101,239	(46,048)
Miscellaneous	7	43,543	89,728	(46,185)	176,355	179,456	(3,101)	1,076,711	16.4%	116,921	377,462	(201,107)
Interest Income	8	100,720	95,833	4,887	200,536	191,666	8,870	1,150,000	17.4%	70,792	135,531	65,005
FEMA/Insurance Reimbursement	9	39,788	-	39,788	39,788	-	39,788	-	n/a	-	-	39,788
Bond Proceeds	10	-	-	-	-	-	-	105,688	0.0%	-	-	-
Capital Contributions	11	-	-	-	-	-	-	-	n/a	-	-	-
	12	\$16,557,010	\$17,150,552	(\$593,542)	\$35,619,932	\$32,843,138	\$2,776,794	\$173,589,803	20.5%	\$17,834,231	\$34,713,269	\$906,663
Expenditures:												
Operations	13	\$2,814,906	\$2,968,936	\$154,030	\$4,497,215	\$5,373,527	\$876,312	\$30,083,472	14.9%	\$2,046,662	\$3,591,821	\$905,394
Purchased Power	14	12,565,537	12,229,221	(336,316)	26,014,608	23,316,017	(2,698,591)	129,385,800	20.1%	12,549,018	24,437,425	1,577,183
Capital Outlay	15	587,144	640,308	53,164	1,119,779	1,218,344	98,565	7,060,927	15.9%	599,539	1,236,941	(117,162)
Debt Service	16	277,382	277,925	543	554,764	555,850	1,086	3,440,789	16.1%	281,912	563,824	(9,060)
City Turnover	17	337,917	337,917	-	675,834	675,834	-	4,055,000	16.7%	348,716	697,432	(21,598)
Street Light Reimbursement	18	71,179	72,457	1,278	136,432	144,914	8,482	869,481	15.7%	65,239	65,492	70,940
Transfer to OPEB Trust Fund	19	275,000	300,000	25,000	275,000	300,000	25,000	300,000	91.7%	275,000	275,000	-
	20	\$16,929,065	\$16,826,764	(\$102,301)	\$33,273,632	\$31,584,486	(\$1,689,146)	\$175,195,469	19.0%	\$16,166,086	\$30,867,935	\$2,405,697
Equity/Deficit from Operations	21	(\$372,055)	\$323,788	(\$695,843)	\$2,346,300	\$1,258,652	\$1,087,648	(\$1,605,666)		\$1,668,145	\$3,845,334	(\$1,499,034)
Transfers and Fund Balance												
Transfer from Capital Projects	22	\$0	\$98,736	(\$98,736)	\$0	\$197,472	(\$197,472)	\$1,184,830	0.0%	\$0	\$0	\$0
Transfer from Rate Stabilization	23	-	-	-	-	-	-	2,600,000	0.0%	-	-	-
Appropriated Fund Balance	24	-	-	-	-	-	-	-	n/a	-	-	-
Transfer to Capital Projects	25	-	(83,333)	83,333	(83,333)	(166,666)	83,333	(1,000,000)	8.3%	-	-	(83,333)
Transfer to Rate Stabilization	26	-	-	-	-	-	-	-	n/a	-	-	-
Transfer to Designated Reserves	27	-	-	-	-	-	-	-	n/a	-	-	-
	28	\$0	\$15,403	(\$15,403)	(\$83,333)	\$30,806	(\$114,139)	\$2,784,830		\$0	\$0	(\$83,333)
Total Equity/Deficit	29	(\$372,055)	\$339,191	(\$711,246)	\$2,262,967	\$1,289,458	\$973,509	\$1,179,164		\$1,668,145	\$3,845,334	(\$1,582,367)

Note 1: kWh billed does not include volumes delivered in the current month and billed in the next month.

Greenville Utilities Commission
Revenue and Expenses - Water Fund
August 31, 2019

	Current Fiscal Year							Prior Fiscal Year				
	Line #	August	August	Variance	YTD	YTD	Variance	Total	% of	August	YTD	Change
		Actual	Budget	Favorable (Unfavorable)								
Customer Demand:												
Number of Accounts	1	36,814								36,784		
Kgallons Pumped	2	430,997	407,169	(23,828)	876,814	812,830	(63,984)	4,965,490	17.7%	407,452	823,519	53,295
Kgallons Billed - Retail	3	328,612	305,217	23,395	645,653	599,192	46,461	3,511,400	18.4%	305,406	616,731	28,921
Kgallons Billed - Wholesale ¹	4	19,726	17,077	2,649	42,090	34,918	7,172	592,395	7.1%	22,926	40,671	1,419
Kgallons Billed	5	348,338	322,294	26,044	687,742	634,110	53,632	4,103,795	16.8%	328,332	657,402	30,340
Revenue:												
Rates & Charges - Retail	6	\$1,960,641	\$1,854,880	\$105,761	\$3,800,332	\$3,664,440	\$135,892	\$21,159,177	18.0%	\$1,704,504	\$3,379,806	\$420,526
Rates & Charges - Wholesale ¹	7	51,655	39,582	12,073	107,661	80,194	27,467	1,280,336	8.4%	53,571	97,729	9,932
Fees & Charges	8	37,655	36,978	677	96,124	73,956	22,168	443,728	21.7%	35,145	95,761	363
Temporary Service Charges	9	800	1,208	(408)	1,400	2,416	(1,016)	14,500	9.7%	2,000	3,100	(1,700)
Miscellaneous	10	19,346	144,767	(125,421)	40,820	161,942	(121,122)	971,636	4.2%	25,896	48,507	(7,687)
Interest Income	11	14,227	11,667	2,560	28,578	23,334	5,244	140,000	20.4%	12,572	22,488	6,090
FEMA/Insurance Reimbursement	12	-	-	-	-	-	-	-	n/a	-	-	-
Bond Proceeds	13	-	-	-	-	-	-	153,125	0.0%	-	-	-
Capital Contributions	14	-	-	-	-	-	-	-	n/a	-	-	-
	15	\$2,084,324	\$2,089,082	(\$4,758)	\$4,074,915	\$4,006,282	\$68,633	\$24,162,502	16.9%	\$1,833,688	\$3,647,391	\$427,524
Expenditures:												
Operations	16	\$1,463,596	\$1,444,845	(\$18,751)	\$2,379,544	\$2,599,264	\$219,720	\$14,496,346	16.4%	\$1,145,995	\$1,991,208	\$388,336
Capital Outlay	17	74,214	109,412	35,198	108,900	209,218	100,318	1,216,846	8.9%	31,413	60,646	48,254
Debt Service	18	151,471	151,634	163	302,942	303,268	326	1,972,757	15.4%	268,797	537,594	(234,652)
Transfer to OPEB Trust Fund	19	75,000	100,000	25,000	75,000	100,000	25,000	100,000	75.0%	75,000	75,000	-
	20	\$1,764,281	\$1,805,891	\$41,610	\$2,866,386	\$3,211,750	\$345,364	\$17,785,949	16.1%	\$1,521,205	\$2,664,448	\$201,938
Equity/Deficit from Operations	21	\$320,043	\$283,191	\$36,852	\$1,208,529	\$794,532	\$413,997	\$6,376,553		\$312,483	\$982,943	\$225,586
Transfers and Fund Balance												
Transfer from Capital Projects	22	\$0	\$31,898	(\$31,898)	\$0	\$63,796	(\$63,796)	\$382,781	0.0%	\$0	\$0	\$0
Transfer from Rate Stabilization	23	-	-	-	-	-	-	-	n/a	-	-	-
Appropriated Fund Balance	24	-	-	-	-	-	-	-	n/a	-	-	-
Transfer to Capital Projects	25	(250,000)	(429,167)	179,167	(679,167)	(858,334)	179,167	(5,150,000)	13.2%	(245,833)	(491,666)	(187,501)
Transfer to Designated Reserves	26	-	-	-	-	-	-	-	n/a	-	-	-
	27	(\$250,000)	(\$397,269)	\$147,269	(\$679,167)	(\$794,538)	\$115,371	(\$4,767,219)		(\$245,833)	(\$491,666)	(\$187,501)
Total Equity/Deficit	28	\$70,043	(\$114,078)	\$184,121	\$529,362	(\$6)	\$529,368	\$1,609,334		\$66,650	\$491,277	\$38,085

Note 1: Kgallons Billed - Wholesale and Rates and Charges - Wholesale represents sales to the Town of Bethel, the Town of Farmville, Greene County, the Town of Winterville and Stokes Regional Water Corporation.

**Greenville Utilities Commission
Revenue and Expenses - Sewer Fund
August 31, 2019**

	Current Fiscal Year							Prior Fiscal Year				
		August	August	Variance			Variance	Total	% of			Change
	Line #	Actual	Budget	Favorable (Unfavorable)	YTD Actual	YTD Budget	Favorable (Unfavorable)	Original Budget	Original Budget	August Actual	YTD Actual	Prior YTD to Current YTD
Customer Demand:												
Number of Accounts	1	30,473								30,418		
Kgallons Total Flow	2	294,380	334,421	40,041	580,270	631,267	50,997	4,025,734	14.4%	357,500	632,420	(52,150)
Kgallons Billed - Retail	3	245,714	233,689	12,025	481,959	467,369	14,590	2,804,272	17.2%	234,973	467,298	14,661
Kgallons Billed - Wholesale ¹	4	7,881	9,359	(1,478)	11,442	15,569	(4,127)	114,371	10.0%	9,917	16,381	(4,939)
Total Kgallons Billed	5	253,595	243,048	10,547	493,401	482,938	10,463	2,918,643	16.9%	244,890	483,679	9,722
Revenue:												
Rates & Charges - Retail	6	\$1,981,007	\$1,897,682	\$83,325	\$3,896,728	\$3,795,287	\$101,441	\$22,772,175	17.1%	\$1,909,560	\$3,764,096	\$132,632
Rates & Charges - Wholesale ¹	7	44,132	52,414	(8,282)	64,074	87,193	(23,119)	640,477	10.0%	55,534	91,731	(27,657)
Fees & Charges	8	40,690	35,680	5,010	91,181	71,360	19,821	428,159	21.3%	63,989	113,396	(22,215)
Miscellaneous	9	43,732	12,211	31,521	60,450	24,422	36,028	146,518	41.3%	11,759	23,533	36,917
Interest Income	10	15,441	16,667	(1,226)	30,955	33,334	(2,379)	200,000	15.5%	11,300	20,082	10,873
FEMA/Insurance Reimbursement	11	-	-	-	-	-	-	-	n/a	-	-	-
Bond Proceeds	12	-	-	-	-	-	-	101,000	0.0%	-	-	-
Capital Contributions	13	-	-	-	-	-	-	-	n/a	-	-	-
	14	\$2,125,002	\$2,014,654	\$110,348	\$4,143,388	\$4,011,596	\$131,792	\$24,288,329	17.1%	\$2,052,142	\$4,012,838	\$130,550
Expenditures:												
Operations	15	\$1,338,353	\$1,446,217	\$107,864	\$2,103,220	\$2,611,801	\$508,581	\$14,590,050	14.4%	\$1,073,080	\$1,833,240	\$269,980
Capital Outlay	16	151,227	185,170	33,943	172,267	366,173	193,906	2,180,346	7.9%	66,095	98,102	74,165
Debt Service	17	457,263	464,988	7,725	921,694	929,976	8,282	5,680,853	16.2%	507,966	1,015,932	(94,238)
Transfer to OPEB Trust Fund	18	75,000	100,000	25,000	75,000	100,000	25,000	100,000	75.0%	75,000	75,000	-
	19	\$2,021,843	\$2,196,375	\$174,532	\$3,272,181	\$4,007,950	\$735,769	\$22,551,249	14.5%	\$1,722,141	\$3,022,274	\$249,907
Equity/Deficit from Operations	20	\$103,159	(\$181,721)	\$284,880	\$871,207	\$3,646	\$867,561	\$1,737,080		\$330,001	\$990,564	(\$119,357)
Transfers and Fund Balance												
Transfer from Capital Projects	21	\$0	\$105,992	(\$105,992)	\$0	\$211,984	(\$211,984)	\$1,271,903	0.0%	\$0	\$0	\$0
Transfer from Rate Stabilization	22	-	-	-	-	-	-	-	n/a	-	-	-
Appropriated Fund Balance	23	-	-	-	-	-	-	-	n/a	-	-	-
Transfer to Capital Projects	24	(50,000)	(175,000)	125,000	(225,000)	(350,000)	125,000	(2,100,000)	10.7%	(133,333)	(266,666)	41,666
Transfer to Designated Reserves	25	-	-	-	-	-	-	-	n/a	-	-	-
	26	(\$50,000)	(\$69,008)	\$19,008	(\$225,000)	(\$138,016)	(\$86,984)	(\$828,097)		(\$133,333)	(\$266,666)	\$41,666</

Note 1: Kgallons Billed - Wholesale and Rates and Charges - Wholesale represents sales to the Town of Bethel and the Town of Grimesland.

	Current Fiscal Year							Prior Fiscal Year				
	Line #	August Actual	August Budget	Variance Favorable (Unfavorable)	YTD Actual	YTD Budget	Variance Favorable (Unfavorable)	Total Original Budget	% of Original Budget	August Actual	YTD Actual	Change Prior YTD to Current YTD
Customer Demand:												
Number of Accounts	1	23,326								23,262		
CCFs Purchased	2	2,045,313	1,704,159	(341,154)	3,953,982	2,931,154	(1,022,828)	34,083,189	11.6%	1,972,566	3,890,119	63,863
CCFs Delivered to GUC	3	1,933,047	1,654,397	(278,650)	3,718,471	2,845,564	(872,907)	33,087,960	11.2%	1,884,762	3,715,230	3,241
CCFs Billed - Firm	4	600,171	867,365	(267,194)	1,147,662	1,572,100	(424,438)	16,835,500	6.8%	588,986	1,125,776	21,886
CCFs Billed - Interruptible	5	1,223,537	790,420	433,117	2,110,481	1,432,636	677,845	15,342,000	13.8%	1,268,319	2,352,107	(241,626)
CCFs Billed - Total	6	1,823,708	1,657,785	165,923	3,258,143	3,004,736	253,407	32,177,500	10.1%	1,857,305	3,477,883	(219,740)
Revenue:												
Rates & Charges - Retail	7	\$1,850,595	\$1,718,848	\$131,747	\$3,494,736	\$3,120,324	\$374,412	\$33,168,600	10.5%	\$2,027,986	\$3,818,111	(\$323,375)
Fees & Charges	8	11,855	11,968	(113)	21,190	23,936	(2,746)	143,607	14.8%	17,898	29,023	(7,833)
Miscellaneous	9	17,345	12,094	5,251	36,808	24,188	12,620	145,130	25.4%	14,458	23,313	13,495
Interest Income	10	30,159	25,000	5,159	60,335	50,000	10,335	300,000	20.1%	20,941	41,551	18,784
FEMA/Insurance Reimbursement	11	-	-	-	-	-	-	-	n/a	-	-	-
Bond Proceeds	12	-	-	-	-	-	-	-	n/a	-	-	-
Capital Contributions	13	-	-	-	-	-	-	-	n/a	-	-	-
	14	\$1,909,954	\$1,767,910	\$142,044	\$3,613,069	\$3,218,448	\$394,621	\$33,757,337	10.7%	\$2,081,283	\$3,911,998	(\$298,929)
Expenditures:												
Operations	15	\$1,200,938	\$1,088,115	(\$112,823)	\$1,876,266	\$1,935,826	\$59,560	\$10,713,973	17.5%	\$892,411	\$1,598,739	\$277,527
Purchased Gas	16	949,349	912,325	(37,024)	1,875,817	1,571,901	(303,916)	19,055,300	9.8%	1,024,157	2,037,317	(161,500)
Capital Outlay	17	60,869	95,922	35,053	119,705	171,807	52,102	950,682	12.6%	166,080	211,405	(91,700)
Debt Service	18	103,516	103,646	130	207,032	207,292	260	1,243,761	16.6%	110,077	220,154	(13,122)
City Turnover	19	142,907	142,907	-	285,814	285,814	-	1,714,888	16.7%	143,671	287,342	(1,528)
Transfer to OPEB Trust Fund	20	75,000	-	(75,000)	75,000	-	(75,000)	-	n/a	75,000	75,000	-
	21	\$2,532,579	\$2,342,915	(\$189,664)	\$4,439,634	\$4,172,640	(\$266,994)	\$33,678,604	13.2%	\$2,411,396	\$4,429,957	\$9,677
Equity/Deficit from Operations	22	(\$622,625)	(\$575,005)	(\$47,620)	(\$826,565)	(\$954,192)	\$127,627	\$78,733		(\$330,113)	(\$517,959)	(\$308,606)
Transfers and Fund Balance												
Transfer from Capital Projects	23	\$0	\$33,835	(\$33,835)	\$0	\$67,670	(\$67,670)	\$406,025	0.0%	\$0	\$0	\$0
Transfer from Rate Stabilization	24	-	-	-	-	-	-	-	n/a	-	-	-
Appropriated Fund Balance	25	-	-	-	-	-	-	-	n/a	-	-	-
Transfer to Capital Projects	26	-	-	-	-	-	-	-	n/a	-	-	-
Transfer to Rate Stabilization	27	-	(20,833)	20,833	(20,833)	(41,666)	20,833	(250,000)	8.3%	-	-	(20,833)
Transfer to Designated Reserves	28	-	-	-	-	-	-	-	n/a	-	-	-
	29	\$0	\$13,002	(\$13,002)	(\$20,833)	\$26,004	(\$46,837)	\$156,025		\$0	\$0	(\$20,833)
Total Equity/Deficit	30	(\$622,625)	(\$562,003)	(\$60,622)	(\$847,398)	(\$928,188)	\$80,790	\$234,758		(\$330,113)	(\$517,959)	(\$329,439)

Greenville Utilities Commission
Statement of Revenues, Expenses and Changes in Fund Net Position
August 31, 2019
Preliminary

	Line #	Major Funds				Total
		Electric Fund	Water Fund	Sewer Fund	Gas Fund	
Operating revenues:						
Charges for services	1	\$ 16,372,959	\$ 2,050,751	\$ 2,065,830	\$ 1,862,450	\$ 22,351,990
Other operating revenues	2	46,717	8,666	12,515	5,639	73,537
Total operating revenues	3	16,419,676	2,059,417	2,078,345	1,868,089	22,425,527
Operating expenses:						
Administration and general	4	1,481,401	516,449	511,503	509,895	3,019,248
Operations and maintenance	5	1,608,505	1,022,148	901,851	766,042	4,298,546
Purchased power and gas	6	12,565,537	-	-	949,349	13,514,886
Depreciation	7	771,904	323,214	499,639	179,950	1,774,707
Total operating expenses	8	16,427,347	1,861,811	1,912,993	2,405,236	22,607,387
Operating income (loss)	9	(7,671)	197,606	165,352	(537,147)	(181,860)
Non-operating revenues (expenses):						
Interest income	10	219,723	39,707	52,256	63,828	375,514
Debt interest expense and service charges	11	(164,874)	(68,361)	(133,557)	(55,287)	(422,079)
Other nonoperating revenues	12	36,614	47,845	70,862	11,705	167,026
Other nonoperating expenses	13	-	-	-	-	-
Net nonoperating revenues	14	91,463	19,191	(10,439)	20,246	120,461
Income before contributions and transfers	15	83,792	216,797	154,913	(516,901)	(61,399)
Contributions and transfers:						
Capital contributions	16	-	-	-	-	-
Transfer to City of Greenville, General Fund	17	(337,917)	-	-	(142,907)	(480,824)
Transfer to City of Greenville, street light reimbursement	18	(71,179)	-	-	-	(71,179)
Total contributions and transfers	19	(409,096)	-	-	(142,907)	(552,003)
Changes in net position	20	(325,304)	216,797	154,913	(659,808)	(613,402)
Net position, beginning of month	21	156,135,689	77,947,108	114,630,039	50,407,767	399,120,603
Net position, end of month	22	\$ 155,810,385	\$ 78,163,905	\$ 114,784,952	\$ 49,747,959	\$ 398,507,201

Greenville Utilities Commission
Statement of Revenues, Expenses and Changes in Fund Net Position
Fiscal Year to Date
August 31, 2019
Preliminary

		Major Funds					
		Electric	Water	Sewer	Gas		
	Line #	Fund	Fund	Fund	Fund	Total	Last Year
Operating revenues:							
Charges for services	1	\$ 35,203,253	\$ 4,005,517	\$ 4,051,984	\$ 3,515,926	\$ 46,776,680	\$ 45,593,029
Other operating revenues	2	103,629	21,498	26,747	18,079	169,953	289,677
Total operating revenues	3	35,306,882	4,027,015	4,078,731	3,534,005	46,946,633	45,882,706
Operating expenses:							
Administration and general	4	2,281,915	774,933	764,564	756,594	4,578,006	3,913,032
Operations and maintenance	5	2,490,301	1,679,613	1,413,655	1,194,673	6,778,242	5,601,973
Purchased power and gas	6	26,014,608	-	-	1,875,817	27,890,425	26,474,743
Depreciation	7	1,538,951	653,542	998,409	370,225	3,561,127	3,431,059
Total operating expenses	8	32,325,775	3,108,088	3,176,628	4,197,309	42,807,800	39,420,807
Operating income (Loss)	9	2,981,107	918,927	902,103	(663,304)	4,138,833	6,461,899
Non-operating revenues (expenses):							
Interest income	10	384,520	61,838	89,415	115,698	651,471	353,547
Debt interest expense and service charges	11	(329,748)	(136,722)	(267,114)	(110,574)	(844,158)	(673,558)
Other nonoperating revenues	12	112,514	122,644	143,428	18,729	397,315	339,324
Other nonoperating expenses	13	-	-	-	-	-	-
Net nonoperating revenues	14	167,286	47,760	(34,271)	23,853	204,628	19,313
Income before contributions and transfers	15	3,148,393	966,687	867,832	(639,451)	4,343,461	6,481,212
Contributions and transfers:							
Capital contributions	16	-	-	-	-	-	-
Transfer to City of Greenville, General Fund	17	(675,834)	-	-	(285,814)	(961,648)	(984,774)
Transfer to City of Greenville, street light reimbursement	18	(136,432)	-	-	-	(136,432)	(65,492)
Total contributions and transfers	19	(812,266)	-	-	(285,814)	(1,098,080)	(1,050,266)
Changes in net position	20	2,336,127	966,687	867,832	(925,265)	3,245,381	5,430,946
Beginning net position	21	153,474,258	77,197,218	113,917,120	50,673,224	395,261,820	375,096,028
Ending net position	22	\$ 155,810,385	\$ 78,163,905	\$ 114,784,952	\$ 49,747,959	\$ 398,507,201	\$ 380,526,974

¹ Other, nonoperating revenues include miscellaneous non-operating revenue and capital projects revenue.

Greenville Utilities Commission
Statement of Cash Flows
Fiscal Year to Date
August 31, 2019
Preliminary

	Line #	Electric	Water	Sewer	Gas	Total	Last Year
Sources:							
Operating income	1	\$ 2,981,107	\$ 918,927	\$ 902,103	\$ (663,304)	\$ 4,138,833	\$ 6,461,899
Depreciation	2	1,538,951	653,542	998,409	370,225	3,561,127	3,431,059
Changes in working capital	3	1,036,833	(120,864)	98,240	591,514	1,605,723	(2,053,732)
Interest earned	4	200,535	28,578	30,955	60,335	320,403	219,651
FEMA/insurance reimbursement	5	39,788	-	-	-	39,788	-
Transfer from rate stabilization	6	-	-	-	-	-	-
Transfer from capital projects	7	-	-	-	-	-	-
Proceeds from debt issuance	8	-	-	-	-	-	-
Subtotal	9	5,797,214	1,480,183	2,029,707	358,770	9,665,874	8,058,877
Uses:							
City Turnover	10	(675,834)	-	-	(285,814)	(961,648)	(984,774)
City Street Light reimbursement	11	(136,432)	-	-	-	(136,432)	(65,492)
Debt service payments	12	(425,321)	(184,819)	(244,139)	(352,542)	(1,206,821)	(1,190,940)
Debt Issuance costs	13	-	-	-	-	-	-
Other nonoperating expenses	14	-	-	-	-	-	-
Capital Outlay expenditures	15	(1,119,779)	(108,900)	(172,267)	(119,705)	(1,520,651)	(1,607,095)
Transfers to Rate Stabilization Fund	16	-	-	-	(20,833)	(20,833)	-
Transfers to Capital Projects Fund	17	(83,333)	(679,167)	(225,000)	-	(987,500)	(758,332)
Subtotal	18	(2,440,699)	(972,886)	(641,406)	(778,894)	(4,833,885)	(4,606,633)
Net increase (decrease) - operating cash	19	3,356,515	507,297	1,388,301	(420,124)	4,831,989	3,452,244
Rate stabilization funds							
Transfers from Operating Fund	20	-	-	-	20,833	20,833	-
Interest earnings	21	94,374	-	-	4,951	99,325	65,566
Transfers to Operating Fund	22	-	-	-	-	-	-
Net increase (decrease) - rate stabilization fund	23	94,374	-	-	25,784	120,158	65,566
Capital projects funds							
Proceeds from debt issuance	24	-	-	-	-	-	-
Contributions/grants	25	-	-	-	-	-	-
Interest earnings	26	89,610	32,025	57,006	50,412	229,053	68,267
Transfers from Operating Fund	27	83,333	679,167	225,000	-	987,500	758,332
Changes in working capital	28	(111,884)	-	(10,391)	(1)	(122,276)	23,813
Capital Projects expenditures	29	(3,607,120)	(990,989)	(592,584)	(416,856)	(5,607,549)	(1,034,271)
Net increase (decrease) - capital projects	30	(3,546,061)	(279,797)	(320,969)	(366,445)	(4,513,272)	(183,859)
Capital reserves funds							
System development fees	31	-	108,503	123,140	-	231,643	139,600
Interest earnings	32	-	1,235	1,455	-	2,690	62
Transfers to Capital Projects Fund	33	-	-	-	-	-	-
Transfers to Operating Fund	34	-	-	-	-	-	-
Net increase (decrease) - capital reserves	35	-	109,738	124,595	-	234,333	139,662
Net increase (decrease) in cash and investments	36	(95,172)	337,238	1,191,927	(760,785)	673,208	3,473,613
Cash and investments and revenue bond proceeds, beginning	37	\$ 110,851,788	\$ 21,554,844	\$ 28,596,863	\$ 32,123,964	\$ 193,127,459	\$ 82,053,279
Cash and investments and revenue bond proceeds, ending	38	\$ 110,756,616	\$ 21,892,082	\$ 29,788,790	\$ 31,363,179	\$ 193,800,667	\$ 85,526,892

Greenville Utilities Commission
Statement of Net Position
August 31, 2019
Preliminary

	Line #	Electric Fund	Water Fund	Sewer Fund	Gas Fund	Total
Assets						
Current assets:						
Cash and investments - Operating Fund	1	53,226,684	6,965,761	8,932,962	14,517,951	83,643,358
Cash and investments - Rate Stabilization Fund	2	24,001,087	-	-	1,605,278	25,606,365
Cash and investments - Capital Project Fund	3	7,536,086	3,911,568	10,834,394	9,579,831	31,861,879
Accounts receivable, net	4	21,307,625	2,826,215	2,749,241	2,037,310	28,920,391
Due from other governments	5	1,766,732	409,174	505,807	315,779	2,997,492
Inventories	6	6,781,167	992,739	235,911	698,172	8,707,989
Prepaid expenses and deposits	7	227,376	113,828	110,852	78,167	530,223
Total current assets	8	<u>114,846,757</u>	<u>15,219,285</u>	<u>23,369,167</u>	<u>28,832,488</u>	<u>182,267,697</u>
Non-current assets:						
Restricted assets:						
Restricted cash and cash equivalents:						
Bond funds	9	25,992,758	8,648,126	7,342,198	5,660,120	47,643,202
Capacity fees	10	-	1,920,812	2,158,902	-	4,079,714
System development fees	11	-	445,814	520,335	-	966,149
Total restricted cash and cash equivalents	12	<u>25,992,758</u>	<u>11,014,752</u>	<u>10,021,435</u>	<u>5,660,120</u>	<u>52,689,065</u>
Total restricted assets	13	<u>25,992,758</u>	<u>11,014,752</u>	<u>10,021,435</u>	<u>5,660,120</u>	<u>52,689,065</u>
Notes receivable	14	-	260,842	-	-	260,842
Capital assets:						
Land, easements and construction in progress	15	20,622,793	7,329,792	11,950,497	3,574,867	43,477,949
Other capital assets, net of depreciation	16	<u>101,981,224</u>	<u>83,042,864</u>	<u>136,370,492</u>	<u>42,085,409</u>	<u>363,479,989</u>
Total capital assets	17	<u>122,604,017</u>	<u>90,372,656</u>	<u>148,320,989</u>	<u>45,660,276</u>	<u>406,957,938</u>
Total non-current assets	18	<u>148,596,775</u>	<u>101,648,250</u>	<u>158,342,424</u>	<u>51,320,396</u>	<u>459,907,845</u>
Total assets	19	<u>263,443,532</u>	<u>116,867,535</u>	<u>181,711,591</u>	<u>80,152,884</u>	<u>642,175,542</u>
Deferred Outflows of Resources						
Pension deferrals	20	3,618,150	1,941,446	1,853,199	1,411,961	8,824,756
OPEB deferrals	21	183,371	98,394	93,922	71,559	447,246
Unamortized bond refunding charges	22	<u>423,857</u>	<u>556,516</u>	<u>538,594</u>	<u>93,152</u>	<u>1,612,119</u>
Total deferred outflows of resources	23	<u>4,225,378</u>	<u>2,596,356</u>	<u>2,485,715</u>	<u>1,576,672</u>	<u>10,884,121</u>
Liabilities						
Current liabilities:						
Accounts payable and accrued expenses	24	19,785,422	661,608	691,263	1,451,662	22,589,955
Customer deposits	25	3,378,074	780,664	1,480	460,569	4,620,787
Accrued interest payable	26	712,090	303,938	567,067	242,382	1,825,477
Unearned revenue ²	27	-	71,450	120,960	-	192,410
Current portion of compensated absences	28	792,361	393,117	362,240	333,033	1,880,751
Current maturities of long-term debt	29	<u>940,552</u>	<u>819,354</u>	<u>3,699,461</u>	<u>239,281</u>	<u>5,698,648</u>
Total current liabilities	30	<u>25,608,499</u>	<u>3,030,131</u>	<u>5,442,471</u>	<u>2,726,927</u>	<u>36,808,028</u>
Non-current liabilities						
Compensated absences	31	172,100	105,828	81,183	118,394	477,505
Long-term debt, excluding current portion	32	64,453,271	26,560,554	52,812,657	20,697,386	164,523,868
Net OPEB liability	33	14,617,717	7,843,653	7,487,123	5,704,475	35,652,968
Net pension liability	34	<u>4,498,067</u>	<u>2,413,597</u>	<u>2,303,888</u>	<u>1,755,343</u>	<u>10,970,895</u>
Total non current liabilities	35	<u>83,741,155</u>	<u>36,923,632</u>	<u>62,684,851</u>	<u>28,275,598</u>	<u>211,625,236</u>
Total liabilities	36	<u>109,349,654</u>	<u>39,953,763</u>	<u>68,127,322</u>	<u>31,002,525</u>	<u>248,433,264</u>
Deferred Inflows of Resources						
Pension deferrals	37	23,285	12,494	11,927	9,087	56,793
OPEB deferrals	38	<u>2,485,586</u>	<u>1,333,729</u>	<u>1,273,105</u>	<u>969,985</u>	<u>6,062,405</u>
Total deferred inflows of resources	39	<u>2,508,871</u>	<u>1,346,223</u>	<u>1,285,032</u>	<u>979,072</u>	<u>6,119,198</u>
Net Position						
Net investment in capital assets	40	83,626,809	72,197,390	99,689,663	30,476,881	285,990,743
Unrestricted	41	<u>72,183,576</u>	<u>5,966,515</u>	<u>15,095,289</u>	<u>19,271,078</u>	<u>112,516,458</u>
Total net position	42	<u>\$ 155,810,385</u>	<u>\$ 78,163,905</u>	<u>\$ 114,784,952</u>	<u>\$ 49,747,959</u>	<u>\$ 398,507,201</u>

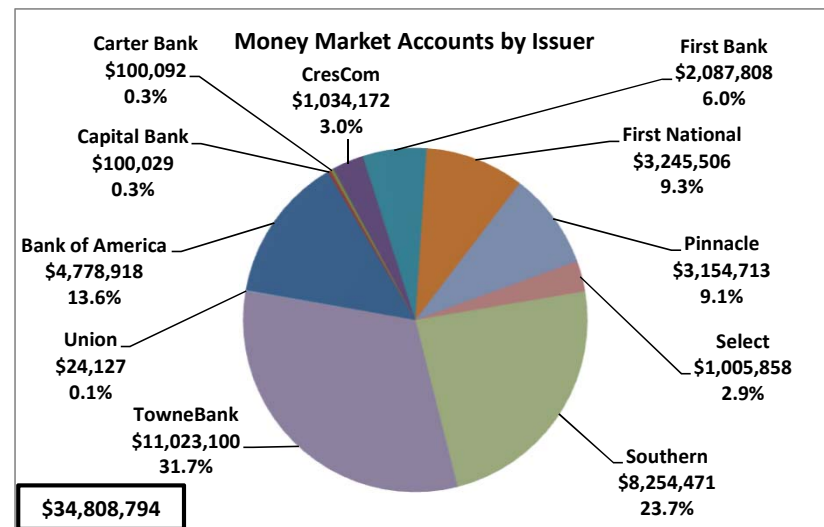
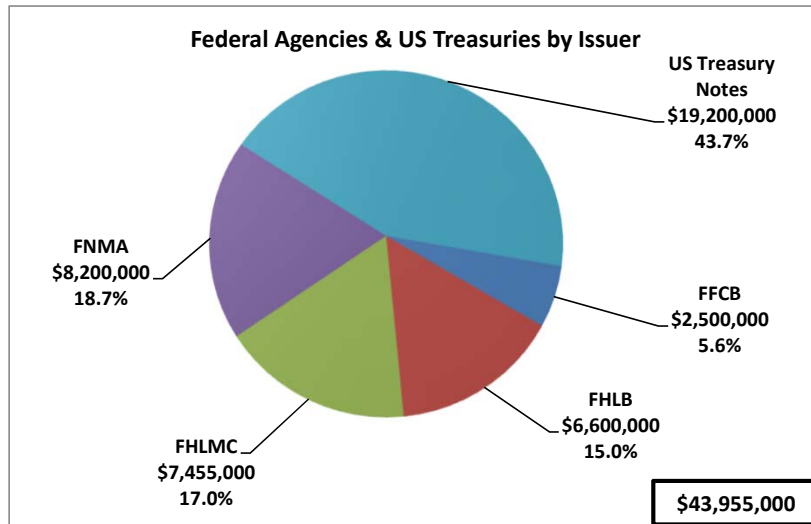
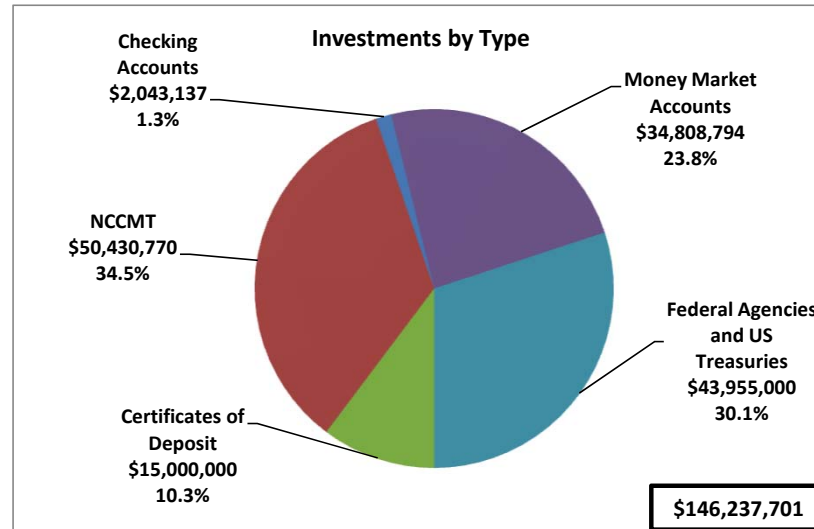
¹ Negative cash balances in the Capital Projects funds reflect reimbursements due from revenue bonds, SRF loans and grants.

² Unearned revenue includes prepaid street light installations and prepaid water and sewer tap fees.

Capital Projects Summary Report
August 31, 2019

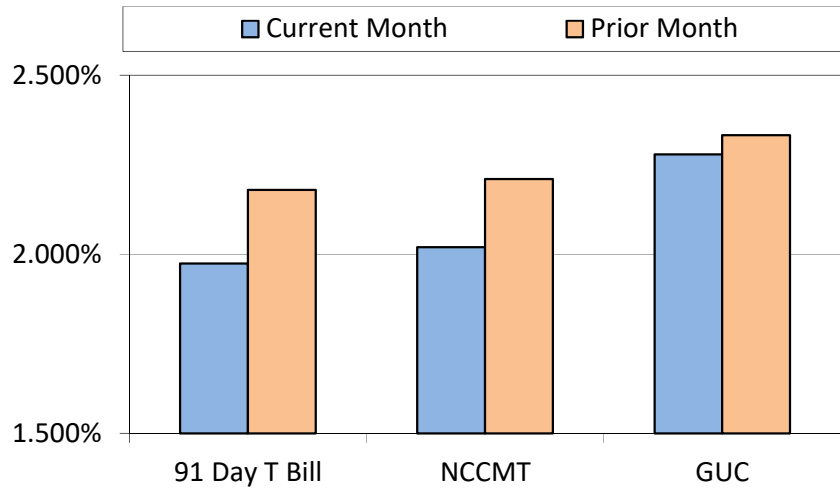
Project #	Project Name	Original Budget	Board Approval	Current Approved Budget	Current Month Expenditures	Year To Date Expenditures	Project To Date Expenditures	% of Budget Expended	Encumbrances	Available Budget	Estimated Completion Date
FCP-100	Downtown Office Efficiency and Enhancement	1,750,000	6/11/2015	4,075,000	-	33,751	1,135,455	27.9%	2,651,246	288,299	1/31/2020
FCP10072	New Operations Center Phase 2	4,000,000	6/9/2016	53,300,000	1,484,676	2,722,770	10,653,128	20.0%	37,474,744	5,172,128	12/31/2020
Total Shared Capital Projects		\$ 5,750,000		\$ 57,375,000	\$ 1,484,676	\$ 2,756,521	\$ 11,788,583	20.5%	\$ 40,125,990	\$ 5,460,427	
ECP-133	Sugg Parkway Transmission Line	1,700,000	5/17/2011	1,700,000	-	-	-	0.0%	-	1,700,000	12/31/2020
ECP-134	Sugg Parkway Substation	3,400,000	5/17/2011	3,400,000	-	-	15,049	0.4%	6,927	3,378,024	12/31/2020
ECP-144	10th Street Connector Project	1,535,000	12/19/2013	1,535,000	-	-	1,134,006	73.9%	-	400,994	TBD by NCDOT
ECP10168	POD #3 to Simpson Substation 115 kV Transmission Loop	300,000	6/9/2016	300,000	-	-	124,272	41.4%	-	175,728	6/30/2022
ECP10171	Greenville 115kV Transmission Circuit #18 Flood Mitigation	600,340	12/21/2017	1,500,000	-	-	19,100	1.3%	1,413,846	67,054	2/28/2020
ECP10172	Greenville 230 kV West Substation Flood Mitigation	3,355,000	12/21/2017	3,355,000	189,643	1,669,512	2,497,771	74.4%	9,702	847,527	12/31/2019
ECP10174	Electric System Expansion	2,500,000	6/8/2017	2,500,000	-	-	-	0.0%	-	2,500,000	6/30/2020
ECP10187	Vidant Peaking Generators	6,000,000	6/14/2018	6,000,000	415,170	433,973	583,546	9.7%	5,179,250	237,204	6/30/2020
ECP10208	Battery Storage Pilot Program 1MW	1,600,000	6/13/2019	1,600,000	-	-	-	0.0%	-	1,600,000	6/30/2020
Total Electric Capital Projects		\$ 20,990,340		\$ 21,890,000	\$ 604,813	\$ 2,103,485	\$ 4,373,744	20.0%	\$ 6,609,725	\$ 10,906,531	
WCP-117	WTP Upgrade Phase I	1,900,000	6/12/2014	47,500,000	129,093	498,630	3,780,100	8.0%	1,315,502	42,404,398	12/31/2022
WCP-121	10th Street Connector Project	892,500	10/16/2014	1,896,000	-	-	5,875	0.3%	851,079	1,039,046	TBD by NCDOT
WCP-123	COG Town Creek Culvert Improvement	80,000	3/19/2015	1,260,000	-	-	150	0.0%	-	1,259,850	12/31/2020
WCP-124	Residual Lagoon Improvements	1,250,000	6/11/2015	1,250,000	-	75,504	75,504	6.0%	402,366	772,130	6/30/2020
WCP10030	Water Distribution System Improvements	500,000	6/14/2018	500,000	-	-	-	0.0%	-	500,000	12/31/2022
WCP10032	Water Main Rehabilitation Program Phase II	1,000,000	6/13/2019	1,000,000	-	-	-	0.0%	-	1,000,000	12/31/2020
Total Water Capital Projects		\$ 5,622,500		\$ 53,406,000	\$ 129,093	\$ 574,134	\$ 3,861,629	7.2%	\$ 2,568,947	\$ 46,975,424	
SCP-118	Southside Pump Station Upgrade	3,450,000	6/13/2013	6,600,000	-	-	6,011,136	91.1%	5,792	583,072	8/30/2019
SCP-123	COG Town Creek Culvert Improvement	80,000	3/19/2015	2,950,000	-	-	50,593	1.7%	-	2,899,407	12/31/2020
SCP10217	10th Street Connector Project	306,000	6/9/2016	306,000	-	-	-	0.0%	-	306,000	TBD by NCDOT
SCP10219	Candlewick Area Sanitary District Sewer Project	700,000	7/21/2016	800,000	-	-	669,026	83.6%	45,000	85,974	10/31/2019
SCP10221	Southeast Sewer Service Area Project	2,500,000	6/8/2017	3,000,000	-	-	-	0.0%	-	3,000,000	12/31/2020
SCP10222	Sewer Outfall Rehabilitation Phase 4	2,480,000	6/8/2017	2,480,000	5,993	5,993	122,784	5.0%	120,216	2,237,000	12/30/2019
SCP10223	Regional Pump Station Upgrades	1,800,000	6/8/2017	1,800,000	11,046	169,736	552,317	30.7%	385,912	861,771	10/31/2020
SCP10229	Greene Street Pump Station and Force Main	1,100,000	6/14/2018	1,100,000	-	-	-	0.0%	-	1,100,000	12/31/2020
SCP10230	Forlines Pump Station Expansion	250,000	6/14/2018	250,000	-	-	-	0.0%	-	250,000	7/31/2021
SCP10233	WWTP Headworks Improvements	2,500,000	6/13/2019	2,500,000	-	-	-	0.0%	-	2,500,000	6/30/2020
SCP10234	Harris Mill Run Outfall	500,000	6/13/2019	500,000	-	-	-	0.0%	-	500,000	12/31/2021
SCP10235	Duplex Pump Station Improvements	500,000	6/13/2019	500,000	-	-	-	0.0%	-	500,000	6/30/2022
SCP10236	Green Mill Run Tributary - 18-21 inch section	1,800,000	6/13/2019	1,800,000	-	-	-	0.0%	-	1,800,000	3/1/2021
Total Sewer Capital Projects		\$ 17,966,000		\$ 24,586,000	\$ 17,039	\$ 175,729	\$ 7,405,856	30.1%	\$ 556,920	\$ 16,623,224	
GCP-92	LNG Liquefaction Additions	1,000,000	6/11/2015	1,000,000	-	-	28,428	2.8%	-	971,572	On Hold
GCP10099	High-Pressure Multiple Gas Facilities Relocation	9,500,000	6/8/2017	9,500,000	-	-	2,800	0.0%	1,350	9,495,850	6/30/2023
GCP10101	Firetower Road Widening	1,300,000	6/8/2017	1,300,000	-	-	-	0.0%	-	1,300,000	12/31/2022
GCP10104	Memorial Drive Bridge Replacement	1,500,000	6/14/2018	1,500,000	-	-	30	0.0%	26,000	1,473,970	TBD by NCDOT
GCP10108	Allen Road Widening (NCDOT U-5875)	1,000,000	6/13/2019	1,000,000	-	-	-	0.0%	-	1,000,000	12/31/2023
GCP10109	Integrity Management Replacement Project	1,750,000	6/13/2019	1,750,000	-	-	-	0.0%	-	1,750,000	6/30/2022
Total Gas Capital Projects		\$ 16,050,000		\$ 16,050,000	\$ -	\$ -	\$ 31,258	0.2%	\$ 27,350	\$ 15,991,392	
Grand Total Capital Projects		\$ 66,378,840		\$ 173,307,000	\$ 2,235,621	\$ 5,609,869	\$ 27,461,070	15.8%	\$ 49,888,932	\$ 95,956,998	

Investment Portfolio Diversification August 31, 2019

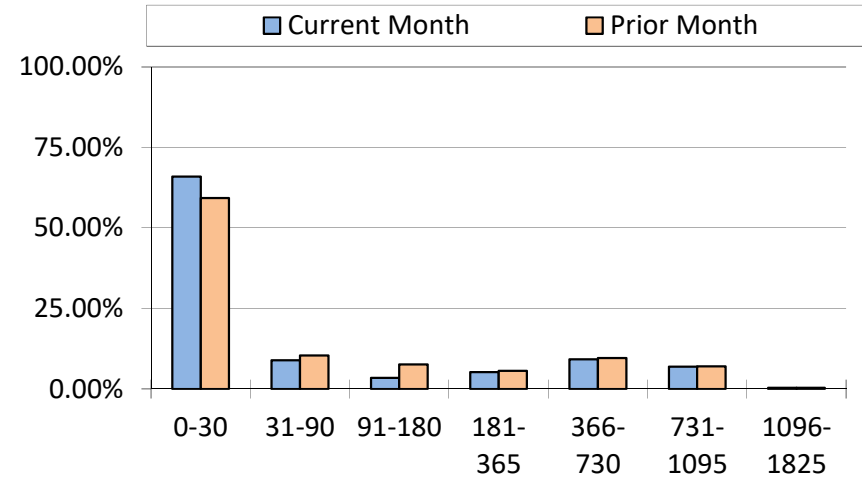


Cash and Investment Report
August 31, 2019

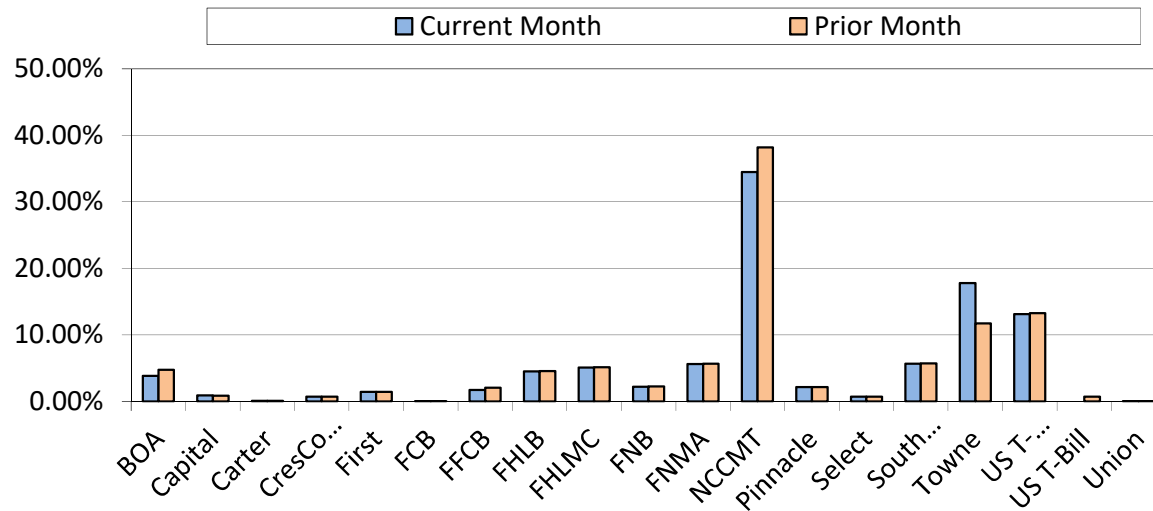
Yield Comparison



**Days to Maturity
Percent of Portfolio**



Portfolio by Issuer



**GUC Investments
Summary by Issuer
August 31, 2019**

Issuer	Number of Investments	Par Value	Market Value	% of Portfolio	Average YTM 365	Average Days to Maturity
Bank of America	2	5,623,968.46	5,623,968.46	3.84	1.275	1
Capital Bank	2	1,298,015.47	1,298,015.47	0.89	0.116	1
Carter Bank	1	100,091.67	100,091.67	0.07	2.090	1
CresCom Bank	1	1,034,171.56	1,034,171.56	0.71	1.450	1
First Bank	1	2,087,807.88	2,087,807.88	1.43	2.050	1
First Citizens Bank	1	100.00	100.00	0.00	0.001	1
Federal Farm Credit Bank	5	2,500,000.00	2,502,216.59	1.71	2.169	276
Federal Home Loan Bank	9	6,600,000.00	6,591,817.40	4.51	2.209	293
Federal Home Loan Mort Corp	14	7,455,000.00	7,454,583.37	5.10	1.995	407
First National Bank	1	3,245,505.82	3,245,505.82	2.22	2.250	1
Federal National Mort Assoc	10	8,200,000.00	8,202,185.54	5.61	2.196	272
N C Capital Management Trust	3	50,430,770.38	50,430,770.38	34.47	2.163	1
Pinnacle Bank	1	3,154,713.12	3,154,713.12	2.16	2.050	1
Select Bank & Trust Co.	1	1,005,857.80	1,005,857.80	0.69	1.790	1
Southern Bank & Trust Co.	1	8,254,471.15	8,254,471.15	5.64	2.250	1
US Treasury Note	9	19,200,000.00	19,266,340.50	13.17	2.633	613
TowneBank	4	26,023,099.61	26,023,099.61	17.79	2.820	34
Union Bank	1	24,127.40	24,127.40	0.02	0.250	1
Total and Average	67	146,237,700.32	146,299,843.72	100.00	2.279	140

GUC Investments
Portfolio Management
Portfolio Details - Investments
August 31, 2019

Page 1

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	YTM 360	YTM 365	Days to Maturity	Maturity Date
Certificates of Deposit - Bank												
SYS1033	1033	TowneBank		02/27/2019	5,000,000.00	5,000,000.00	5,000,000.00	3.250	3.205	3.250	26	09/27/2019
SYS1048	1048	TowneBank		03/29/2019	5,000,000.00	5,000,000.00	5,000,000.00	3.210	3.166	3.210	58	10/29/2019
SYS1061	1061	TowneBank		04/30/2019	5,000,000.00	5,000,000.00	5,000,000.00	3.210	3.166	3.210	90	11/30/2019
Subtotal and Average			15,000,000.00		15,000,000.00	15,000,000.00	15,000,000.00		3.179	3.223	58	
NC Capital Management Trust												
SYS33	33	N C Capital Management Trust			5,638,208.70	5,638,208.70	5,638,208.70	2.020	1.992	2.020	1	
SYS745	745	N C Capital Management Trust			44,559,990.81	44,559,990.81	44,559,990.81	2.180	2.150	2.180	1	
SYS988	988	N C Capital Management Trust			232,570.87	232,570.87	232,570.87	2.290	2.259	2.290	1	
Subtotal and Average			53,725,359.16		50,430,770.38	50,430,770.38	50,430,770.38		2.133	2.163	1	
Passbook/Checking Accounts												
SYS735	735	Bank of America			845,050.51	845,050.51	845,050.51		0.000	0.000	1	
SYS974	974	Capital Bank		07/01/2019	1,197,986.28	1,197,986.28	1,197,986.28		0.000	0.000	1	
SYS706	706	First Citizens Bank		07/01/2019	100.00	100.00	100.00	0.001	0.001	0.001	1	
Subtotal and Average			2,782,272.66		2,043,136.79	2,043,136.79	2,043,136.79		0.000	0.000	1	
Money Market Accounts												
SYS733	733	Bank of America			4,778,917.95	4,778,917.95	4,778,917.95	1.500	1.479	1.500	1	
SYS975	975	Capital Bank			100,029.19	100,029.19	100,029.19	1.500	1.479	1.500	1	
SYS1082	1082	Carter Bank		07/24/2019	100,091.67	100,091.67	100,091.67	2.090	2.061	2.090	1	
SYS954	954	CresCom Bank			1,034,171.56	1,034,171.56	1,034,171.56	1.450	1.430	1.450	1	
SYS946	946	First Bank			2,087,807.88	2,087,807.88	2,087,807.88	2.050	2.022	2.050	1	
SYS899	899	First National Bank			3,245,505.82	3,245,505.82	3,245,505.82	2.250	2.219	2.250	1	
SYS915	915	Pinnacle Bank			3,154,713.12	3,154,713.12	3,154,713.12	2.050	2.022	2.050	1	
SYS916	916	Select Bank & Trust Co.			1,005,857.80	1,005,857.80	1,005,857.80	1.790	1.765	1.790	1	
SYS917	917	Southern Bank & Trust Co.			8,254,471.15	8,254,471.15	8,254,471.15	2.250	2.219	2.250	1	
SYS1032	1032	TowneBank			11,023,099.61	11,023,099.61	11,023,099.61	2.270	2.239	2.270	1	
SYS927	927	Union Bank			24,127.40	24,127.40	24,127.40	0.250	0.247	0.250	1	
Subtotal and Average			27,416,593.83		34,808,793.15	34,808,793.15	34,808,793.15		2.054	2.082	1	
Federal Agency Coupon Securities												
3133EKPQ3	1070	Federal Farm Credit Bank		06/05/2019	500,000.00	500,004.89	500,000.00	2.400	2.367	2.400	278	06/05/2020
3133EKRS7	1078	Federal Farm Credit Bank		06/19/2019	500,000.00	500,039.52	500,000.00	2.300	2.268	2.300	292	06/19/2020
3133EKXM3	1086	Federal Farm Credit Bank		07/29/2019	500,000.00	500,063.25	499,900.00	2.080	2.065	2.094	516	01/29/2021
3133EHXT5	922	Federal Farm Credit Bank		09/19/2017	500,000.00	499,916.49	499,320.00	1.430	1.479	1.500	10	09/11/2019
3133EJRL5	961	Federal Farm Credit Bank		06/11/2018	500,000.00	502,192.44	500,000.00	2.550	2.515	2.550	284	06/11/2020
3130AGLJ2	1072	Federal Home Loan Bank		06/10/2019	500,000.00	500,013.48	500,000.00	2.250	2.219	2.250	283	06/10/2020

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GUC Investments
Portfolio Management
Portfolio Details - Investments
August 31, 2019

Page 2

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	YTM 360	YTM 365	Days to Maturity	Maturity Date
Federal Agency Coupon Securities												
3130AGST3	1085	Federal Home Loan Bank		07/29/2019	500,000.00	500,113.38	500,000.00	2.100	2.071	2.100	332	07/29/2020
3130ACCS1	919	Federal Home Loan Bank		09/27/2017	500,000.00	499,800.60	500,000.00	1.500	1.479	1.500	26	09/27/2019
3130ACHR8	923	Federal Home Loan Bank		10/23/2017	500,000.00	499,657.34	500,000.00	1.600	1.578	1.600	52	10/23/2019
3130ACLP7	930	Federal Home Loan Bank		10/30/2017	1,000,000.00	1,000,315.78	1,000,000.00	1.950	1.923	1.950	431	11/05/2020
3130ACU36	936	Federal Home Loan Bank		12/11/2017	500,000.00	500,006.58	500,000.00	2.000	1.973	2.000	467	12/11/2020
3130ACVE1	937	Federal Home Loan Bank		12/13/2017	500,000.00	499,938.84	500,000.00	1.800	1.775	1.800	103	12/13/2019
3130AD4U3	938	Federal Home Loan Bank		12/19/2017	500,000.00	499,825.40	499,975.00	1.900	1.876	1.903	109	12/19/2019
3130ACE26	989	Federal Home Loan Bank		11/02/2018	2,100,000.00	2,092,146.00	2,041,011.00	1.375	2.861	2.900	393	09/28/2020
3134GTFF5	1053	Federal Home Loan Mort Corp		04/08/2019	500,000.00	500,396.22	500,000.00	2.460	2.426	2.460	220	04/08/2020
3134GTRS4	1071	Federal Home Loan Mort Corp		06/10/2019	1,000,000.00	1,000,057.99	1,000,000.00	2.400	2.367	2.400	466	12/10/2020
3134GTUK7	1075	Federal Home Loan Mort Corp		06/17/2019	500,000.00	500,071.17	500,000.00	2.320	2.288	2.320	655	06/17/2021
3134GTVN0	1079	Federal Home Loan Mort Corp		06/24/2019	500,000.00	500,082.70	500,000.00	2.400	2.367	2.400	662	06/24/2021
3134GTVJ9	1080	Federal Home Loan Mort Corp		06/24/2019	500,000.00	500,100.20	500,000.00	2.300	2.268	2.300	480	12/24/2020
3134G96B8	866	Federal Home Loan Mort Corp		08/24/2016	500,000.00	499,705.01	500,000.00	2.000	1.479	1.500	358	08/24/2020
3134GAYV0	882	Federal Home Loan Mort Corp		12/30/2016	500,000.00	500,128.78	500,000.00	2.000	1.973	2.000	851	12/30/2021
3134GAYV0	883	Federal Home Loan Mort Corp		12/30/2016	500,000.00	500,128.78	500,000.00	2.000	1.973	2.000	851	12/30/2021
3134GAZR8	887	Federal Home Loan Mort Corp		12/30/2016	455,000.00	455,107.23	455,000.00	2.050	2.022	2.050	851	12/30/2021
3134GBG30	920	Federal Home Loan Mort Corp		09/27/2017	500,000.00	499,844.32	500,000.00	1.500	1.479	1.500	26	09/27/2019
3134GBJ52	925	Federal Home Loan Mort Corp		09/27/2017	500,000.00	499,801.35	500,000.00	1.500	1.479	1.500	26	09/27/2019
3134GBR61	928	Federal Home Loan Mort Corp		10/30/2017	500,000.00	499,632.45	500,000.00	1.650	1.627	1.650	59	10/30/2019
3134GBV41	932	Federal Home Loan Mort Corp		11/27/2017	500,000.00	499,785.31	500,000.00	1.700	1.677	1.700	87	11/27/2019
3134GBW40	935	Federal Home Loan Mort Corp		11/27/2017	500,000.00	499,741.86	500,000.00	1.750	1.726	1.750	87	11/27/2019
3136G3Y74	865	Federal National Mort Assoc		08/24/2016	500,000.00	499,999.74	500,000.00	1.750	1.334	1.353	450	11/24/2020
3136G4GU1	880	Federal National Mort Assoc		11/25/2016	500,000.00	499,007.11	499,500.00	1.400	1.415	1.434	85	11/25/2019
3136G4GU1	881	Federal National Mort Assoc		11/25/2016	500,000.00	499,007.11	499,925.00	1.400	1.386	1.405	85	11/25/2019
3136G4HH9	885	Federal National Mort Assoc		11/30/2016	500,000.00	499,143.62	500,000.00	1.500	1.480	1.500	178	02/26/2020
3136G4HH9	886	Federal National Mort Assoc		11/30/2016	500,000.00	499,143.62	500,000.00	1.500	1.480	1.500	178	02/26/2020
3136G4JZ7	889	Federal National Mort Assoc		12/30/2016	500,000.00	499,509.52	500,000.00	1.625	1.603	1.625	120	12/30/2019
3135G0S53	892	Federal National Mort Assoc		01/27/2017	500,000.00	499,414.26	500,000.00	1.700	1.677	1.700	148	01/27/2020
3136G4PV9	926	Federal National Mort Assoc		10/27/2017	500,000.00	500,471.56	500,000.00	2.250	2.219	2.250	1,152	10/27/2022
3135G0H55	992	Federal National Mort Assoc		11/05/2018	2,100,000.00	2,106,678.00	2,057,307.00	1.875	2.818	2.857	484	12/28/2020
3135G0ZG1	993	Federal National Mort Assoc		11/05/2018	2,100,000.00	2,099,811.00	2,083,326.00	1.750	2.660	2.697	11	09/12/2019
Subtotal and Average			24,635,264.00		24,755,000.00	24,750,802.90	24,635,264.00		2.107	2.136	319	
Treasury Coupon Securities												
9128284C1	1000	US Treasury Note		11/07/2018	2,100,000.00	2,104,305.00	2,082,855.45	2.250	2.811	2.850	212	03/31/2020
912828U81	1019	US Treasury Note		01/02/2019	2,250,000.00	2,276,235.00	2,220,468.75	2.000	2.424	2.457	852	12/31/2021
912828W89	1062	US Treasury Note		04/02/2019	2,100,000.00	2,122,197.00	2,076,046.88	1.875	2.240	2.271	942	03/31/2022

Portfolio GUC
CP
PM (PRF_PM2) 7.3.0

**GUC Investments
Portfolio Management
Portfolio Details - Investments
August 31, 2019**

Page 3

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	YTM 360	YTM 365	Days to Maturity	Maturity Date
Treasury Coupon Securities												
912828XW5	1087	US Treasury Note		07/02/2019	2,250,000.00	2,270,092.50	2,251,054.69	1.750	1.710	1.734	1,033	06/30/2022
912828T34	995	US Treasury Note		11/06/2018	2,100,000.00	2,083,095.00	1,993,605.45	1.125	2.921	2.962	760	09/30/2021
912828UF5	996	US Treasury Note		11/06/2018	2,100,000.00	2,094,267.00	2,061,281.25	1.125	2.727	2.765	121	12/31/2019
912828XY1	997	US Treasury Note		11/06/2018	2,100,000.00	2,111,739.00	2,087,367.20	2.500	2.835	2.875	303	06/30/2020
912828C57	998	US Treasury Note		11/07/2018	2,100,000.00	2,120,769.00	2,066,613.28	2.250	2.901	2.942	577	03/31/2021
912828S27	999	US Treasury Note		11/07/2018	2,100,000.00	2,083,641.00	2,002,792.95	1.125	2.915	2.956	668	06/30/2021
Subtotal and Average			18,842,085.90		19,200,000.00	19,266,340.50	18,842,085.90		2.597	2.633	613	
Miscellaneous Discounts -Amortizing												
Subtotal and Average			224,540.43									
Total and Average			142,626,115.98		146,237,700.32	146,299,843.72	145,760,050.22		2.247	2.279	140	



Agenda Item # 3

Meeting Date: September 19, 2019

Item:	Consideration of Resolution Authorizing Application for State Revolving Fund (SRF) Funding for WCP-10032 Water Main Rehabilitation Phase II Project
Contact:	Randy Emory
Explanation:	<p>The existing water distribution system dates to 1905 when the first cast iron water mains were placed into service. Many of the cast iron mains that were constructed in the early twentieth century are still in service today. Cast iron pipe was the predominant material used in construction of the water distribution system until the 1960's. Since that time, most of the water mains constructed in the GUC system have utilized either fibrous cement or polyvinyl chloride pipe materials. However, approximately 12% of the total distribution system piping is cast iron pipe and much of it needs rehabilitation.</p> <p>As a reminder, the Board approved the Water Main Rehabilitation Program Phase I in December 2013. Subsequent planning, design and construction of Phase I was completed in December 2018. The first phase included a detailed assessment of the condition of the water system and developed a prioritized schedule of rehabilitation and replacement. Phase I rehabilitated approximately 2,800 linear feet of water main along 10th Street from Forbes Street to College Hill Road. Phase II will review the prioritized list and select the next candidates for rehabilitation, followed by design and construction.</p> <p>Staff has begun preparation of an application for project funding for this major capital project. The application is for a low interest loan under the NC State Revolving Fund (SRF) program.</p> <p>In order to proceed with submittal of the application, a resolution must be adopted that authorizes the General Manager/CEO to act as the designated agent for Greenville Utilities Commission.</p> <p>The proposed authorizing Resolution must be included with each respective application.</p>

**Strategic Plan
Elements**

Strategic Themes:

- Safety, Reliability, and Value
- Exceptional Customer Service
- Shaping Our Future

Objectives:

- Safely providing reliable and innovative utility solutions
- Developing and enhancing strategic partnerships

Core Values:

- Deliver Reliable Services
- Support the Community

**Previous Board
Actions:**

In June 2019, the Board approved the capital project budget of \$1,000,000 for the Water Main Rehabilitation Phase II project.

Fiscal Note:

This project is included in the Five-Year Capital Plan

**Recommended
Action(s):**

Adopt attached Resolution authorizing the General Manager/CEO to act as designated agent for Greenville Utilities Commission in connection with State Revolving Fund (SRF) funding application for Phase II of the Water Main Rehabilitation Project

GREENVILLE UTILITIES COMMISSION
STATE REVOLVING LOAN FUND – RESOLUTION OF ASSURANCES, AGREEMENTS
AND AUTHORIZED REPRESENTATIVE

- WHEREAS, The Federal Clean Water Act Amendments of 1987 and the North Carolina the Water Infrastructure Act of 2005 (NCGS 159G) have authorized the making of loans and grants to aid eligible units of government in financing the cost of construction of drinking water treatment works, and
- WHEREAS, The Greenville Utilities Commission of the City of Greenville, North Carolina, a body politic duly chartered by the State of North Carolina, has need for and intends to replace and/or rehabilitate certain designated portions of its drinking water distribution system described as Greenville Utilities Commission Water Distribution System Rehabilitation Program Phase II project, necessary to assure continued adequate water service to the Commission's customers, and
- WHEREAS, The Commission, on behalf of the City of Greenville intends to request state loan assistance for the project,

NOW THEREFORE BE IT RESOLVED, BY THE GREENVILLE UTILITIES COMMISSION:

That the Greenville Utilities Commission of the City of Greenville, North Carolina, the **Applicant**, will arrange financing for all remaining costs of the project, if approved for a State loan award.

That the **Applicant** will adopt and place into effect on or before completion of the project a schedule of fees and charges and other available funds which will provide adequate funds for proper operation, maintenance, and administration of the system and the repayment of all principal and interest on the debt.

That the governing body of the **Applicant** agrees to include in the loan agreement a provision authorizing the State Treasurer, upon failure of the Greenville Utilities Commission of the City of Greenville, North Carolina to make scheduled repayment of the loan, to withhold from the Greenville Utilities Commission of the City of Greenville, North Carolina any State funds that would otherwise be distributed to the local government unit in an amount sufficient to pay all sums then due and payable to the State as a repayment of the loan.

That the **Applicant** will provide for efficient operation and maintenance of the project on completion of construction thereof.

That Mr. Anthony C. Cannon, General Manager/CEO, the **Authorized Official**, and successors so titled, is hereby authorized to execute and file an application on behalf of the **Applicant** with the State of North Carolina for a loan to aid in the construction of the project described above.

That the **Authorized Official**, and successors so titled, is hereby authorized and directed to furnish such information as the appropriate State agency may request in connection with such application or the project: to make the assurances as contained above; and to execute such other documents as may be required in connection with the application.

That the **Applicant** has substantially complied or will substantially comply with all Federal, State, and local laws, rules, regulations, and ordinances applicable to the project and to Federal and State grants and loans pertaining thereto.

Adopted this the 19th day of September, 2019.

Joel Butler
Chair

ATTEST:

APPROVED AS TO FORM:

Tommy Stoughton
Secretary

Phillip R. Dixon
General Counsel

CERTIFICATION BY RECORDING OFFICER

The undersigned duly qualified and acting Executive Secretary of the Greenville Utilities Commission of the City of Greenville does hereby certify: That the above/attached resolution is a true and correct copy of the resolution authorizing the filing of an application with the State of North Carolina, as regularly adopted at a legally convened meeting of the Greenville Utilities Commission of the City of Greenville duly held on the 19th day of September, 2019; and, further, that such resolution has been fully recorded in the journal of proceedings and records in my office. IN WITNESS WHEREOF, I have hereunto set my hand this _____ day of September, 2019.

Amy Carson Wade, Executive Secretary



Agenda Item # 4

Meeting Date: September 19, 2019

Item:	Recommended Approval of Joint Pay and Benefits Committee's Recommendations for 2020 Health and Dental Insurance
Contact:	Chair Joel Butler
Explanation:	See attached memorandum.
Strategic Plan Elements:	<p>Strategic Themes:</p> <ul style="list-style-type: none">• Shaping Our Future <p>Objectives:</p> <ul style="list-style-type: none">• Recruiting and Retaining an exceptional, motivated, diverse and agile workforce• Embracing change to ensure organizational alignment and efficiency <p>Core Values:</p> <ul style="list-style-type: none">• Value Employees
Previous Board Actions:	N/A
Fiscal Note:	N/A
Recommended Action(s):	<ol style="list-style-type: none">1) Approve the Joint Pay and Benefits Committee's recommendations for 2020 Health and Dental Insurance.2) Pending approval of Joint Pay and Benefits Committee's recommendations for 2020 Health and Dental Insurance, approve to cancel the Joint City of Greenville/Greenville Utilities Commission Meeting scheduled for September 23, 2019.

MEMORANDUM

TO: Mayor and City Council
Greenville Utilities Board of Commissioners

FROM: Ann E. Wall, City Manager
Anthony C. Cannon, General Manager/CEO *Acc*

DATE: September 11, 2019

SUBJECT: Joint City/GUC Pay and Benefits Committee
Recommendations for Plan Year 2020

The Joint City/GUC Pay and Benefits Committee, comprised of City Council Members Rose Glover and Rick Smiley and GUC Commissioners Joel Butler and Kelly Darden, met on August 26, 2019 to develop recommendations for Plan Year 2020 Health and Dental benefits. The following recommendations will be considered by City Council and GUC Board of Commissioners at their upcoming Council Meeting and Board Meeting, respectively.

Joint Committee Recommendation on Plan Year 2020 Health/Dental Insurance Benefits:

Based on plan performance, the following changes are proposed for plan year 2020:

- Maintain current health rates as plan experience does not warrant an increase.
- No plan design changes for medical or dental.
- Implement Omada behavioral counseling program to provide an interactive curriculum and health coaching to help at-risk people reduce their risk of obesity-related chronic diseases.
- Continue the “first-year incentive” of \$250 for individual coverage and \$500 for family coverage for employees electing to enroll in the HSA for the first time to encourage migration to the HSA; and
- Maintain current dental rates as plan experience does not warrant an increase.

The Joint City/GUC Pay and Benefits Committee unanimously recommends adoption of the proposed changes for Plan Year 2020.

cc: Michael Cowin, COG Assistant City Manager
Chris Padgett, GUC Chief Administrative Officer
Leah Futrell, COG Director of Human Resources
Richie Shreves, GUC Director of Human Resources



Agenda Item # 5

Meeting Date: September 19, 2019

Item: **Recommended Award of Bid for 1,000 kW/2,000 kWh Peak Shaving Battery Energy Storage System (BESS) Pilot Project (ECP-10208)**

Contact: Roger Jones

Explanation: Capital Project ECP-10208's budget is \$1,600,000.00 designated for the installation of a new 1,000 kW/2,000 kWh peak shaving battery energy storage system (BESS) pilot project to be installed at GUC's Evan's Substation. The proposed system will expand GUC's existing fleet of peak shaving assets and will reduce GUC's wholesale power cost by +/- \$22,520.00 per month. The goal of this project is to evaluate the technical and financial feasibility of utilizing battery energy storage systems for future peak shaving applications in lieu of traditional reciprocating engine (RICE) technology. Additionally, GUC has received a \$125,000.00 grant from the American Public Power Association's Demonstration of Energy & Efficiency Developments (DEED) program.

GUC solicited bids for a turnkey design-build 1,000 kW/2,000 kWh battery energy storage system. The six bids listed below were received.

Vendors	Price
Strata Solar	\$996,200.00*
Power Secure	\$1,200,529.00
Ameresco	\$1,280,000.00
Worley	\$1,408,000.00
Crowder Industrial Construction	\$1,733,000.00
Booth & Associates LLC	\$1,795,505.58

*Strata Solar was the lowest responsible bidder.

**Strategic Plan
Elements:**

Strategic Themes:

- Shaping Our Future
- Safety, Reliability, & Value

Objectives:

- Providing Competitive Rates While Maintaining Financial Stability
- Safely Providing Reliable and Innovative Utility Solutions

Core Values:

- Exceed Customer Expectations
- Encourage Innovation
- Deliver Reliable Services

**Previous Board
Actions:**

Approval of ECP-10208 on 6/14/2018 in the amount of \$1,600,000.00

Fiscal Note:

GUC has received a \$125,000.00 grant from the American Public Power Association's Demonstration of Energy & Efficiency Developments (DEED) program.

**Recommended
Action(s):**

Award the bid for a 1,000 kW/ 2,000 kWh Peak Shaving Battery Energy Storage System (BESS) to Strata Solar in the amount of \$996,200.00.



Agenda Item # 6

Meeting Date: September 19, 2019

Item:	Reflections on Hurricane Floyd's 20 Year Anniversary
Contact:	Tony Cannon
Explanation:	Reflecting on the impact of Hurricane Floyd.
Strategic Plan Elements:	<p>Strategic Themes:</p> <ul style="list-style-type: none">• Exceptional Customer Service• Safety, Reliability & Value• Shaping Our Future <p>Objectives:</p> <ul style="list-style-type: none">• Exceeding customer expectations• Safely providing reliable and innovative utility solutions• Embracing change to ensure organizational alignment and efficiency <p>Core Values:</p> <ul style="list-style-type: none">• Exceed Customers' Expectation• Encourage Innovation/Lifelong Learning• Deliver Reliable Services• Prioritize Safety• Support the Community
Previous Board Actions:	N/A
Fiscal Note:	N/A
Recommended Action(s):	N/A



Agenda Item # 7

Meeting Date: September 19, 2019

Item:	Capital Project Updates
Contact:	Chris Padgett : Kevin Keyzer
Explanation:	An update on capital projects will be given.
Strategic Plan Elements:	<p>Strategic Themes:</p> <ul style="list-style-type: none">• Shaping Our Future• Safety, Reliability & Value• Exceptional Customer Service <p>Objectives:</p> <ul style="list-style-type: none">• Safely providing reliable and innovative utility solutions• Embracing change to ensure organizational alignment and efficiency• Exceeding customer expectations <p>Core Values:</p> <ul style="list-style-type: none">• Deliver reliable services• Support the community• Exceed customers' expectations
Previous Board Actions:	N/A
Fiscal Note:	N/A
Recommended Action(s):	N/A



Agenda Item # 8

Meeting Date: September 19, 2019

Item:	General Manager's Report
Contact:	Tony Cannon
Explanation:	<p>1. Informational Reading</p> <p>Bids, Statistical Data, Sewer Spill Tracking Report, Load Management Report, and PGA Report are attached.</p> <p>The Management Team will be available at the meeting to answer any questions regarding work activities.</p> <p>2. Key Performance Indicators (KPIs)</p> <p>Attached is a list of GUC's Tier 1 corporate Key Performance Indicators (KPIs). We have the following KPIs in the dashboard format for your review as well as the corresponding scorecard:</p> <ul style="list-style-type: none">• Installation of New Services - Gas• Frequency of Interruptions in Service – Electric (SAIFI)• Typical Monthly Bill Comparison - Sewer• Bond Rating <p>3. Commendations</p> <p>4. Other</p>
Strategic Plan Elements:	<p>Strategic Themes:</p> <ul style="list-style-type: none">• Shaping Our Future• Safety, Reliability & Value• Exceptional Customer Service <p>Objectives:</p> <ul style="list-style-type: none">• Embracing change to ensure organizational alignment and efficiency• Developing and enhancing strategic partnerships• Exceeding customer expectations <p>Core Values:</p> <ul style="list-style-type: none">• Value employees

- Encourage innovation/lifelong learning
- Appreciate diversity
- Support the community

**Previous Board
Actions:**

N/A

Fiscal Note:

N/A

**Recommended
Action(s):**

N/A

GREENVILLE UTILITIES COMMISSION

TABULATION OF BIDS RECEIVED FOR

ELECTRIC METERS

JULY 24, 2019 @ 2:00 PM

VENDORS	DELIVERY	TOTAL
Shealy Electrical Wholesalers	8-10 Weeks	\$149,844.00*
Vision Metering, LLC	4-6 Weeks	127,316.00 ⁽¹⁾

* Indicates recommended award based on the lowest responsible, responsive bid.

(1) Indicates that the brand quoted had been removed from our approved manufacturers list.

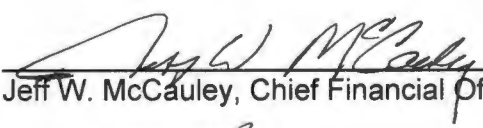
Recommended for Award


Jason Hardee, Meter Superintendent

8-5-19
Date


Roger Jones, Director of Electric Systems

8-5-19
Date


Jeff W. McCauley, Chief Financial Officer

8-5-19
Date


Chris Padgett, Chief Administrative Officer

8-7-19
Date

Approved for Award


Anthony C. Cannon, General Manager/CEO

8-7-19
Date

GREENVILLE UTILITIES COMMISSION

TABULATION OF BIDS RECEIVED FOR

ELECTRIC MATERIALS

AUGUST 13, 2019 @ 3:00 PM

VENDORS	LEAD TIME	\$ TOTAL
Wesco Distribution, Inc.	2-10 Weeks	\$79,530.59 *
Anixter, Inc.	1-18 Weeks	82,011.02
Shealy Electrical Wholesalers	2-18 Weeks	65,510.43 (1)

* Indicates recommended award based on the lowest responsible, responsive bid.

(1) Indicates that the vendor did not quote all items.

Recommended for Award:

Ken Wade
Ken Wade, Substation Control Engineer

8/23/19
Date

R. Jones
Roger Jones, Director of Electric Systems

8-23-19
Date

Jeff W. McCauley
Jeff W. McCauley, Chief Financial Officer

8-23-19
Date

Chris Padgett
Chris Padgett, Chief Administrative Officer

8-24-19
Date

Approved for Award:

Anthony C. Cannon
Anthony C. Cannon, General Manager/CEO

8-26-19
Date

GREENVILLE UTILITIES COMMISSION

STATISTICAL DATA

Aug-19

	This Month	Same Month Last Year	% Change	Total To Date Past 12 Months	Total To Date Prior Past 12 Months	% Change
ENVIRONMENT						
High Temperature, F	94	95				
Low Temperature, F	62	58				
Degree Days Heating	0	0.0		2,742.0	3,063.0	
Degree Days Cooling	451	478.5		2,389.5	2,150.0	
Rainfall, Inches	3.71	3.48		61.19	52.59	
River Level-Mean Sea Level						
High, FT	2.8	11.1				
Low, FT	0.7	1.2				
Average FT	1.7	6.0				
ELECTRIC						
Peak Demand, KW	313,280	317,889				
Demand Reduction, KW	68,120	63,800				
KWH Purchased (x1000)	181,784	186,241	-2.4%	1,841,652	1,828,171	0.7%
KWH Billed (x1000)	179,950	166,387	8.2%	1,792,802	1,772,102	1.2%
System Losses, Percent				2.65%	3.07%	
Average Cost/KWH	\$0.0692	\$0.0673				
NATURAL GAS						
MCF Purchased	197,171	192,246	2.6%	3,517,421	3,612,589	-2.6%
MCF Billed	182,371	185,731	-1.8%	3,426,839	3,503,246	-2.2%
System Losses, Percent				2.58%	3.03%	
Average Cost/MCF	4.91	5.37				
WATER						
Peak Day, MG	15.159	14.872				
Average Day, MG	13.903	13.144				
Total Pumped, MG	430.997	407.452	5.8%	5,066.1	4,824.1	5.0%
Total Billed, MG	348.300	328.300	6.1%	4,173.1	4,136.5	0.9%
System Losses, Percent				17.63%	14.25%	
WASTEWATER						
Peak Day, MG	10.88	15.01				
Average Day, MG	9.50	11.53				
Total Flow, MG	294.38	357.50	-17.7%	4,052.83	3,568.39	13.6%
CUSTOMER ACCOUNTS						
Active Services E/W/G	129,434	128,838	0.5%			
Meters Repaired	208	240	-13.3%	3,838	4,567	-16.0%

KW = Kilowatts

KWH = Kilowatthours

MCF = Thousand Cubic Feet

MG = Million Gallons

Water Resources Department
Sewer Spill Tracking Report
September 2018 - August 2019



No.	Date of Spill	Report Date	Location	Manholes		Volume Gallons	Surface Water	If yes, where?		Reportable Yes/No	News Release		Notice of Discharge		Cause of Spill	Corrective Action
				Upstream	Downstream			Name	Volume Gallons		Req'd.	Issued	Req'd.	Issued		
1	09/07/18	09/20/18	In front of 2051 Worthington Rd.	N/A	N/A	100	Yes	Fork Swamp	100	Yes	No	No	No	No	Pipe Failure	1e
2	09/15/18	09/20/18	Several MH's on Millbrook Street	7H-034 6H-050 6H-052 6H-054 6H-040 6H-038 6H-058	7H-034 6H-050 6H-052 6H-054 6H-040 6H-038 6H-058	6881	Yes	Green Mill Run	370	Yes	Yes	Yes	No	No	Other (Severe Natural Condition)	1f
3	09/15/18	09/20/18	MH 9K-124 at 1055 Elm Street	9K-124	9K-124	495	Yes	Green Mill Run	495	Yes	Yes	Yes	No	No	Other (Severe Natural Condition)	1f
4	09/15/18	09/20/18	MH 10K-110 Intersection of Jefferson and Polk Dr.	10K-110	10K-110	440	Yes	Reedy Branch	440	Yes	Yes	Yes	No	No	Other (Severe Natural Condition)	1f
5	09/15/18	09/20/18	MH 6G-016 Intersection of Greenbriar and Club Dr.	6G-016	6G-016	414	Yes	Green Mill Run	414	Yes	Yes	Yes	No	No	Other (Severe Natural Condition)	1f
6	09/18/18		In front of 1104 Compton Rd.	N/A	N/A	10	No	N/A	N/A	No	No	No	No	No	Contractor Damage/Error	1b
7	09/28/18	10/02/18	MH 6M-038 1710 West Third St.	6M-038	6M-038	200	Yes	Tar River	200	Yes	No	No	No	No	Grease	2a
8	10/08/18		290PS Brook Valley Pump Station (Corner of York and King George Rd)	N/A	N/A	117	No	N/A	N/A	No	No	No	No	No	Pipe Failure	1e
9	10/08/18		Between 2363 & 2383 Mills Rd	N/A	N/A	50	No	N/A	N/A	No	No	No	No	No	Pipe Failure	1e
10	11/09/18	11/15/18	MH 5M-086 Outfall line behind Heritage Apts. On W. 5th St.	5M-086	5M-086	42780	Yes	Tar River	42780	Yes	Yes	Yes	Yes	Yes	Other (Pump Station Equipment Failure)	1f
11	11/12/18		5659 Hwy 11 North	N/A	N/A	600	No	N/A	N/A	No	No	No	No	No	Pipe Failure	1e
12	11/18/18		221 West Gum Street/Pollard Street	N/A	N/A	50	No	N/A	N/A	No	No	No	No	No	Roots	2d
13	03/04/19		Corner of Arthur St & Evans St	8J-026	8J-026	25	No	N/A	N/A	No	No	No	No	No	Debris	1c
14	03/06/19	03/12/19	205 Adams Blvd	11H-004	11J-098	50	Yes	Hardee Creek	10	Yes	No	No	No	No	Roots	2d
15	04/01/19	04/01/19	Behind unit #43 at 200 Rollins Dr.	5F-130	5F-130	50	Yes	Green Mill Run	50	Yes	No	No	No	No	Debris	2c
16	05/17/19	05/23/19	Perkins Street	7K-088	7K-088	200	Yes	Green Mill Run	100	Yes	No	No	No	No	Grease	2a

Note: No spills in December, January, February, June, July or August

Summary Total Number of Spills = 16 (10 Reportable;6 Non-Reportable)
Total Spill Volume = 52462 gals or 0.0013% of Total Wastewater Flow

Spill Analysis

<u>Cause</u>	<u>Number of Each</u>	<u>Volume (gals.)</u>
a. Grease	2	400
b. Contractor Damage/Error	1	10
c. Debris	2	75
d. Roots	2	100
e. Pipe Failure	4	867
f. Other	5	51,010

Corrective Action Code

1. Permanent Repair
2. Cleared Blockage & Priority Cleaning Scheduled

GREENVILLE UTILITIES COMMISSION

LOAD MANAGEMENT REPORT

August, 2019

The DEP monthly peak occurred on August 13, 2019 for the hour ending at 4:00 P.M. Our load management system was in full operation during this period with the following estimated reductions observed:

	Estimated KW Load Reduction	Estimated Avoided Demand Costs
<u>Direct Load Control:</u>		
Voltage Adjustment @ Stage 3 (4.6%)	13,000	\$292,760
Air Conditioning Demand Reduction	3,800	\$85,576
Water Heater Demand Reduction	10,300	\$231,956
Heat Pump/Heat Strip Demand Reduction	0	\$0
GUC Generator Reduction:	12,096	\$272,402
<u>Interruptible Load Control:</u>		
MGS-CP & LGS-CP Customer Generators	20,908	\$470,848
Estimated Other Industrial Customer Curtailments	8,019	\$180,588
<u>Total Load Control:</u>	68,123	\$1,534,130
<u>NCEMPA Shifted Peak Credit:</u>		
Power Agency Policy Credit for Contribution to Shifted Peak		
<u>Total Load Reduction and Avoided Costs:</u>	68,123	\$1,534,130

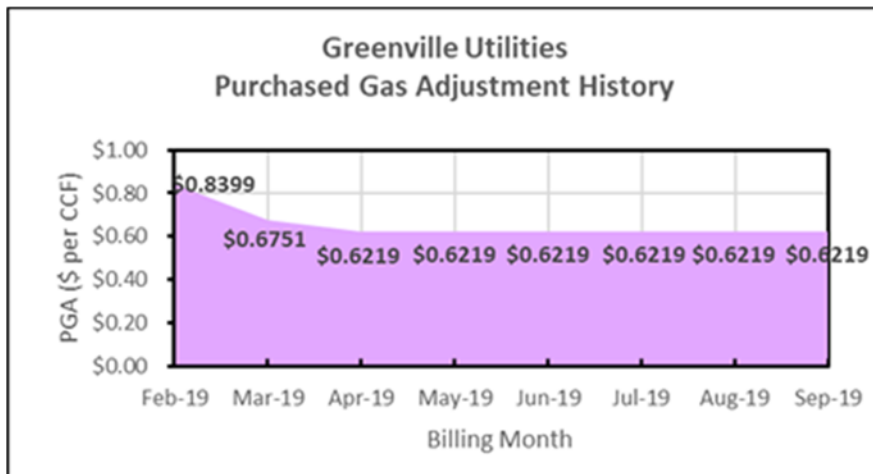
Additional Notes and Comments:

- | | |
|--|----------------|
| 1) Duke Energy Progress (DEP) System Peak: | 12,484 MW |
| 2) GUC Coincident Peak (Less Winterville Demand): | 313,280 KW |
| 3) Local Temperature at Coincident Peak, per PGV: | 93 Degrees F |
| 4) Local "Feels Like" Temperature at Coincident Peak, per PGV: | 100 Degrees F |
| 5) Applicable NCEMPA Demand Rate Charge: | \$22.52 Per KW |

PGA Report September 2019

Please see the PGA history in the table and chart below:

Billing Month	PGA (\$/CCF)	Residential (\$/CCF)	
Feb-19	\$0.8399	\$0.3699	\$1.2098
Mar-19	\$0.6751	\$0.3699	\$1.0450
Apr-19	\$0.6219	\$0.3699	\$0.9918
May-19	\$0.6219	\$0.3699	\$0.9918
Jun-19	\$0.6219	\$0.3699	\$0.9918
Jul-19	\$0.6219	\$0.3396	\$0.9615
Aug-19	\$0.6219	\$0.3396	\$0.9615
Sep-19	\$0.6219	\$0.3396	\$0.9615



Tier 1: Corporate Key Performance Indicators (KPI)

CUSTOMER

- Customer Satisfaction
 - Billing Process Accuracy
 - Installation of New Services
 - Duration of Electric Interruptions (CAIDI)
 - Duration of Electric Interruptions (SAIDI)
 - Frequency of Interruptions in Service – Electric (SAIFI)
 - Response Time to Unplanned Electric Outages
 - Response Time to Cut Gas Lines/Leaks
 - Response Time to Water Leaks/Breaks
 - Typical Monthly Bill Comparisons
-

FINANCIAL

- Overtime Costs
 - Bond Rating
 - Days Operating Cash On Hand
 - Debt Service Coverage
 - Fund Balance (available for appropriation)
 - Net Margin
 - Return on Assets
 - Return on Equity
-

INTERNAL BUSINESS PROCESSES
















- Connections Per Employee
 - Operating Cost Per Customer
 - System Losses – Electric
 - System Losses – Gas
 - System Losses – Water
 - Disruption of Service – Water
 - Preventable Vehicle Accident Rate
-

EMPLOYEES & ORGANIZATIONAL CAPACITY









- Hours Worked Without a Lost Workday Injury
- Restricted Workday Injuries Per 200,000 Hours Worked
- Capital Spending Ratio
- Degree of Asset Depreciation

Corporate KPIs








Customer

Indicator	Goal/Caution	Value	Status
Customer Satisfaction	80.00%	86.00%	
Billing Process Accuracy	99.800%	99.990%	
Install New Service (Electric)	3.00	1.49	
Install New Service (Gas)	15	9	
Install New Service (Water/Sewer)	6	5	
Duration of Interruptions - Electric (CAIDI)	82.00	71.60	
Duration of Interruptions - Electric (SAIDI)	65.00	38.21	
Interruptions in Service - Electric (SAIFI)	0.79	0.53	
Response Time to Unplanned Outages	30.00	27.51	
Response Time to Cut Gas Lines/Leaks	0:30:00	0:23:00	
Response Time to Water Leaks	1:00	0:50	
Typical Bill Comparison - Residential Electric	\$119	\$109	
Typical Bill Comparison - Residential Gas	\$69	\$76	
Typical Bill Comparison - Residential Water	\$36	\$37	
Typical Bill Comparison - Residential Sewer	\$48	\$45	



Financial

Indicator	Goal/Caution	Value	Status
Overtime Costs	3%	5%	
Bond Rating	75	85	
Days Operating Cash on Hand	125	132	
Debt Service Coverage	1.75	3.31	
Fund Balance (Available for Appropriation)	16.0%	22.9%	
Net Margin	2.75%	6.57%	
Return on Assets	1.60%	3.17%	
Return on Equity	2.50%	5.04%	

Internal Business Processes

Indicator	Goal/Caution	Value	Status
Connections per Employee	337	342	
Operating Cost per Customer	\$350	\$388	
System Losses - Electric	3.00%	2.56%	
System Losses - Gas	1.50%	0.84%	
System Losses - Water (less than 13.5%)	13.5%	11.1%	
Disruption of Service- Water	6:00	1:30	
Miles Driven Without Preventable Vehicle Incident	1,000,000	95,886	

Employee & Organizational Capacity

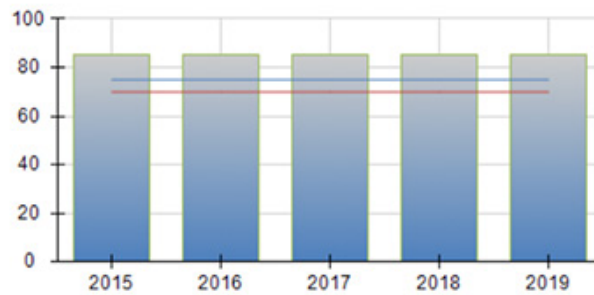
Indicator	Goal/Caution	Value	Status
Hours Worked Without a Lost Workday Injury	3,000,000	2,426,734	
OSHA Recordable Incident Rate	1.00	1.42	
Capital Spending Ratio	120%	100%	
Degree of Asset Depreciation	50%	50%	



Bond Rating

The Bond Rating is an annual outcome measure for GUC. It monitors the credit worthiness of GUC's debt issues.

Value: 85 Goal: 75 Warning: 70



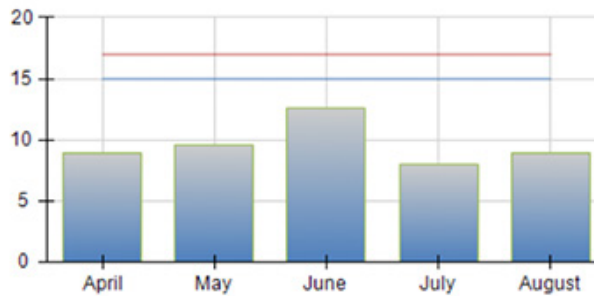
Goal
Warning



Install New Service (Gas)

Average days to install a new gas service by month.

Actual: 9 Goal: 15 Warning: 17



Warning
Goal



Typical Bill Comparison - Residential Sewer

The Typical Bill Comparison for the Water Utility for residential users is compared against similar water utilities at 6000 gallons. This is monitored on an annual basis to determine if GUC residential water and sewer rates are competitive with other water and sewer utility providers. The target is to be at or below the median of the benchmarked utilities.

Value: \$45 Goal: \$48 Warning: \$51



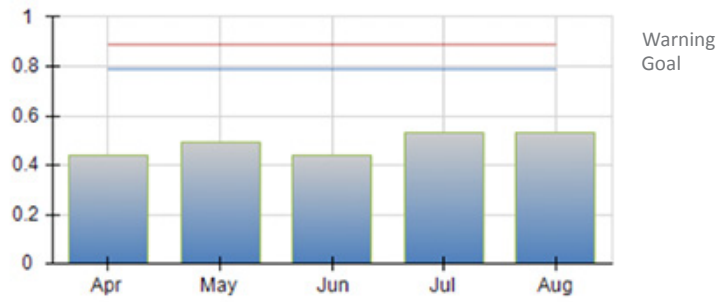
Warning
Goal



Interruptions in Service - Electric (SAIFI)

The average number of service interruptions system-wide over a 12 month period.

Value: 0.53 **Goal:** 0.79 **Warning:** 0.89



GUC PERFORMANCE MEASURES

Performance Management Scorecard		Customer
Corporate Objective 16	Improve Customer Satisfaction	
Measure	Install New Service	
Tier	1	Rationale: Measures the timeliness of providing GUC customers with new service
Accountability: Electric, Gas and Water Resources		Frequency: Monthly
DESCRIPTION: Percentage of time when new service is installed within the target time frame. Target for new electric service is 3 days from completion of the application, inspection and occupancy approval. Target for new gas service is 14 days. Target for a new water tap is 6 business days.		
NUMERATOR:	Number of new services installed with the target	
DENOMINATOR:	Total number of new services installed	
TARGET:	90%	DATA SOURCE(S): CIS System

GUC PERFORMANCE MEASURES

Performance Management Scorecard		Internal Business Processes
Corporate Objective 10	Ensure Efficiency, Safety and Reliability of Services	
Measure	Interruptions in Service – Electric (SAIFI)	
Tier	1	Rationale: Measures the average number of sustained interruptions experienced by each customer.
Accountability: Electric		Frequency: Annual
DESCRIPTION: An interruption in Service – Electric is typically measured by the System Average Interruption Frequency Index (SAIFI) which measures the average number of sustained interruptions experienced by each customer.		
NUMERATOR:	Total number of customer interruptions during one year * 100	
DENOMINATOR:	Total number of customers	
TARGET:	0.60	DATA SOURCE(S): Outage Database

GUC PERFORMANCE MEASURES

Performance Management Scorecard			Financial
Corporate Objective 14	Lowest Reasonable Rates		
Measure	Typical Bill Comparison – Residential Sewer		
Tier	1	Rationale: Used to measure the competitiveness of GUC’s typical residential sewer bills against similar utilities	
Accountability: Finance		Frequency: Quarterly	
DESCRIPTION: The typical monthly sewer bill for residential users based on 6,000 gallons of water is compared against similar sewer utilities. This is monitored on a quarterly basis to determine if GUC residential sewer rates are competitive with other sewer utility providers. The target is to be at or below the median of the benchmarked utilities.			
NUMERATOR:	Typical monthly residential sewer bill for 6,000 gallons of water		
DENOMINATOR:	N/A		
TARGET:	At or below the median	DATA SOURCE(S): Utility benchmark data	

GUC PERFORMANCE MEASURES

Performance Management Scorecard		Financial
Corporate Objective 15	Improve Financial Performance	
Measure	Bond Rating	
Tier	1	Rationale: Expresses the credit worthiness of GUC's debt issues
Accountability: Finance		Frequency: Annual
DESCRIPTION: The rating assigned to GUC's debt issues by the nationally recognized credit rating agencies.		
NUMERATOR:	N/A	
DENOMINATOR:	N/A	
TARGET:	A or greater	DATA SOURCE(S): Credit rating agencies

COMPLIMENT RECORD

Date: August 29, 2019

Received By: Brian Weigand, Collections Manager

Customer's Name: Mr. Grimes

Remarks: Mr. Grimes called to let us know about the great experience he received by Tye Nichols. He said "Tye was refreshing, kind, humble, positive and extraordinary. She helped me before and provided the same high level of service on both occasions."

Employee Name: Tye Nichols, Cashier I

**COMPLIMENT
RECORD**

Date: August 29, 2019

Received By: GUC Website

Customer's Name: Mike McLawhorn

Remarks: Mr. McLawhorn wrote that the crew that came out and cleared some trees around the power lines outside his home did an excellent job. There was no debris left in the yard.

Employee Names: Dustin Sauls, Tree Trimmer Crew Leader
Jeremy Page, Tree Trimmer II
Brian Stallings, Tree Trimmer I
Hunter Sparks, Tree Trimmer I
Nathan Peele, Tree Trimmer I
Robert Lewis, Tree Trimmer I

**COMPLIMENT
RECORD**

Date: 08/20/19

Received By: Shirley G. Peele, Staff Support Specialist III

Customer's Name: Mrs. Sandy Reel

Date: 8/19/2019

Remarks: Mrs. Reel sent a message to compliment John Sheppard and Jason Haddock for providing excellent customer service when they changed out her meter. She stated they were nice and personable.

Employees: John Sheppard, Gas Worker Senior
Jason Haddock, Gas Systems Technician IV

Email from: Kevin Summerlin

To: Ken Wade, Substation Control Engineer and Interim Electric Distribution Engineer

Sent: Monday, August 12, 2019

Subject: Thanks!

Ken, I just wanted to say thank you for your help last week with our transformer issue at Joyner Library, and for Ryan and all the guys that worked Saturday through the night. Please let them know how much we appreciate their hard work and dedication. Those guys showed up in a timely manner, went to work and got the job done without a single complaint. They worked hard, fast and safe to get us back online!

Thanks for all you guys do!

*Thank You,
Kevin R Summerlin
East Carolina University*

Email from: Dan Gerlach

To: Tony Cannon, General Manager/CEO

Date: August 13, 2019

Subject: a note of thanks and gratitude

Tony,

I thought I'd offer up my sincere thanks and admiration for the hard work of GUC staff to restore power to Joyner Library and Joyner East this past weekend. Ken Wade was our contact, and I don't know all the GUC staff involved, but I do know that your team was working until 3 on Sunday morning to make the necessary repairs. I hope that you'd pass my thanks along to them.

We value our partnership with GUC. I'm grateful for the response we received in our time of need.

Hope to see you soon,
Dan Gerlach
Interim Chancellor
East Carolina University

Employees: Ken Wade, Substation Control Engineer and Interim Electric Distribution Engineer
Ryan Hardee, Underground Electric Distribution Supervisor
Timmy Pollard, Troubleshooter Crew Leader
Jeffery Rouse, Underground Lineworker Third Class
Kevin Willoughby, Underground Maintenance Crew Leader
Daniel Dilda, Underground Lineworker Second Class
Stephen Leggett, Underground Lineworker Third Class
Matthew Craft, Overhead Lineworker Third Class
Travis Ransom, Underground Lineworker Second Class



Agenda Item # 9

Meeting Date: September 19, 2019

Item:	Board Chair's Remarks/Report
Contact:	Chair Joel Butler
Explanation:	Information Only <ul style="list-style-type: none">• Approved Joint Pay and Benefits Committee Minutes dated March 26, 2019
Strategic Plan Elements:	Strategic Themes: <ul style="list-style-type: none">• Shaping Our Future Objectives: <ul style="list-style-type: none">• Embracing change to ensure organizational alignment and efficiency Core Values: <ul style="list-style-type: none">• Value Employees• Act with Integrity• Appreciate Diversity
Previous Board Actions:	N/A
Fiscal Note:	N/A
Recommended Action(s):	N/A

**MINUTES
JOINT PAY AND BENEFITS COMMITTEE
TUESDAY, MARCH 26, 2019**

The Joint Pay and Benefits Committee of the City of Greenville (COG) and the Greenville Utilities Commission (GUC) met on Tuesday, March 26, 2019, in the third floor Conference Room at Greenville Utilities Commission located at 401 S. Greene Street, Greenville, NC.

Committee members present included Council Member Rick Smiley, Mayor Pro-Tem Rose Glover (via phone) and GUC Commissioners Joel Butler and Kelly L. Darden, Jr.

Other City officials and staff present included City Manager Ann E. Wall, Assistant City Manager Michael Cowin, Director of Human Resources Leah Futrell, and Human Resources Manager Jenise Phillips.

Other GUC officials and staff present included General Manager/CEO Tony Cannon, Chief Administrative Officer Chris Padgett, Director of Human Resources Richie Shreves, Benefits Administrator Leah Herring, Human Resources Manager Lena Previll, Executive Assistant to the General Manager/CEO Amy Wade, Public Information Officer/Communications Manager Steve Hawley, General Counsel Phil Dixon and Secretary to the General Manager/CEO Lou Norris.

Others present included Ginger Livingston from The Daily Reflector.

Mr. Tony Cannon, General Manager/CEO, provided a safety brief.

ITEM I-CALL TO ORDER

Council Member Smiley called the meeting to order at 10:00 a.m. and a quorum was ascertained.

ITEM II-APPROVAL OF THE AGENDA

Council Member Smiley moved to accept the agenda. Commissioner Butler seconded the motion, which passed by unanimous vote.

ITEM III-APPROVAL OF MINUTES

Council Member Smiley moved to approve the August 9, 2018 minutes. Commissioner Butler seconded the motion, which passed by unanimous vote.

ITEM IV-MARKET ADJUSTMENT AND MERIT ALLOCATION

Mr. Chris Padgett welcomed the newest member, Commissioner Darden, to the Committee. Mr. Padgett explained that the Joint Pay and Benefits Committee meet each spring to look at market data and make recommendations to the GUC Board of Commissioners and the Greenville City Council.

Mr. Padgett reminded the Committee that the objective is to maintain an effective pay system for our employees that is internally equitable and compatible, and is as competitive as possible in relation to the external marketplace. He added that, as in previous years, data is collected from various sources to provide the Committee information related to the market, so they can make an informed decision on the merit allocation and market adjustment for the upcoming year.

This year data was collected from 6 reputable survey groups consisting of Aon Hewitt, Capital Associated Industries (CAI), Korn Ferry (fka Hay Group), Mercer, Willis Towers Watson and WorldatWork. The wage projections and trends for 2019 are relatively consistent ranging from 2.7% to 3.2%.

Staff also surveyed established public-sector benchmark organizations and local private-sector employers to determine their plans related to compensation decisions for FY 2019-20. Twenty-five of the 26 public-sector organizations responded and were comprised of municipalities and utilities and gave their employees an average increase of 3.1% (market and/or merit). Some are still developing their FY 2019-20 budgets and sixteen of these public employers provided their projections with the average pay increase projection for FY 2019-20 at 3.3% (market and/or merit). Eight of the 11 local private-sector employers responded and reported projections at an average increase of 3.0% (market and/or merit) for the current year, 2019.

After some discussion, Council Member Smiley made a motion to recommend to the City Council and GUC Board of Commissioners at the Joint Meeting on April 24, 2019, a 2.7% market adjustment/merit allocation for FY 2019-20 and each entity will determine the best way to apply the funds (i.e. market/merit). Commissioner Butler seconded the motion, which passed by unanimous vote.

ITEM V-SALARY STRUCTURE ADJUSTMENT

Ms. Chris Padgett reminded the Committee that Segal Waters, the City's and GUC's classification and compensation consultant, recommends reviewing salary structures annually to ensure they remain competitive in the market. Segal Waters uses and recommends WorldatWork data because their Salary Budget Survey is the largest and most trusted resource of salary structure adjustment data used by compensation professionals nationally and internationally. Mr. Padgett added that WorldatWork projects salary structures to increase 2.1% for 2019.

After discussion, Council Member Smiley made a motion to recommend to the City Council and GUC Board of Commissioners at the Joint Meeting on April 24, 2019, a 2.1% salary structure adjustment. Commissioner Butler seconded the motion, which passed by unanimous vote.

ITEM VI-UPDATE ON COMPENSATION STUDY

Ms. Richie Shreves stated that GUC and the City are working together to select a consultant to start the compensation study on July 1, 2019. Varying proposals have been received for this project with quotes ranging from \$120,000 to \$260,000 in cost to be split between the COG and GUC. It was suggested to select the best quality consultant for the scope of work. Ms. Shreves stated that references are being contacted. A timeline for the study was reviewed. Once the consultant is selected, it is expected to have the results of the study by March or April of 2020 with approval/implementation by July 1, 2020.

ITEM VII-NEXT STEPS

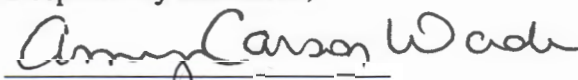
It was the consensus of the Committee to cancel the April 10, 2019, Joint Pay and Benefits Committee meeting.

Recommendations regarding the market adjustment/merit allocation, the salary structure adjustment, and the recommendation for the consultant for the compensation study will be presented to the City Council and the GUC Board of Commissioners at the Joint Meeting scheduled for April 24, 2019, at 6:00 p.m. at City Hall.

ITEM VIII-ADJOURNMENT

There being no further discussion, Council Member Smiley made the motion to adjourn the meeting and Commissioner Butler seconded the motion. The vote was unanimous, and the meeting was adjourned at 10:36 a.m.

Respectfully submitted,


Amy Carson Wade
Executive Secretary

GENERAL ASSEMBLY OF NORTH CAROLINA
SESSION 1991

CHAPTER 861
SENATE BILL 1069

AN ACT TO AMEND AND RESTATE THE CHARTER OF THE GREENVILLE
UTILITIES COMMISSION OF THE CITY OF GREENVILLE.

The General Assembly of North Carolina enacts:

Section 1. For the proper management of the public utilities of the City of Greenville, both within the corporate limits of the City and outside the said corporate limits, a commission to be designated and known as the "Greenville Utilities Commission", is hereby created and established.

Sec. 2. The Greenville Utilities Commission shall consist of eight members, six of whom shall be bona fide residents of the City of whom one shall at all times be the City Manager of Greenville, and two of whom shall be bona fide residents of Pitt County but residing outside the city limits of Greenville, and all of whom shall be customers of the Greenville Utilities Commission. Each Greenville Utilities Commissioner shall hold office for an initial term of three years and, except as set forth herein, will be automatically reappointed to a single additional term of three years, with each term of three years expiring June 30 at the end of the designated term or until reappointed or replaced by the City Council. The first appointees shall hold their offices as follows: the Greenville City Council shall appoint an individual to serve until June 30, 1995; John W. Hughes, Sr. is hereby appointed a Greenville Utilities Commissioner to serve until June 30, 1995, and shall not be eligible for a second term; Bernard E. Kane is hereby appointed a Greenville Utilities Commissioner to serve until June 30, 1995; R. Richard Miller is hereby appointed a Greenville Utilities Commissioner to serve until June 30, 1994, and shall not be eligible for a second term; and the Greenville City Council shall appoint an individual to serve until June 30, 1993; all of whom are bona fide residents of the City. William G. Blount is hereby appointed a Greenville Utilities Commissioner to serve until June 30, 1993, and shall not be eligible for a second term; and the Pitt County Board of Commissioners shall nominate an individual under the procedure established in Section 3 of this act, to be appointed by the Greenville City Council to serve until June 30, 1994; both of whom are Greenville Utilities Commission customers and bona fide residents of Pitt County residing outside the Greenville city limits.

Sec. 3. The Greenville Utilities Commissioners otherwise than as herein provided shall be appointed by the City Council at their regularly monthly meeting in June of each year. It is the intention of this charter that the City Council shall appoint Greenville Utilities Commission members who have utilities expertise. Representation should include some members with financial, engineering, environmental, technical, or

development backgrounds. The two members of the Greenville Utilities Commission residing outside the city limits shall be nominated by the Pitt County Board of Commissioners and appointed by the City Council. The City Council has the right to reject any nominee(s) from the Pitt County Board of Commissioners and to request additional nominees. If the Pitt County Board of Commissioners fails to recommend a nominee to the City Council within 60 days of the original date requested by the City Council, then the City Council may appoint any individual meeting the residency requirement. No person shall be eligible for appointment to the Greenville Utilities Commission who is an officer or employee of the City or Pitt County except that the City Manager of the City of Greenville shall at all times be a full member of the Greenville Utilities Commission. In the event a Greenville Utilities Commissioner resigns, dies, or otherwise becomes incapable of performing his or her duties, the City Council shall appoint, according to the same process described herein for regular appointments, a Greenville Utilities Commissioner to fill the unexpired term at any regular or special meeting of the City Council. Any Greenville Utilities Commissioner filling an unexpired term shall be deemed to have filled said term for the full three-year term. Except as otherwise permitted herein, no Greenville Utilities Commissioner shall serve more than two three-year terms. Greenville Utilities Commissioners filling the first three-year term will automatically fill a second three-year term unless the City Council initiates the replacement process.

Sec. 4. The Greenville Utilities Commissioners shall organize by electing one of their members Chair, whose term of office as Chair shall be for one year unless the Chair's term on the Greenville Utilities Commission shall expire earlier, in which event his or her term as Chair shall expire with the Chair's term on the Greenville Utilities Commission. The Chair shall not be entitled to vote on any proposition before the Greenville Utilities Commission except in case of a tie vote and only for the purpose of breaking the tie. The members of the Greenville Utilities Commission are authorized to fix their own salaries provided, however, that said salaries shall not exceed one hundred fifty dollars (\$150.00) per month for the members and two hundred fifty dollars (\$250.00) per month for the Chair provided, however, the City Council may, at its own discretion, increase these caps from time to time as is appropriate to reflect inflation, and provided, however, the City Manager shall receive no pay as a member of the Greenville Utilities Commission other than his or her salary as City Manager. The Greenville Utilities Commission shall meet at least once each month at a designated time and place unless the Chair designates some other meeting time and so notifies the other members of the Greenville Utilities Commission. The Greenville Utilities Commission and the Greenville City Council shall meet at least once each year to discuss mutual interests of the City of Greenville and the Greenville Utilities Commission. Minutes shall be kept for all regular meetings of the Greenville Utilities Commission.

Sec. 5. The Greenville Utilities Commission shall have entire supervision and control of the management, operation, maintenance, improvement, and extension of the public utilities of the City, which public utilities shall include electric, natural gas, water, and sewer services, and shall fix uniform rates for all services rendered;

provided, however, that any person affected by said rates may appeal from the decision of the Greenville Utilities Commission as to rates to the City Council. With approval by the City Council, the Greenville Utilities Commission may undertake any additional public enterprise service which may lawfully be operated by a municipality.

Sec. 6. The Greenville Utilities Commission shall employ a competent and qualified General Manager whose duties shall be to supervise and manage the said public utilities, subject to the approval of the Greenville Utilities Commission. The General Manager, under the direction of and subject to the approval of the Greenville Utilities Commission, shall cause the said utilities to be orderly and properly conducted; the General Manager shall provide for the operation, maintenance, and improvement of utilities; the General Manager shall provide for the extension of all utilities, except sewer extensions made beyond the area regulated by the City of Greenville are subject to the approval of the City Council, and shall furnish, on application, proper connections and service to all citizens and inhabitants who make proper application for the same, and shall in all respects provide adequate service for the said utilities to the customers thereof; the General Manager shall attend to all complaints as to defective service and shall cause the same to be remedied, and otherwise manage and control said utilities for the best interests of the City of Greenville and the customers receiving service, and shall provide for the prompt collection of all rentals and charges for service to customers and shall promptly and faithfully cause said rentals and charges to be collected and received, all under such rules and regulations as the Greenville Utilities Commission shall, from time to time, adopt and in accordance with the ordinances of the City of Greenville in such case made and provided.

Sec. 7. All monies accruing from the charges or rentals of said utilities shall be deposited into the appropriate enterprise fund of the Greenville Utilities Commission and the Greenville Utilities Commission's Director of Finance shall keep an account of the same. The Greenville Utilities Commission shall at the end of each month make a report to the City Council of its receipts and disbursements; the Greenville Utilities Commission shall pay out of its receipts the cost and expense incurred in managing, operating, improving, maintaining, extending, and planning for future improvements and expansions of said utilities; provided, however, that should the funds arising from the charges and rentals of said utilities be insufficient at any time to pay the necessary expenses for managing, operating, improving, and extending said utilities, then and in that event only, the City Council of the City of Greenville shall provide and pay into the appropriate enterprise fund of the Greenville Utilities Commission a sum sufficient, when added to the funds that have accrued from the rents and charges, to pay the costs and expenses of managing, operating, improving, maintaining, extending, and planning for future improvements and expansions of said utilities; the Greenville Utilities Commission shall pay the principal on all such funds provided by the City Council with interest thereon; provided, further, that the Greenville Utilities Commission shall annually transfer to the City, unless reduced by the City Council, an amount equal to six percent (6%) of the difference between the electric and natural gas system's net fixed assets and total bonded indebtedness plus annually transfer an amount equal to fifty percent (50%) of the Greenville Utilities Commission's retail cost of service for the City

of Greenville's public lighting. Public lighting is defined herein to mean City of Greenville street lights and City of Greenville Parks and Recreation Department recreational outdoor lighting. The preparation of a joint financial audit of the City of Greenville and the Greenville Utilities Commission operations by a single auditing firm is intended under the provisions of this charter and existing North Carolina statutes.

Sec. 8. In compliance with the time requirements of Chapter 159 of the General Statutes, the Greenville Utilities Commission shall prepare and submit to the City Council, for approval, a budget for the coming year showing its estimated revenue, expenses, capital expenditures, debt service, and turnover to the City of Greenville. In addition, the budget ordinance must identify construction projects of the Greenville Utilities Commission which include individual contracts in excess of one-half of one percent ($\frac{1}{2}\%$) of the Greenville Utilities Commission's annual budget. City Council approval of the Greenville Utilities Commission's budget will constitute approval of projects so identified and the contracts contained therein. Contracts in excess of one-half of one percent ($\frac{1}{2}\%$) of the Greenville Utilities Commission's annual budget not so identified and approved in the budget ordinance will require separate City Council approval.

Sec. 9. The Greenville Utilities Commission shall approve the employment and remuneration of all officers, agents, independent contractors, and employees necessary and requisite to manage, operate, maintain, improve, and extend the service of said utilities. It is, however, the intention of this Charter that the Greenville Utilities Commission and the City of Greenville will implement and maintain mutual pay plans, personnel policies, and benefits for their respective employees. The Greenville Utilities Commission may require bond in such sum as it may deem necessary, which shall be approved by the City Council, of all officers, agents, and employees having authority to receive money for the Greenville Utilities Commission. The Greenville Utilities Commission shall have the authority to name and designate a person in its employ as secretary of the Greenville Utilities Commission.

Sec. 10. The Greenville Utilities Commission shall have authority at all times to discharge and remove any officer, agent, independent contractor, or employee of the Greenville Utilities Commission.

Sec. 11. All laws and clauses of laws in conflict with this act are hereby repealed, expressly including Chapter 146 of the Public-Local Laws of 1941, entitled "AN ACT TO PROVIDE A PERMANENT UTILITIES COMMISSION FOR THE CITY OF GREENVILLE, IN PITT COUNTY, AND TO REPEAL CHAPTER TWO HUNDRED AND ELEVEN OF THE PRIVATE LAWS OF ONE THOUSAND NINE HUNDRED AND FIVE, AND AMENDMENTS THERETO, RELATING TO THE WATER AND LIGHT COMMISSION OF THE CITY OF GREENVILLE.", except that this act does not revive any act repealed by that act.

The purpose of this act is to revise the charter of the Greenville Utilities Commission and to consolidate herein certain acts concerning the Greenville Utilities Commission. It is intended to continue without interruption those provisions of prior acts which are consolidated into this act so that all rights and liabilities that have accrued are preserved and may be enforced. This act shall not be deemed to repeal,

modify, or in any manner affect any act validating, confirming, approving, or legalizing official proceedings, actions, contracts, or obligations of any kind.

No provision of this act is intended nor shall be construed to affect in any way any rights or interest, whether public or private:

- (1) Now vested or accrued in whole or in part, the validity of which might be sustained or preserved by reference to law to any provisions of law repealed by this act.
- (2) Derived from or which might be sustained or preserved in reliance upon action heretofore taken pursuant to or within the scope of any provisions of law repealed by this act.

All existing ordinances of the City of Greenville and all existing rules and regulations of the Greenville Utilities Commission not inconsistent with provisions of this act shall continue in full force and effect until repealed, modified, or amended.

No action or proceeding of any nature, whether civil or criminal, judicial or administrative, or otherwise pending at the effective date of this act by or against the City of Greenville or the Greenville Utilities Commission shall be abated or otherwise affected by the adoption of this act. If any provisions of this act or the application thereof to any person or circumstance is held invalid, such invalidity shall not affect other provisions or applications of this act which can be given effect without the invalid provision or application, and to this end the provisions of this act are declared to be severable.

Sec. 12. This act is effective upon ratification.

In the General Assembly read three times and ratified this the 7th day of July, 1992.

James C. Gardner
President of the Senate

Daniel Blue, Jr.
Speaker of the House of Representatives

BYLAWS OF THE GREENVILLE UTILITIES COMMISSION

These Bylaws are adopted by the Greenville Utilities Commission to implement its Charter and to establish basic rules of organization, process and procedure and to provide for the efficient and effective conduct of public business for the benefit of the ratepayers.

To The Extent The Terms & Conditions Of These Bylaws Conflict With the Terms & Conditions of The Charter, The Charter Shall Govern.

- I. Name: The name of the Commission shall be: The Greenville Utilities Commission.
- II. Individual Responsibility: Commission members have responsibility over utilities' affairs when acting as a body appropriately called to session. To request additional information concerning the functions of the Greenville Utilities Commission, Board members should contact the General Manager/CEO.
- III. Board Membership
 - A. Number of Members: The Greenville Utilities Commission shall consist of eight (8) members, all appointed by the Greenville City Council. Two of these appointees are nominated by Pitt County Board of Commissioners.
 - B. Commission Seats: Of the eight (8) members, six (6) members shall be bona fide residents of the City of whom one shall be the City Manager of Greenville, and two of whom shall be bona fide residents of Pitt County but residing outside the city limits of Greenville, who shall be customers of the Greenville Utilities Commission.
 - C. Vacancies on the Commission: In the event a Greenville Utilities Commissioner resigns, dies, or otherwise becomes incapable of performing his or her duties, at any regular or special meeting of the City Council, the City Council shall appoint, according to the same process described herein for regular appointments, a Greenville Utilities Commissioner to fill the unexpired term.
 - D. New members to the Board are required to participate in orientation and training sessions as scheduled by the General Manager/CEO. Orientation shall include such activities as:
 - 1. Workshops for new Board members conducted by the American Public Power Association.
 - 2. Discussions and visits with the General Manager/CEO, General Counsel, and other members of the staff.

3. Provision of printed and audiovisual materials on Greenville Utilities Commission and copies of administrative policies and procedures.

IV. Organization

- A. The Greenville Utilities Commission each year shall elect its officers on the first regularly scheduled meeting of the Commission on or after July 1 at which a quorum is present. Meetings are scheduled for the third Thursday in each month at 12:00 noon.
- B. The officers of the Commission shall be a Chair, a Chair-Elect and a Secretary, elected in that order for a term of one (1) year. The General Manager/CEO shall preside while a new Chair is being elected.
- C. Vacancies in the office of Chair, Chair-Elect or Secretary occurring during the year shall be filled by the Commission at its discretion.

V. Powers and Duties of the Board

The Powers and Duties of the Board shall include but not be limited to the following:

- A. The Greenville Utilities Commission shall have entire supervision and control of the management, operation, maintenance, improvement, and extension of the public utilities of the City, which public utilities shall include electric, natural gas, water, and sewer services, and shall fix uniform rates for all services rendered; provided, however, that any person affected by said rates may appeal from the decision of the Greenville Utilities Commission as to rates to the City Council. With approval by the City Council, the Greenville Utilities Commission may undertake any additional public enterprise service which may lawfully be operated by a municipality (Reference Charter Section 5).
- B. The Board shall discharge its duties and responsibilities as required by its Charter or otherwise imposed by law.
- C. The Board shall employ a General Manager/CEO whose duties shall be to supervise and manage the operations of the Greenville Utilities Commission, subject to the approval of the Board of Commissioners of the Greenville Utilities Commission, as provided in Section 6 of the Charter.
- D. The Board shall annually evaluate the performance of the General Manager/CEO as its Chief Executive Officer (CEO).
- E. Upon the recommendation of the General Manager/CEO, the board shall employ, an attorney to give counsel on matters of legal concern to the Greenville Utilities Commission. The attorney shall represent the Commission on most matters, except that the Commission may hire other expertise as warranted on a case by case basis. The General Manager/CEO shall annually review the performance and services of the General Counsel.

- F. The Board shall annually review its performance. An annual review may consist of a written review and/or survey by Board members and/or a review with or by the General Manager/CEO.

VI. Duties of Officers

- A. The Chair shall preside at Board meetings. The Chair, in consultation with the Secretary and General Manager/CEO, shall review the draft agenda of regular and special meetings of the Board.
- B. The Chair shall appoint committees and designate the chairs unless otherwise directed by majority vote of the Board in session.
- C. The Chair, subject to the approval of the Commission, shall have the authority to execute any official document for and on behalf of the Commission.
- D. The Chair shall not be entitled to vote on any proposition before the Greenville Utilities Commission except in case of a tie vote and only for the purpose of breaking the tie.
- E. The Chair shall be the official spokesperson for the Board and will make, either in person or through the General Manager/CEO, every effort to communicate the Board's decision to the community through the media and any other means available.
- F. In the absence of the Chair, it shall be the duty of the Chair-Elect to preside at meetings of the Board and assume authority of the Chair.
- G. The Secretary shall record minutes of meetings and hearings and distribute minutes in advance for review and approval.
- H. It shall be the responsibility of the Secretary to maintain official minutes and records of Board proceedings and actions. Minutes may be examined by any interested citizen under the supervision of the Secretary or the Secretary's designee. Consistent with the purpose of Closed Sessions, minutes of these meetings shall be separately maintained by the Secretary. Minutes of Closed Sessions may not be examined by interested citizens except as provided by law.
- I. The Secretary shall be responsible for the proper maintenance of official documents including but not limited to Board bylaws, Board policies, and Board decisions.
- J. The Secretary shall discharge any other duties or responsibilities required by law or otherwise imposed upon the Secretary of the Board.
- K. In the absence of the Secretary, it shall be the duty of the Chair-Elect to assume duties of the Secretary.

VII. Committees & Appointments

- A. An Executive Committee composed of the Board Chair, Chair-Elect, Past-Chair (when continuing to serve on the Board) and Secretary will work with the Greenville Utilities Commission staff on policy issues, represent Greenville Utilities Commission in joint discussions on potential growth and regionalization and provide for continuity of knowledge for the chair position.
- B. On an annual basis, the Chair shall appoint two members to a Joint Pay & Benefits Committee to meet jointly with a City Council Joint Pay & Benefits Committee to make recommendations for employee benefits and compensation issues for the upcoming fiscal year. These two members should include at least one member (if available) that served the previous year.
- C. On an annual basis, the Chair shall appoint 3–4 members to serve in conjunction with the Board Chair, on a Finance/Audit Committee to provide “policymaker” input to staff and auditors on the enhancement or implementation of financial procedures or issues. The Chair shall designate a Chair and a Vice-Chair of the Committee.
- D. On an annual basis, the Chair shall appoint 3–4 members to serve in conjunction with the Board Chair on an Economic Development, Marketing and Public Relations Committee to provide policymaker input to staff and consultants in the development of criteria, guidelines, and strategies for the economic development of Pitt County and report to the Board of Commissioners as appropriate. The Chair shall designate a Chair and a Vice-Chair of the Committee.
- E. On an annual basis, the Chair shall appoint 3-4 members to serve in conjunction with the Board Chair on a Legal and Legislative Committee to provide policymaker input to staff on legal and legislative matters that may impact the operations and business of the Commission and report to the Board of Commissioners as appropriate. The Chair shall designate a Chair and a Vice-Chair of the Committee.
- F. Ad Hoc Committees of the Board shall be appointed annually by the Chair, as needed, and shall function until such time as their purpose has been accomplished. The Chair shall designate a Chair and a Vice-Chair of the Committee.
- G. The Board shall appoint an Executive Secretary and Assistant Executive Secretary from the staff. These positions are normally held by the Executive Assistant to the General Manager/CEO and Director of Financial Services and Accounting, respectively.

VIII. Methods of Operation

- A. Board Meetings: The Board shall regularly meet on the third Thursday of each month to consider its business. Notice of the meeting and its agenda shall be made available to the media as provided by law. Other meetings, including special sessions, emergency sessions or workshop sessions may be held as needed and must follow notification provisions as described by law.

- B. Agendas: Before actions by the Board are requested or recommended, the Board should be provided with documentation to assist members in reaching decisions consistent with established goals and policies. To request additional information, Board members shall contact the General Manager/CEO. The Agenda for regular monthly meetings shall be as follows:
1. Call to order and ascertain the presence of a quorum
 2. Approval of the minutes for the previous month's meetings
 3. Any additions or deletions and acceptance of the (revised) agenda
 4. Presentations of awards and recognition of outstanding achievement
 5. Acceptance of the financial statement for the previous month
 6. Award of Bids
 7. Other items requiring action by the Board
 8. Informational items
 9. General Manager/CEO's report – This portion of the meeting is set aside for the General Manager/CEO's reports on any item of information which he wishes to present to the Board.
 10. Board Chair remarks
 11. Board members' remarks
 12. Closed Session, if required
 13. Notice of next regular or special meeting
 14. Adjournment
- C. Quorum: The Greenville Utilities Commission may only conduct business in a regular, emergency or special meeting attended by a quorum of the Board. A quorum shall consist of five (5) Board members, who are either physically present or able to participate by telephone or video-conference or other electronic means.
- D. Conduct of Meetings: Meetings shall be conducted in accordance with the Board's Bylaws and otherwise by the most recent edition of Robert's Rules of Order.
- E. Voting: The Chair of the Board shall determine the vote of any motion by asking each member to respond by voice vote or if necessary, a show of hands may be required.

1. Each member of the Board, except the Chair, shall have one vote on any recommendation, motion, proposal, or any other action item coming before the Board.
 2. Each member, other than the Chair, must vote unless excused by the remaining members. A member who wishes to be excused from voting shall so inform the Chair who shall take a deciding vote of the remaining members present. No member shall be excused from voting except on matters involving his/her own financial interest or official conduct. In other cases, a failure to vote by a member who is physically present or has withdrawn without being excused by a majority vote of the remaining members present, shall be recorded as an affirmative vote.
- F. Closed Sessions: Closed Sessions may be called upon a motion made and adopted at an open session for any of those permitted purposes described in Section 143-318 of the General Statutes of N.C., commonly known as the "Open Meetings Law", as amended from time to time. The motion shall state the purpose of the Closed Session and must be approved by the vote of a majority of those members present.
- G. Board Members' Compensation and Reimbursement: Members of the Greenville Utilities Commission shall receive compensation for performance of official utility business at the rate of \$200 per month. The Chair shall receive \$350.00 per month. The City Council may, at its own discretion, increase these caps from time to time as is appropriate to reflect inflation. The City Manager shall receive no compensation as a member of the Greenville Utilities Commission.
- H. Public Hearings: The Greenville Utilities Commission believes that from time to time additional public opinion is necessary. This opinion is generally sought through the use of a public hearing. When such hearings are scheduled, the Greenville Utilities Commission will ensure that the date, time, and location are properly advertised well in advance of the hearing date. The Commission will receive comments and information at public hearings but not take any formal action during the public hearing. The Commission will take under advisement comments offered at the public hearing and normally consider these comments for future action.
- I. Public Expression: Upon motion of any Commissioner, and approval by a majority of the Board, any ratepayer may be allowed to address the Board within the time restrictions (and upon such other conditions) as may be set by the Chair.
- IX. Change in Bylaws: These Bylaws may be amended from time to time. The procedure for amendment is by giving notice of the proposed amendments at a regularly scheduled Board meeting. The amendments will be considered at the next regularly scheduled Board meeting and must be approved by the vote of a majority of the members.

Adopted May 14, 1996
Revised June 11, 1996
Revised November 18, 1997

Revised June 9, 1998
Revised October 11, 1999
Revised July 18, 2000
Revised October 16, 2007
Revised November 18, 2008
Revised September 15, 2011
Revised August 21, 2014
Revised March 19, 2015
Revised November 16, 2017

Motion To Go Into Closed Session

I move that we go into closed session to:

[Specify one of more of the following permitted reasons for closed sessions]

- ☐ prevent the disclosure of privileged information
 - ☐ under _____ of the North Carolina General Statutes or regulations.
 - ☐ under _____ of the regulations or laws of United States.

[N.C.G.S. § 143-318.11(a)(1)]
- ☐ prevent the premature disclosure of an honorary award or scholarship.
[N.C.G.S. § 143-318.11(a)(2)]
- ☐ consult with our attorney
 - ☐ to protect the attorney-client privilege.
 - ☐ to consider and give instructions concerning a potential or actual claim, administrative procedure, or judicial action.
 - ☐ to consider and give instructions concerning a judicial action titled _____
v. _____.

[N.C.G.S. § 143-318.11(a)(3)]
- ☐ discuss matters relating to the location or expansion of business in the area served by this body.
[N.C.G.S. § 143-318.11(a)(4)]
- ☐ establish or instruct the staff or agent concerning the negotiation of the price and terms of a contract concerning the acquisition of real property.
[N.C.G.S. § 143-318.11(a)(5)]
- ☐ establish or instruct the staff or agent concerning the negotiations of the amount of compensation or other terms of an employment contract.
[N.C.G.S. § 143-318.11(a)(5)]
- ☐ consider the qualifications, competence, performance, condition of appointment of a public officer or employee or prospective public officer or employee.
[N.C.G.S. § 143-318.11(a)(6)]
- ☐ hear or investigate a complaint, charge, or grievance by or against a public officer or employee.
[N.C.G.S. § 143-318.11(a)(6)]
- ☐ plan, conduct, or hear reports concerning investigations of alleged criminal conduct.
[N.C.G.S. § 143-318.11(a)(7)]

MEMORANDUM

To: Members of the Board of Commissioners

From: _____, Commissioner/Board Member

Concerning: Statement of Reasons for Abstention from Board Action pursuant to N.C. Gen. Stat. § 138A-36(b)

Date: _____

Matter before the Board: _____

Briefly summarize reasons for abstention below:

(Signature of Board Member)